

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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IBG LLC,  
INTERACTIVE BROKERS LLC, TRADESTATION GROUP, INC., and  
TRADESTATION SECURITIES, INC

Petitioners,

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

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Case CBM2016-00051  
U.S. Patent No. 7,904,374

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**PETITIONERS'**  
**UNOPPOSED MOTION FOR *PRO HAC VICE* ADMISSION**  
**UNDER 37 C.F.R. § 42.10(c)**

**EXHIBITS**

TS-1001	U.S. Patent No. 7,904,374 (“’374 patent”)
TS-1002	Prosecution History of the ’374 patent
TS-1003	Certified Translation of “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE”)
TS-1004	U.S. Patent No. 5,297,031 (“Gutterman”)
TS-1005	WO 90/11571 to Belden, et al. (“Belden”)
TS-1006	Certificate of Translation for “Futures/Option Purchasing System Trader Terminal Operation Guide” (“TSE Certificate”)
TS-1007	List of materials relied upon in the declaration of Kendyl A. Román
TS-1008	Curriculum Vitae of Kendyl A. Román
TS-1009	Deposition Transcript of Atushi Kawashima, <i>Trading Techs. Int’l., Inc. v. eSPEED, Inc.</i> , Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 (“Kawashima Depo. T.”)

TS-1010	“Futures/Option Purchasing System Trading Terminal Operation Guide,” Tokyo Stock Exchange” (“TSE JP”)
TS-1011	Declaration of Kendyl A. Román
TS-1012	Lodewijk Petram, The World’s First Stock Exchange
TS-1013	History of the American and NASDAQ Stock Exchanges
TS-1014	Complaint for Patent Infringement
TS-1015	Office Patent Trial Practice Guide
TS-1016	The American Heritage Dictionary of the English Language, 3d Ed. (1992)
TS-1017	MPEP 2106
TS-1018	Volusion, Inc. v. Versata Software, Inc., CBM2013-00018, Institution Decision, Paper No. 8
TS-1019	Letter to Dir. Michelle K. Lee
TS-1020	Weiss, “After the Trade is Made”
TS-1021	U.S. Patent No. 5,375,055 to Togher et al. (“Togher”)

TS-1022-1032	Reserved
TS-1033	Trading Techs. Int'l. v. CQG No. 05-cv-4811, slip op. at 10 (N.D. Ill. Feb. 24, 2015)
TS-1034	U.S. Patent No. 5,960,411 to Harman Peri et al. (“the Amazon one-click patent”)
TS-1035	Dictionary of Computing (4th Ed, Oxford University Press, 1996)
TS-1036	Inside Macintosh, Promotional Edition
TS-1037-1040	Served, but not filed (see below)
TS-1041	Declaration in support of Unopposed Motion for Pro Hac Vice Admission of Adam Kessel

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Served but not filed:

TS-1037 Declaration of John C. Phillips

TS-1038 MPEP 2106, Rev.07.2015, November 2015 (served 9/6/2016)

TS-1039 Declaration of Aaron Cohen

TS-1040 Deposition Transcript of Atushi Kawashima dated June 17, 2016

Pursuant to 37 C.F.R. § 42.10(c), Petitioners respectfully request that the Board recognize Adam J. Kessel as counsel *pro hac vice* in this proceeding. Petitioners seek the counsel of Adam J. Kessel due to his experience in representing Petitioners in other patent-related matters and particularly due to his familiarity with the substantive and technical issues involved in this proceeding. This motion is authorized by the Notice of Filing Date Accorded to Petition and Time for Filing Patent Owner Preliminary Response that was mailed on April 4, 2016. Patent Owner has indicated that it does not oppose this motion.

### **Statement of Facts**

Mr. Kessel is a patent litigation attorney with more than a decade of experience representing clients in cases involving computer software. Mr. Kessel regularly litigates cases before the United States Court of Appeals for the Federal Circuit, various federal district courts, and the International Trade Commission. Through his practice in such cases, Mr. Kessel has gained substantial experience in jury trials, discovery, *Markman* hearings, and appeals. In addition to his legal experience, Mr. Kessel had many years of software development experience prior to entering the practice of law. Mr. Kessel also has a degree in chemistry from Princeton University. Mr. Kessel's biography is attached to the Declaration of Adam Kessel in Support of Petitioner's Motion for *Pro Hac Vice* Admission as Exhibit A.

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