1

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC; INTERACTIVE BROKERS LLC;

TRADESTATION GROUP, INC.; TRADESTATION SECURITIES,

INC.;

TRADESTATION TECHNOLOGIES, INC.; and

IBFX. INC.

Petitioners,

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case CBM2015-00181
U.S. Patent 7,676,411
Case CBM2015-00182
U.S. Patent 6,772,132

Washington, D.C. May 5, 2016

CROSS-EXAMINATION

KENDYL A. ROMAN

Henderson Legal Services, Inc.

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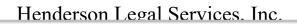
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2
                 Cross-Examination of KENDYL A. ROMAN, a
    witness herein, called for examination by counsel
    for Patent Owner in the above-entitled matter,
    pursuant to notice, the witness being duly sworn by
    SUSAN L. CIMINELLI, CRR, RPR, a Notary Public in and
    for the District of Columbia, taken at the offices
    of Sterne Kessler Goldstein Fox, 1100 New York
    Avenue, N.W., Washington, D.C. at commencing at 9:03
5
    a.m.
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2	KENDYL A. ROMAN	EXAMINATION	
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9	Exhibit 1019 (IBG)	Declaration '411	13
10	Exhibit 1007 (IBG)	Declaration '132	14
11	Exhibit 1017 (IBG)	TSE Trading Terminal	
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13	Exhibit 1025 (IBG)	Roman CV	27
14	Exhibit 1021 (IBG)	Materials considered '411	22
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17	Exhibit 1012 (IBG)	CBM of '132	63
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                     PROCEEDINGS
2
    Whereupon,
3
                        KENDYL A. ROMAN,
    was called as a witness by counsel for Patent Owner,
5
    and having been duly sworn, was examined and
    testified as follows:
                       CROSS EXAMINATION
    BY MR. SIGMOND:
         Ο.
              Good morning, Mr. Roman.
10
               Good morning.
11
               Nice to see you again. Could you please
         Q.
12
    state your name and address for the record?
13
               Kendyl Allen Roman, and the address of my
14
    lab is 3277 Kifer Road, Santa Clara, California.
15
         Q.
               And you understand you're under oath this
16
    morning?
17
         Α.
               Yes.
18
               And this means you're sworn to tell the
         Q.
19
    truth as if you were in a court with a judge and a
20
    jury?
21
               Yes.
         Α.
22
               Is there anything that would prevent you
         Q.
```

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