

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TRADESTATION GROUP, INC.;
TRADESTATION SECURITIES, INC.; IBG LLC; AND
INTERACTIVE BROKERS LLC;

Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case CBM2016-00051
U.S. Patent 7,904,374

PATENT OWNER'S UPDATED EXHIBIT LIST

Pursuant to 37 C.F.R. § 42.63(e), Patent Owner Trading Technologies International, Inc. hereby submits a current listing of Trading Technologies Exhibits to counsel for Petitioners TradeStation Group, Inc., TradeStation Securities, Inc., IBG LLC, and Interactive Brokers LLC.

| Filed Exhibits | Description |
|-------------------------------|---|
| Exhibit 2001- Exhibit 2006 | Reserved |
| Exhibit 2007 | Meyers, Brad A. "A Brief History of Human-Computer Interaction Technology." <i>Interactions</i> 5.2 (1998): 44-54 |
| Exhibit 2008 | National Aeronautics and Space Administration Web Page Print out, Technical Areas |
| Exhibit 2009 | National Aeronautics and Space Administration Web Page Print out, Human Computer Interaction Group |
| Exhibit 2010 | University of Washington Web Page Print out, Human-Computer Interaction Degree Option |
| Exhibit 2011 | Rochester Institute of Technology Web Page Print out, Masters in Human Computer Interaction |
| Exhibit 2012 | Rensselaer Polytechnic Institute Web Page Print out, M.S. in Human-Computer Interaction |
| Exhibit 2013 | Tufts University Web Page Print out, Human-Computer Interaction Certificate Program |
| Exhibit 2014 | Georgia Institute of Technology Web Page Print out, Human-Computer Interaction Master's Program |

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| Exhibit 2015 | DePaul University Web Page Print out, Master of Science Human-Computer Interaction |
| Exhibit 2016 | Carnegie Mellon University Web Page Print out, Masters of Human-Computer Interaction |
| Exhibit 2017- Exhibit 2090 | Reserved |
| Exhibit 2091 | <i>Trading Technologies International, Inc. v. CQG, Inc.</i> , Case No. 1:05-CV-04811 (N.D. Ill.), Redacted Version of Second Corrected Expert Report of Christopher Thomas |
| Exhibit 2092- Exhibit 2110 | Reserved |
| Exhibit 2111 | U.S. Patent No. 6,766,304 B2 |
| Exhibit 2112- Exhibit 2115 | Reserved |
| Exhibit 2116 | U.S. Patent No. 6,772,132 B1 |
| Exhibit 2117- Exhibit 2118 | Reserved |
| Exhibit 2119 | <i>TradeStation Group, Inc., et al. v. Trading Techs. Int'l, Inc.</i> , Case No. CBM2015-000161, Paper 2, Petition for Covered Business Method Review U.S. Patent No. 6,766,304 (Jul. 20, 2016) |
| Exhibit 2120 | Reserved |
| Exhibit 2121 | <i>Class 705, Data Processing: Financial, Business Practice, Management, or Cost/Price Determination, Classification Definitions (January 2012)</i> : 1-53 |
| Exhibit 2122 | <i>TradeStation Group, Inc., et al. v. Trading Techs. Int'l, Inc.</i> , Case No. CBM2015-000161, Paper 29, Institution Decision (Jan. 27, 2016) |
| Exhibit 2123 | Reserved |
| Exhibit 2124 | <i>Class 345, Computer Graphics Processing and Selective Visual Display Systems, (January 2011)</i> : 1-8 |
| Exhibit 2125 | <i>July 2015 Update Appendix 1: Examples</i> , USPTO Examination Guidelines |
| Exhibit 2126 | Senate Congressional Record, S5402-S5443 (Sept. 8, 2011) |
| Exhibit 2127 | Senate Congressional Record, S1360-S1394 (Mar. 8, 2011) |
| Exhibit 2128 | <i>TradeStation Group, Inc., et al., v. Trading Techs. Int'l, Inc.</i> , Case No. CBM2015-00161, Declaration of Dr. John Phillips |

