

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TRADESTATION GROUP, INC.;
TRADESTATION SECURITIES, INC.; IBG LLC; AND
INTERACTIVE BROKERS LLC;

Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case CBM2016-00051
U.S. Patent 7,904,374

PATENT OWNER'S UPDATED EXHIBIT LIST

Pursuant to 37 C.F.R. § 42.63(e), Patent Owner Trading Technologies International, Inc. hereby submits a current listing of Trading Technologies Exhibits to counsel for Petitioners TradeStation Group, Inc., TradeStation Securities, Inc., IBG LLC, and Interactive Brokers LLC. Select exhibits between 2001 and 2416 are reserved for later use as indicated in the chart below. Exhibits 2165, 2168 - 2171, 2174, 2183 - 2189, 2192 - 2197, 2201, 2204, 2206 - 2211, 2214 - 2223, 2226, 2230, 2233 - 2234, 2236, 2238, 2250, 2279 - 2282, 2287, 2292 - 2297, 2411 - 2416 were filed on PTAB E2E and served via email to counsel of record for the petitioner as indicated below.

Filed Exhibits	Description
Exhibit 2001- Exhibit 2006	Reserved
Exhibit 2007	Meyers, Brad A. "A Brief History of Human-Computer Interaction Technology." <i>Interactions</i> 5.2 (1998): 44-54
Exhibit 2008	National Aeronautics and Space Administration Web Page Print out, Technical Areas
Exhibit 2009	National Aeronautics and Space Administration Web Page Print out, Human Computer Interaction Group
Exhibit 2010	University of Washington Web Page Print out, Human-Computer Interaction Degree Option
Exhibit 2011	Rochester Institute of Technology Web Page Print out, Masters in Human Computer Interaction
Exhibit 2012	Rensselaer Polytechnic Institute Web Page Print out, M.S. in Human-Computer Interaction
Exhibit 2013	Tufts University Web Page Print out, Human-Computer Interaction Certificate Program
Exhibit 2014	Georgia Institute of Technology Web Page Print out, Human-Computer Interaction Master's Program

Exhibit 2015	DePaul University Web Page Print out, Master of Science Human-Computer Interaction
Exhibit 2016	Carnegie Mellon University Web Page Print out, Masters of Human-Computer Interaction
Exhibit 2017- Exhibit 2090	Reserved
Exhibit 2091	<i>Trading Technologies International, Inc. v. CQG, Inc.</i> , Case No. 1:05-CV-04811 (N.D. Ill.), Redacted Version of Second Corrected Expert Report of Christopher Thomas
Exhibit 2092- Exhibit 2110	Reserved
Exhibit 2111	U.S. Patent No. 6,766,304 B2
Exhibit 2112- Exhibit 2115	Reserved
Exhibit 2116	U.S. Patent No. 6,772,132 B1
Exhibit 2117- Exhibit 2118	Reserved
Exhibit 2119	<i>TradeStation Group, Inc., et al. v. Trading Techs. Int'l, Inc.</i> , Case No. CBM2015-000161, Paper 2, Petition for Covered Business Method Review U.S. Patent No. 6,766,304 (Jul. 20, 2016)
Exhibit 2120	Reserved
Exhibit 2121	<i>Class 705, Data Processing: Financial, Business Practice, Management, or Cost/Price Determination, Classification Definitions (January 2012)</i> : 1-53
Exhibit 2122	<i>TradeStation Group, Inc., et al. v. Trading Techs. Int'l, Inc.</i> , Case No. CBM2015-000161, Paper 29, Institution Decision (Jan. 27, 2016)
Exhibit 2123	Reserved
Exhibit 2124	<i>Class 345, Computer Graphics Processing and Selective Visual Display Systems, (January 2011)</i> : 1-8
Exhibit 2125	<i>July 2015 Update Appendix 1: Examples</i> , USPTO Examination Guidelines
Exhibit 2126	Senate Congressional Record, S5402-S5443 (Sept. 8, 2011)
Exhibit 2127	Senate Congressional Record, S1360-S1394 (Mar. 8, 2011)
Exhibit 2128	<i>TradeStation Group, Inc., et al., v. Trading Techs. Int'l, Inc.</i> , Case No. CBM2015-00161, Declaration of Dr. John Phillips

