

Paper No. ____
Filed: July 5, 2016

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TRADESTATION GROUP, INC.;
TRADESTATION SECURITIES, INC.; IBG LLC; AND
INTERACTIVE BROKERS LLC;

Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case CBM2016-00051
U.S. Patent 7,904,374

PATENT OWNER'S EXHIBIT LIST

Pursuant to 37 C.F.R. § 42.63(e), Patent Owner Trading Technologies International, Inc. hereby submits a current listing of Trading Technologies Exhibits to counsel for Petitioners TradeStation Group, Inc., TradeStation Securities, Inc., IBG LLC, and Interactive Brokers LLC. Exhibits 2007-2016, 2091, 2111, 2116, 2119, 2121-2122, 2124 – 2130, 2166, 2182, and 2212-2213 were filed on the PRPS and served via email to counsel of record for the Petitioner.

Filed Exhibits	Description
Exhibit 2001- Exhibit 2006	Reserved
Exhibit 2007	Meyers, Brad A. "A Brief History of Human-Computer Interaction Technology." <i>Interactions</i> 5.2 (1998): 44-54
Exhibit 2008	National Aeronautics and Space Administration Web Page Print out, Technical Areas
Exhibit 2009	National Aeronautics and Space Administration Web Page Print out, Human Computer Interaction Group
Exhibit 2010	University of Washington Web Page Print out, Human-Computer Interaction Degree Option
Exhibit 2011	Rochester Institute of Technology Web Page Print out, Masters in Human Computer Interaction
Exhibit 2012	Rensselaer Polytechnic Institute Web Page Print out, M.S. in Human-Computer Interaction
Exhibit 2013	Tufts University Web Page Print out, Human-Computer Interaction Certificate Program
Exhibit 2014	Georgia Institute of Technology Web Page Print out, Human-Computer Interaction Master's Program
Exhibit 2015	DePaul University Web Page Print out, Master of Science Human-Computer Interaction
Exhibit 2016	Carnegie Mellon University Web Page Print out, Masters of Human-Computer Interaction
Exhibit 2017- Exhibit 2090	Reserved

Exhibit 2091	<i>Trading Technologies International, Inc. v. CQG, Inc.</i> , Case No. 1:05-CV-04811 (N.D. Ill.), Redacted Version of Second Corrected Expert Report of Christopher Thomas
Exhibit 2092- Exhibit 2110	Reserved
Exhibit 2111	U.S. Patent No. 6,766,304 B2
Exhibit 2112- Exhibit 2115	Reserved
Exhibit 2116	U.S. Patent No. 6,772,132 B1
Exhibit 2117- Exhibit 2118	Reserved
Exhibit 2119	<i>TradeStation Group, Inc., et al. v. Trading Techs. Int'l, Inc.</i> , Case No. CBM2015-000161, Paper 2, Petition for Covered Business Method Review U.S. Patent No. 6,766,304 (Jul. 20, 2016)
Exhibit 2120	Reserved
Exhibit 2121	<i>Class 705, Data Processing: Financial, Business Practice, Management, or Cost/Price Determination</i> , Classification Definitions (January 2012): 1-53
Exhibit 2122	<i>TradeStation Group, Inc., et al. v. Trading Techs. Int'l, Inc.</i> , Case No. CBM2015-000161, Paper 29, Institution Decision (Jan. 27, 2016)
Exhibit 2123	Reserved
Exhibit 2124	<i>Class 345, Computer Graphics Processing and Selective Visual Display Systems</i> , (January 2011): 1-8
Exhibit 2125	<i>July 2015 Update Appendix 1: Examples</i> , USPTO Examination Guidelines
Exhibit 2126	Senate Congressional Record, S5402-S5443 (Sept. 8, 2011)
Exhibit 2127	Senate Congressional Record, S1360-S1394 (Mar. 8, 2011)
Exhibit 2128	<i>TradeStation Group, Inc., et al., v. Trading Techs. Int'l, Inc.</i> , Case No. CBM2015-00161, Declaration of Dr. John Phillips Mellor (Jan. 9, 2015)
Exhibit 2129	Transcript of Proceedings for EPO 01920183.9
Exhibit 2130	<i>Trading Techs. Int'l, Inc., v. Open E Cry, LLC et al.</i> , Case No. 10-CV-0715, Dkt. 88, Joint Brief for Certain Defendants-Appellees (Jan. 18, 2013)

Exhibit 2131 – Exhibit 2165	Reserved
Exhibit 2166	Deposition Transcript of Kendyl A. Roman, dated May 5, 2016 (Thomas Ex. YYYY)
Exhibit 2167- Exhibit 2181	Reserved
Exhibit 2182	IEEE 100 The Authoritative Dictionary of IEEE Standards Terms, 7th ed., p. 1112 (2000)
Exhibit 2183- Exhibit 2211	Reserved
Exhibit 2212	Thomas Ex. M animation
Exhibit 2213	Thomas Ex. N - Brumfield Sketch - eSpeed_PTX0321

Respectfully submitted,

Dated: July 5, 2016

By: /Rachel L. Emsley/
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CERTIFICATE OF SERVICE

The undersigned hereby certifies that a copy of the foregoing **Patent Owner's Exhibit List and Exhibits 2007-2016, 2091, 2111, 2116, 2119, 2121-2122, 2124 – 2130, 2166, 2182, and 2212-2213** were served on July 5, 2016, via email directed to counsel of record for the Petitioner at the following:

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