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| | Mellor (Jan. 9, 2015) |
| Exhibit 2129 | Transcript of Proceedings for EPO 01920183.9 |
| Exhibit 2130 | <i>Trading Techs. Int'l, Inc., v. Open E Cry, LLC et al.</i> , Case No. 10-CV-0715, Dkt. 88, Joint Brief for Certain Defendants-Appellees (Jan. 18, 2013) |
| Exhibit 2131 – Exhibit 2164 | Reserved |
| Exhibit 2165 | Deposition Transcript of Kendyl A. Roman, dated May 3, 2016 (Thomas Ex. J) |
| Exhibit 2166 | Deposition Transcript of Kendyl A. Roman, dated May 5, 2016 (Thomas Ex. YYYY) |
| Exhibit 2167 | Reserved |
| Exhibit 2168 | Declaration of Eric Gould-Bear |
| Exhibit 2169 | Declaration of Christopher Thomas |
| Exhibit 2170 | Declaration of Michael Burns (Thomas Ex. W) |
| Exhibit 2171 | Declaration of Timothy Geannopulos (Thomas Ex. U) |
| Exhibit 2172 - Exhibit 2173 | Reserved |
| Exhibit 2174 | Declaration of Dan Olsen |
| Exhibit 2175 - Exhibit 2181 | Reserved |
| Exhibit 2182 | IEEE 100 The Authoritative Dictionary of IEEE Standards Terms, 7th ed., p. 1112 (2000) |
| Exhibit 2183 | Olsen Appendix A - Card, S., et al., <i>The keystroke-level model for user performance time with interactive systems</i> , Communications of the ACM 23 (CARD 80) |
| Exhibit 2184 | Olsen Appendix B - Olsen, D. R., <i>Evaluating User Interface Systems Research</i> , UIST 2007, ACM (OLSE 07) |
| Exhibit 2185 | Olsen Appendix C - U. S. Patent No. 1,692,601 |
| Exhibit 2186 | Olsen Appendix D - U. S. Patent No. 714,878 |
| Exhibit 2187 | Olsen Appendix E - Definition of Technology |
| Exhibit 2188 | Olsen Appendix F - U. S. Patent No. 3,018,661 |
| Exhibit 2189 | Olsen Appendix G - U. S. Patent No. 5,960,411 |
| Exhibit 2190 - Exhibit 2191 | Reserved |

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| Exhibit 2192 | Bear Ex. 1 - Bear CV |
| Exhibit 2193 | Bear Ex. 2 - TUFTE Visual and Statistical Thinking |
| Exhibit 2194 | Bear Ex. 3 - MacKenzie and Buxton on Fitts' Law |
| Exhibit 2195 | MDtrader-TG-01 - Animation |
| Exhibit 2196 | MDtrader-TG-02 - Animation |
| Exhibit 2197 | Visualizing the Market - Animation |
| Exhibit 2198 - Exhibit 2200 | Reserved |
| Exhibit 2201 | Thomas Ex. A - Christopher Thomas CV |
| Exhibit 2202 - Exhibit 2203 | Reserved |
| Exhibit 2204 | Thomas Ex. D - Microsoft DNA Case Study |
| Exhibit 2205 | Reserved |
| Exhibit 2206 | Thomas Ex. F - Excerpts from Interactive Brokers Group, Inc. Form 10-K Statement IBG_00000412-421 |
| Exhibit 2207 | Thomas Ex. G - Excerpts from TradeStation Group, Inc. Form 10-K Statement TS0005177-88 |
| Exhibit 2208 | Thomas Ex. H - <i>Trading Techs. Int'l. Inc., v. eSpeed, Inc.</i> , Case No. 04-cv-5312, Expert Report of David Silverman |
| Exhibit 2209 | Thomas Ex. I - Globex User Guide June 1995 |
| Exhibit 2210 | Thomas Ex. K - Email of Daniel Durkin TTX00020829-00020830 (05/28/2004) |
| Exhibit 2211 | Thomas Ex. L - <i>Trading Techs. Int'l. Inc., v. eSpeed, Inc.</i> , Case No. 04-cv-5312, Trial Transcript - Brumfield Trial Testimony |
| Exhibit 2212 | Thomas Ex. M - Animation |
| Exhibit 2213 | Thomas Ex. N - Brumfield Sketch - eSpeed_PTX0321 |
| Exhibit 2214 | Thomas Ex. O - Animation |
| Exhibit 2215 | Thomas Ex. P - Brumfield Trading Results - eSpeed_PTX0396 |
| Exhibit 2216 | Thomas Ex. Q - Declaration of David Martin TTX00061557-00061558 (08/13/2004) |
| Exhibit 2217 | Thomas Ex. R - Brumfield Articles CQG014380172-177 and CQG014380206-209 |
| Exhibit 2218 | Thomas Ex. S - Declaration of James Zellinger TTX00061410-00061412 (08/31/2004) |

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