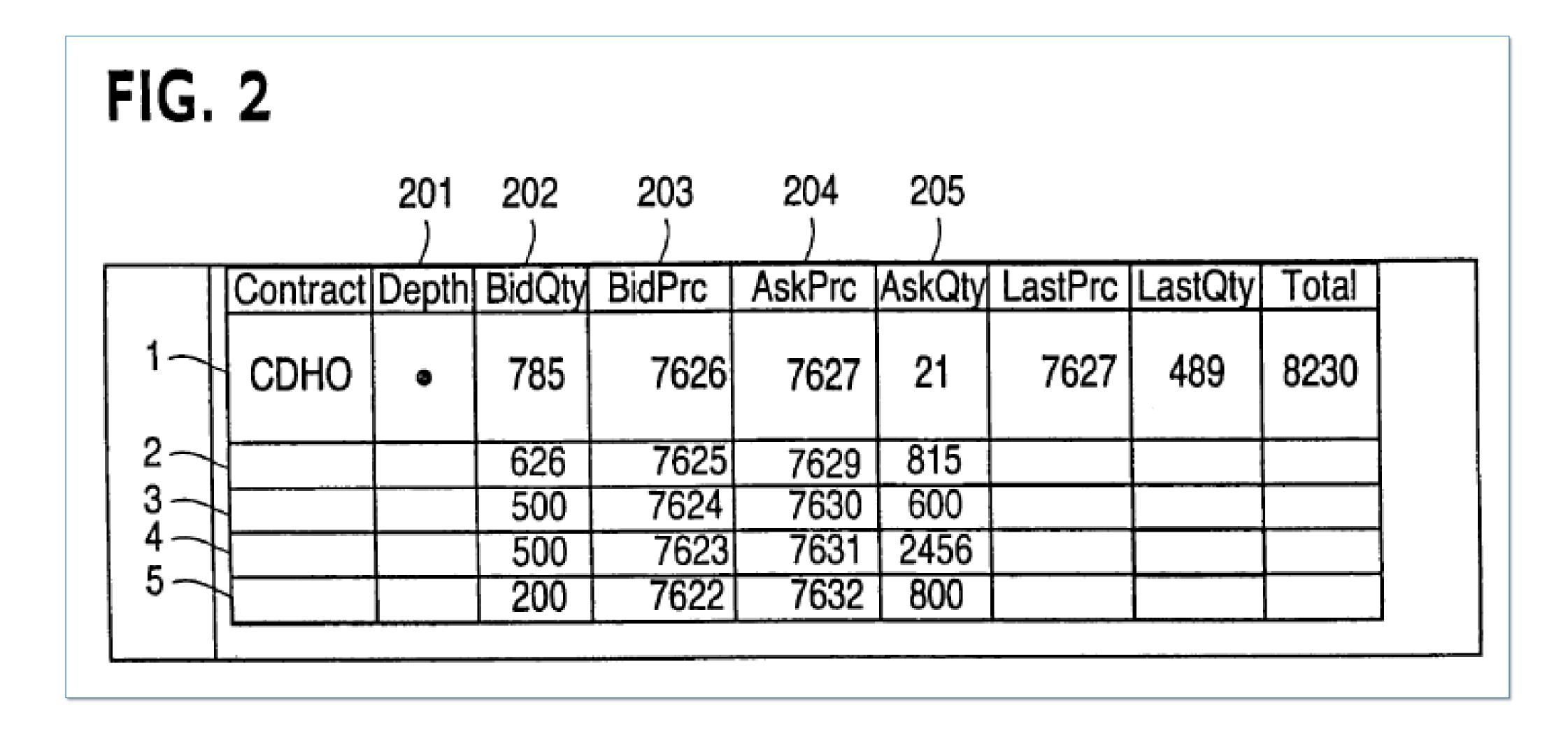