	Mellor (Jan. 9, 2015)
Exhibit 2129	Transcript of Proceedings for EPO 01920183.9
Exhibit 2130	<i>Trading Techs. Int'l, Inc., v. Open E Cry, LLC et al.</i> , Case No. 10-CV-0715, Dkt. 88, Joint Brief for Certain Defendants-Appellees (Jan. 18, 2013)
Exhibit 2131 – Exhibit 2164	Reserved
Exhibit 2165	Deposition Transcript of Kendyl A. Roman, dated May 3, 2016 (Thomas Ex. J)
Exhibit 2166	Deposition Transcript of Kendyl A. Roman, dated May 5, 2016 (Thomas Ex. YYYY)
Exhibit 2167	Reserved
Exhibit 2168	Declaration of Eric Gould-Bear
Exhibit 2169	Declaration of Christopher Thomas
Exhibit 2170	Declaration of Michael Burns (Thomas Ex. W)
Exhibit 2171	Declaration of Timothy Geannopulos (Thomas Ex. U)
Exhibit 2172 - Exhibit 2173	Reserved
Exhibit 2174	Declaration of Dan Olsen
Exhibit 2175 - Exhibit 2181	Reserved
Exhibit 2182	IEEE 100 The Authoritative Dictionary of IEEE Standards Terms, 7th ed., p. 1112 (2000)
Exhibit 2183	Olsen Appendix A - Card, S., et al., <i>The keystroke-level model for user performance time with interactive systems</i> , Communications of the ACM 23 (CARD 80)
Exhibit 2184	Olsen Appendix B - Olsen, D. R., <i>Evaluating User Interface Systems Research</i> , UIST 2007, ACM (OLSE 07)
Exhibit 2185	Olsen Appendix C - U. S. Patent No. 1,692,601
Exhibit 2186	Olsen Appendix D - U. S. Patent No. 714,878
Exhibit 2187	Olsen Appendix E - Definition of Technology
Exhibit 2188	Olsen Appendix F - U. S. Patent No. 3,018,661
Exhibit 2189	Olsen Appendix G - U. S. Patent No. 5,960,411
Exhibit 2190 - Exhibit 2191	Reserved

Exhibit 2192	Bear Ex. 1 - Bear CV
Exhibit 2193	Bear Ex. 2 - TUFTE Visual and Statistical Thinking
Exhibit 2194	Bear Ex. 3 - MacKenzie and Buxton on Fitts' Law
Exhibit 2195	MDtrader-TG-01 - Animation
Exhibit 2196	MDtrader-TG-02 - Animation
Exhibit 2197	Visualizing the Market - Animation
Exhibit 2198 - Exhibit 2200	Reserved
Exhibit 2201	Thomas Ex. A - Christopher Thomas CV
Exhibit 2202 - Exhibit 2203	Reserved
Exhibit 2204	Thomas Ex. D - Microsoft DNA Case Study
Exhibit 2205	Reserved
Exhibit 2206	Thomas Ex. F - Excerpts from Interactive Brokers Group, Inc. Form 10-K Statement IBG_00000412-421
Exhibit 2207	Thomas Ex. G - Excerpts from TradeStation Group, Inc. Form 10-K Statement TS0005177-88
Exhibit 2208	Thomas Ex. H - <i>Trading Techs. Int'l. Inc., v. eSpeed, Inc.</i> , Case No. 04-cv-5312, Expert Report of David Silverman
Exhibit 2209	Thomas Ex. I - Globex User Guide June 1995
Exhibit 2210	Thomas Ex. K - Email of Daniel Durkin TTX00020829-00020830 (05/28/2004)
Exhibit 2211	Thomas Ex. L - <i>Trading Techs. Int'l. Inc., v. eSpeed, Inc.</i> , Case No. 04-cv-5312, Trial Transcript - Brumfield Trial Testimony
Exhibit 2212	Thomas Ex. M - Animation
Exhibit 2213	Thomas Ex. N - Brumfield Sketch - eSpeed_PTX0321
Exhibit 2214	Thomas Ex. O - Animation
Exhibit 2215	Thomas Ex. P - Brumfield Trading Results - eSpeed_PTX0396
Exhibit 2216	Thomas Ex. Q - Declaration of David Martin TTX00061557-00061558 (08/13/2004)
Exhibit 2217	Thomas Ex. R - Brumfield Articles CQG014380172-177 and CQG014380206-209
Exhibit 2218	Thomas Ex. S - Declaration of James Zellinger TTX00061410-00061412 (08/31/2004)

Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.