

**IN THE UNITED STATES PATENT TRIAL & APPEAL BOARD**

**TRADESTATION GROUP, INC. AND  
TRADESTATION SECURITIES, INC.**

**Petitioners,**

**v.**

**TRADING TECHNOLOGIES INTERNATIONAL, INC.**

**Patent Owner**

**U.S. Patent No. 6,766,304 B2**

**PETITION FOR COVERED BUSINESS METHOD REVIEW UNDER 35  
U.S.C. § 321 AND § 18 OF THE LEAHY-SMITH AMERICA INVENTS ACT**

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## EXHIBITS

TS 1001	U.S. Patent No. 6,766,304
TS 1002	File History, U.S. Patent No. 6,766,304
TS 1003	TD Ameritrade Holding Corp. v. Trading Tech. Int'l, Inc., Case CBM2014-00136, Decision Denying Institution (Paper No. 19), at pp. 7–12 (P.T.A.B. Dec. 2, 2014)
TS 1004	Memorandum Opinion and Order, Dkt. #735, Trading Tech. Int'l, Inc. v. CQGT, LLC, et al., 05-cv-4811, U.S. District Court for the Northern District of Illinois, Eastern Division
TS 1005	TD Ameritrade Holding Corp. v. Trading Tech. Int'l, Inc., Case CBM2014-00136, Petition (Paper No. 4) (P.T.A.B. May 20, 2014)
TS 1006	TD Ameritrade, Patent Owner's Preliminary Response (Paper No. 18), at pp. 6-7 (P.T.A.B. Sept. 3, 2014)
TS 1007	TD Ameritrade Holding Corp. v. Trading Tech. Int'l, Inc., CBM2014-00131, Decision to Institute (Paper No. 19), at p. 15 (P.T.A.B. Dec. 2, 2014)
TS 1008	TD Ameritrade Holding Corp. v. Trading Tech. Int'l, Inc., CBM2014-00133, Decision to Institute (Paper No. 19), at p. 14 (P.T.A.B. Dec. 2, 2014)
TS 1009	TD Ameritrade Holding Corp. v. Trading Tech. Int'l, Inc., CBM2014-00137, Decision to Institute (Paper No. 19), at p. 14 (P.T.A.B. Dec. 2, 2014)
TS 1010	TD Ameritrade Holding Corp. v. Trading Tech. Int'l, Inc., CBM2014-00135, Decision to Institute (Paper No. 19), at p. 14 (P.T.A.B. Dec. 2, 2014)
TS 1011	Kemp II, et al. US 6,772,132
TS 1012	Statement of Reasons for Allowance in the '304 patent
TS 1013	Declaration of Dr. John Phillips Mellor ("Mellor decl.")
TS 1014	Excerpts of Appendices for Mellor Declaration
TS 1015	Lodewijk Petram, "The World's First Stock Exchange"
TS 1016	"Futures/ Option Purchasing System Trading Terminal Operation Guide", Tokyo Stock Exchange Operation System Division
TS 1017	Translation of "Futures/ Option Purchasing System Trading Terminal Operation Guide", Tokyo Stock Exchange Operation System Division

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