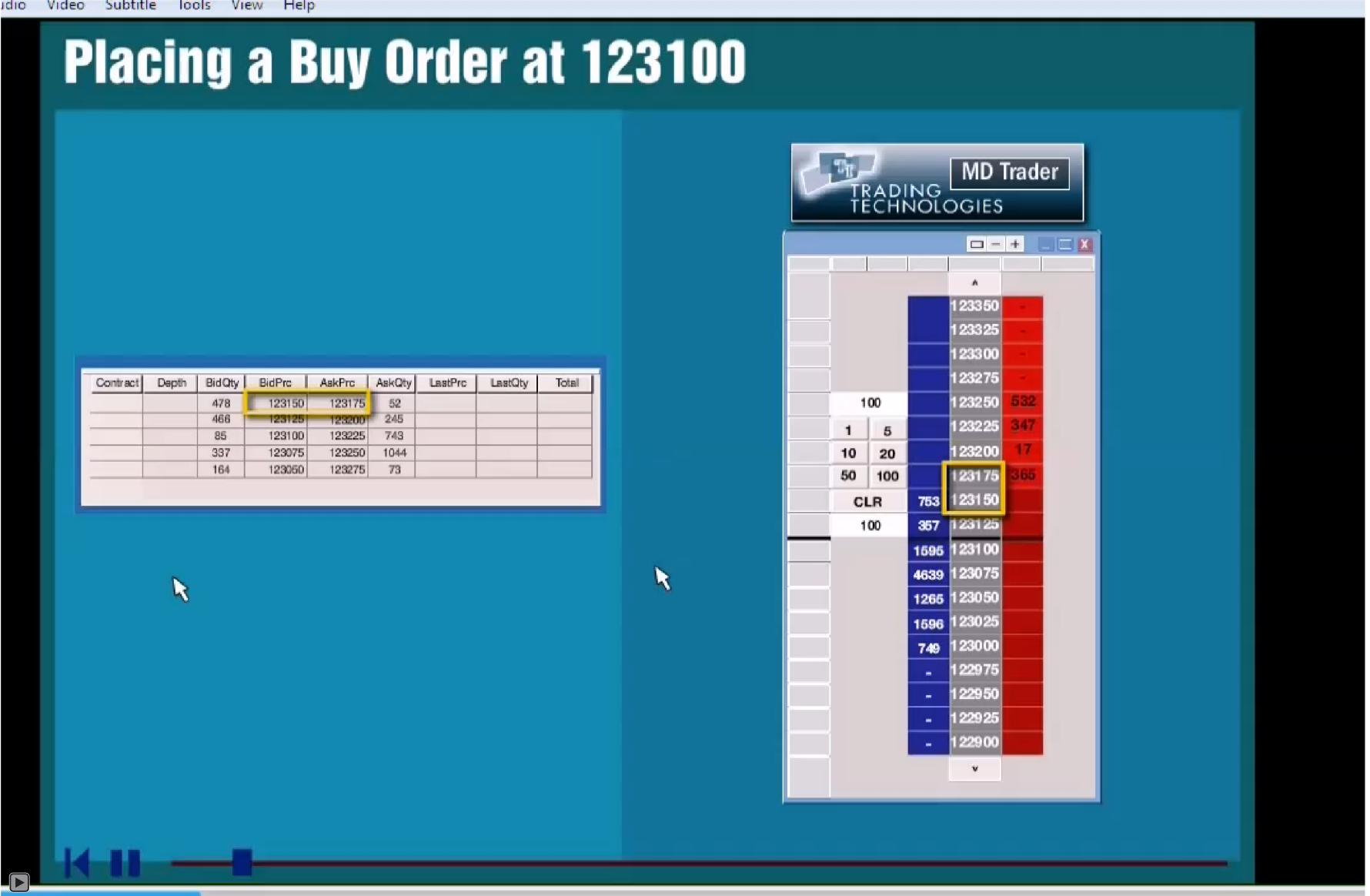


Patent Owner's Demonstratives

Case CBM2016-00031 & CBM2016-00051 Patents 7,813,996 & 7,904,374



Ex. 1001 at Fig. 2; '996 POR at 11; '374 POR at 14.



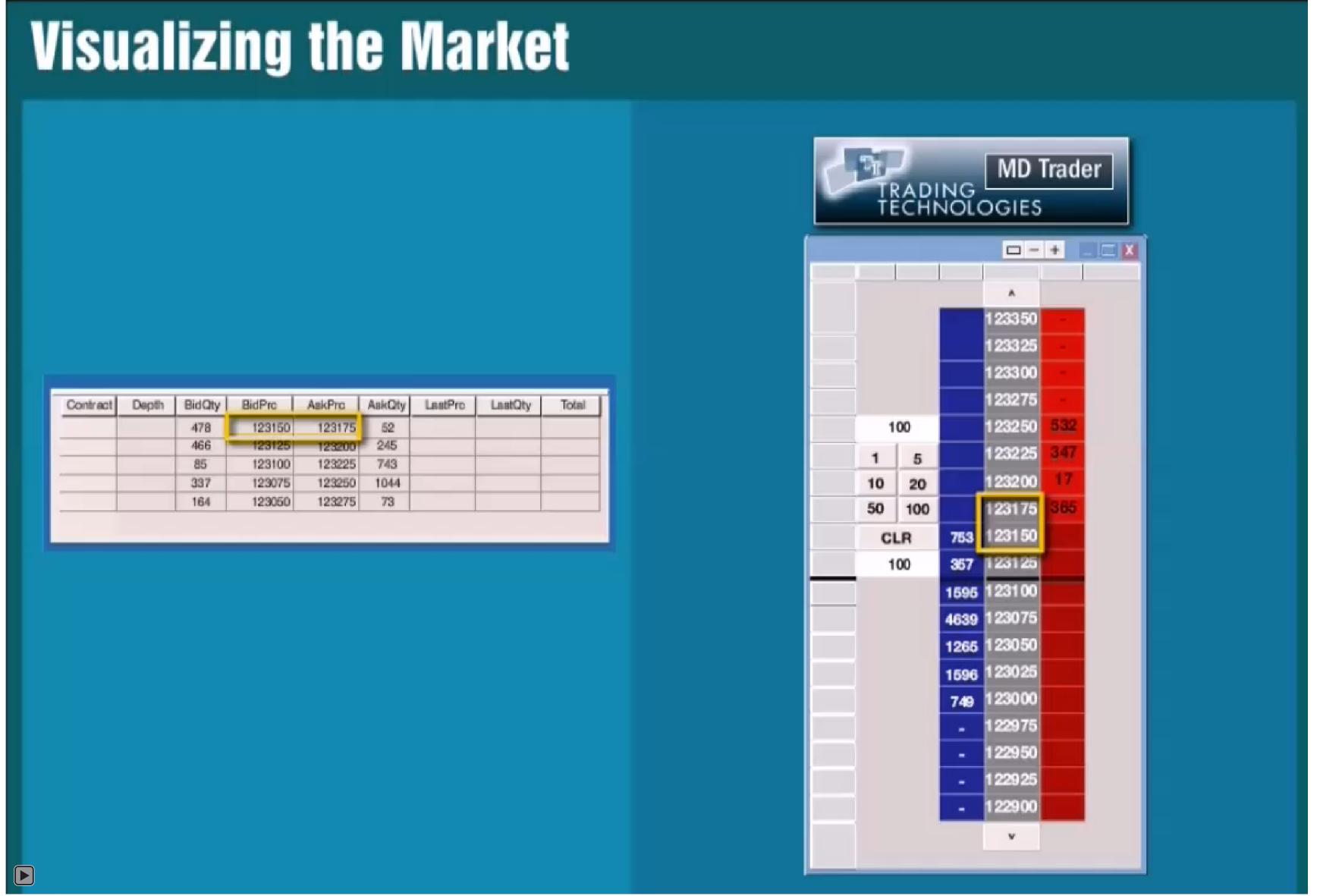
Ex. 2214; '996 POR at 12; '374 POR at 18.



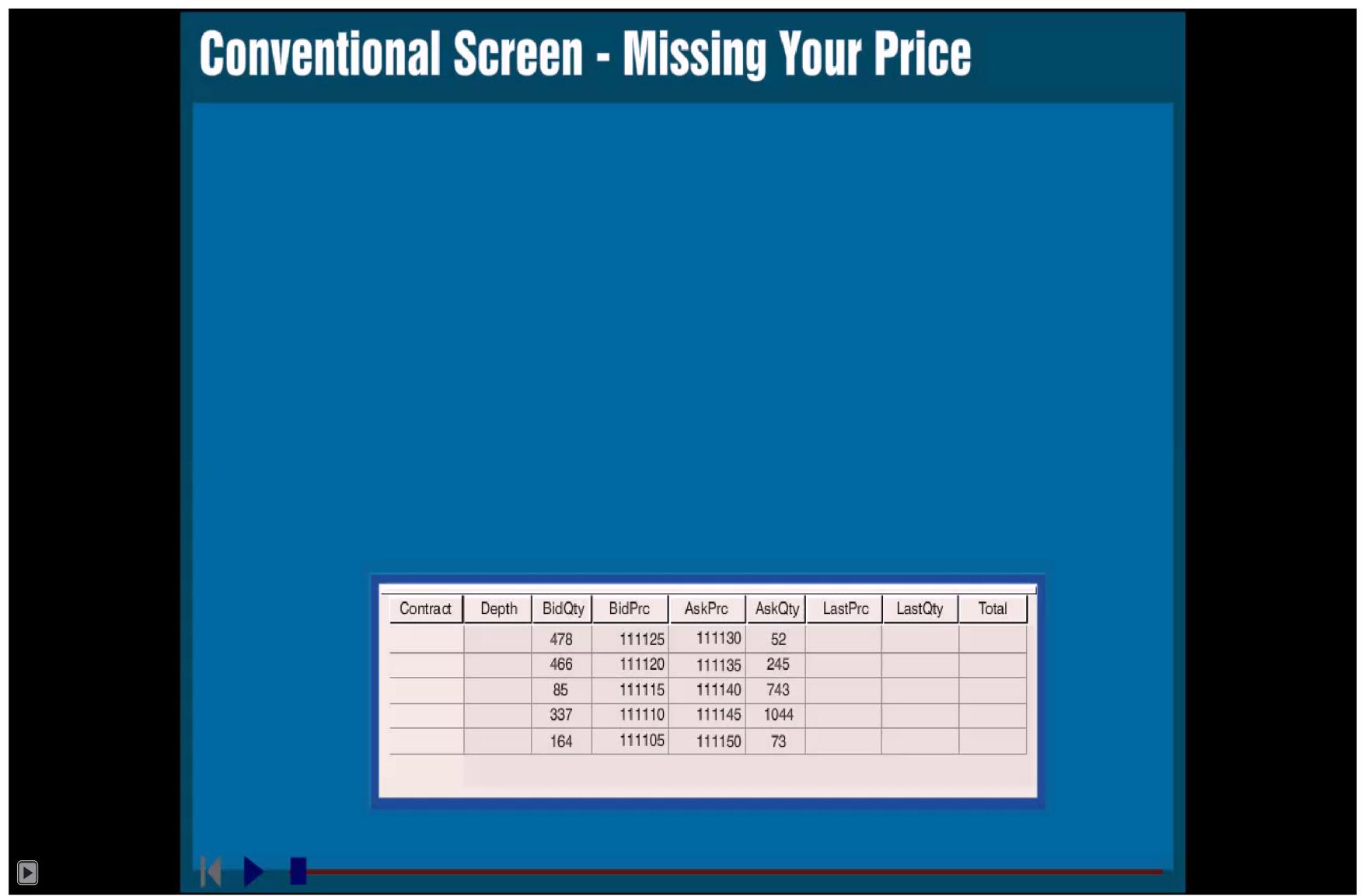
Ex. 2195; '996 POR at 12; '374 POR at 17.



Ex. 2196; '996 POR at 12; '374 POR at 17.

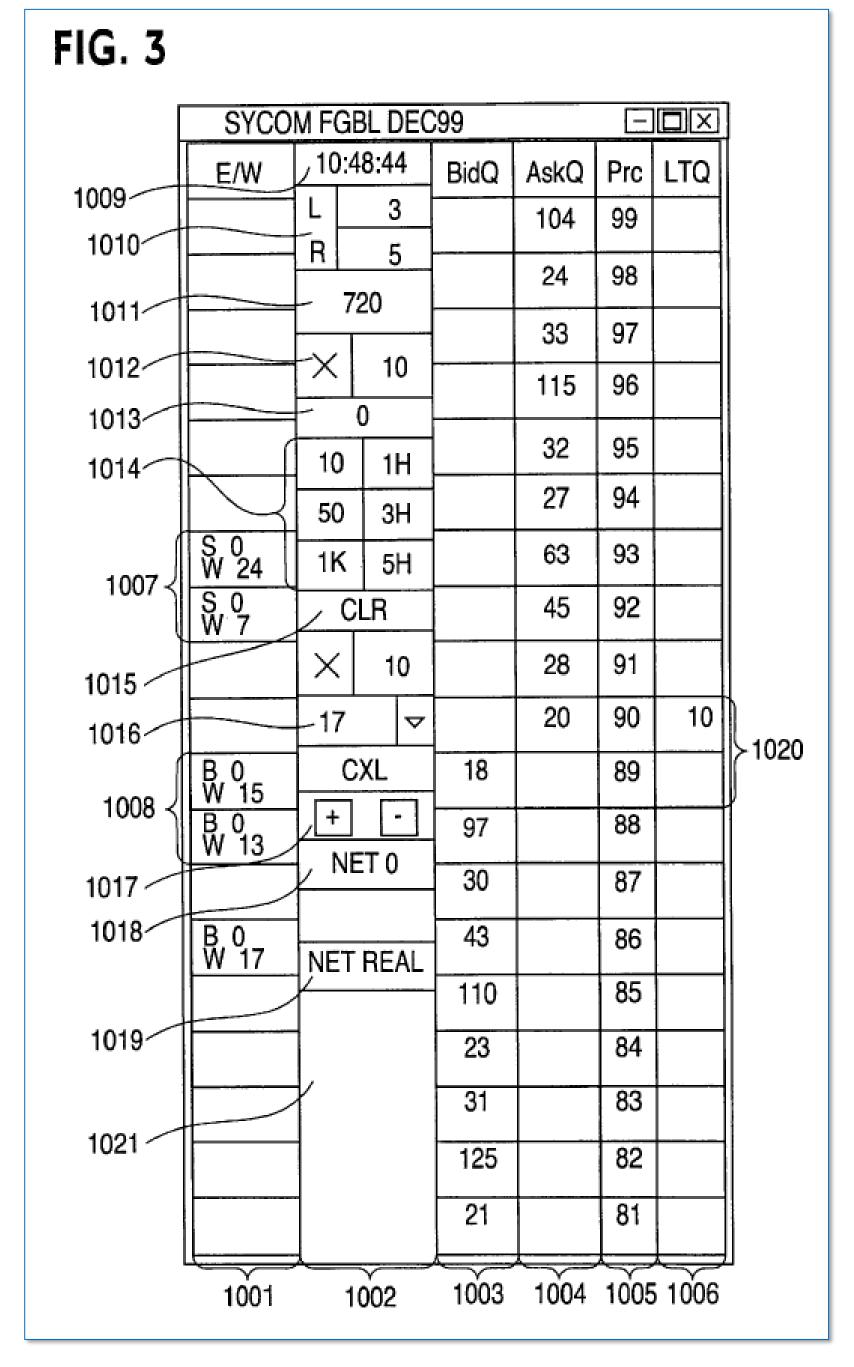


Ex. 2197; '996 POR at 12; '374 POR at 18.



Ex. 2212; '996 POR at 12; '374 POR at 18.





Ex. 1001 at Fig. 3; '996₽©₽ at 14-15.

IB v. TT CBM2016-00031, -00051 EXHBIT 2417



Claim 1	Fig. 3 (T1)	Fig. 4 (T2)
1. A computer readable medium having program code recorded thereon for execution on a computer having a graphical user interface and a user input device, the program code causing a machine to perform the following method steps: receiving market information for a commodity from an electronic exchange, the market information comprising an inside market with a current highest bid price and a current lowest ask price; receiving an input from a user that designates a default quantity to be used for a plurality of trade orders; dynamically displaying a first indicator in one of a plurality of locations in a bid display region, each location in the bid display region corresponding to a price level along a static price axis, the first indicator representing quantity associated with at least one order to buy the commodity at the current highest bid price; dynamically displaying a second indicator in one of a plurality of locations in an ask display region, each location in the ask display region corresponding to a price level along the static price axis, the second indicator representing quantity associated with at least one order to sell the commodity at the current lowest ask price. displaying the bid and ask display regions in relation to a plurality of price levels arranged along the static price axis such that when the inside market changes, the price levels along the static price axis do not change positions and at least one of the first and second indicators moves in the bid or ask display regions relative to the static price axis; displaying an order entry region aligned with the static price axis; comprising a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; and receiving a plurality of commands from a user, each command results from selecting a particular area in the order entry region corresponding to a desired price level as part of a single action of the user input device with a pointer of the u	SYCOM FGBL DEC99	BidQ AskQ Pro

PAGE 9 of 45



Claim 1			Fi	g. (3 (Γ1)			Fig.	4 (T2)
1. A computer readable medium having program of		SYCOL	I FGB	LDEC	299		E	EX	299	_	=
recorded thereon for execution on a computer having		EAV	10:48	:44	BidQ	AskQ	Pro	LTO	BidQ	AdhQ	Fic
graphical user interface and a user input device, the			나	3		104	99		-	104	99
code causing a machine to perform the following me	hod -	_	A _	5		24	98			100	60
steps:	#	-	- 72	0	\vdash	-				24	50
receiving market information for a commodity fro			VT.			33	97			33	97
electronic exchange, the market information co an inside market with a current highest bid pric			<u> </u>	10		115	96			115	96
current lowest ask price;	and a		0			32	95			32	16
receiving an input from a user that designates a de	Fault h	-H	10	111	_	_	-	-		_	4
quantity to be used for a plurality of trade order		4	50	3H		27	94		-	27	24
dynamically displaying a first indicator in one of a	11.5	N 24	1K	5H		63	93			63	53
of locations in a bid display region, each location	1 Brown	S.Q.	- a	R		45	92		43		82
bid display region corresponding to a price leve		W /	J	**		28	91		126	-	101
static price axis, the first indicator representing			스	10	_	£0	31	1.0		-	100
associated with at least one order to buy the con	nmodity	-	-17	V		20	90	10	9.7		50
at the current highest bid price;	1 s	5,0	CX	L	18		89		18		69
dynamically displaying a second indicator in one	- 11.09	10	F		97		88		97		68
rality of locations in an ask display region, each	29	W 13	NET	0	- 22	_			30	-	62
in the ask display region corresponding to a pri	The state of the s	_			30		87		30		67
along the static price axis, the second indicator	11.86	3.0	NET P	Cal	43		86		43		88
senting quantity associated with at least one or	er to sell		ME I P	EAL	110		85		110		85
the commodity at the current lowest ask price;	on to a	=			23	-	84	_	1000		84
displaying the bid and ask display regions in relational plurality of price levels arranged along the state			,		-		0.07		23	-	-
axis such that when the inside market changes,	_	-		- 1	31		83		31		63
levels along the static price axis do not change	- I				125		82		125		12
and at least one of the first and second indicato		_			21	-	81	_	21		81
in the bid or ask display regions relative to the					21		01		2,1	_	
axis:	, and the second										
displaying an order entry region aligned with the	tatic										
price axis comprising a plurality of areas for re	eiving										
commands from the user input device to send t	ade										
orders, each area corresponding to a price level	of the										
static price axis; and											
receiving a plurality of commands from a user, ea											
mand sending a trade order to the electronic ex	•										
each trade order having an order quantity based											
default quantity without the user designating th											
quantity between commands, wherein each cor											
results from selecting a particular area in the or region corresponding to a desired price level as	•										
single action of the user input device with a po	*										
user input device positioned over the particular											
both set an order price parameter for the trade of											
based on the desired price level and send the tra											
to the electronic exchange.											



Claim 1	Fig. 3 (T1)	Fig. 4 (T2)
1. A computer readable medium having program code	SYCOM FGBL DEC99	.99 Œ
recorded thereon for execution on a computer having a	E/W 10:48:44 BidQ AskQ Prc LTQ	BidQ AskQ Pro
graphical user interface and a user input device, the program	L 3 104 99	104 59
code causing a machine to perform the following method	R 5	
steps:	720 24 98	24 98
receiving market information for a commodity from an	33 97	33 97
electronic exchange, the market information comprising	× 10 115 96	115 96
an inside market with a current highest bid price and a current lowest ask price;	0 0	200
receiving an input from a user that designates a default	10 1H 32 95	32 95
quantity to be used for a plurality of trade orders;	50 3H 27 94	27 94
dynamically displaying a first indicator in one of a plurality	\$ 0 94 1K 5H 63 93	63 93
of locations in a bid display region, each location in the	\$.0 CLR 45 92	63 52
bid display region corresponding to a price level along a	W7	56
static price axis, the first indicator representing quantity	X 10 28 91	125 01
associated with at least one order to buy the commodity	17 - 20 90 10	97 90
at the current highest bid price;	B 0 CXL 89	18 69
dynamically displaying a second indicator in one of a plu-	W 15	
rality of locations in an ask display region, each location	B 0 + - 97 88	97 68
in the ask display region corresponding to a price level	NE 10 30 87	30 67
along the static price axis, the second indicator repre-	B. 0 43 86	43 66
senting quantity associated with at least one order to sell	W 17 NET REAL	
the commodity at the current lowest ask price;		110 85
displaying the bid and ask display regions in relation to a plurality of price levels arranged along the static price	23 84	23 64
axis such that when the inside market changes, the price	31 83	31 83
levels along the static price axis do not change positions	125 82	
and at least one of the first and second indicators moves		125 82
in the bid or ask display regions relative to the static price	21 81	21 81
axis;	11	
displaying an order entry region aligned with the static		
price axis comprising a plurality of areas for receiving		
commands from the user input device to send trade		
orders, each area corresponding to a price level of the		
static price axis; and		
receiving a plurality of commands from a user, each com-		
mand sending a trade order to the electronic exchange,		
each trade order having an order quantity based on the		
default quantity without the user designating the default quantity between commands, wherein each command		
results from selecting a particular area in the order entry		
region corresponding to a desired price level as part of a		
single action of the user input device with a pointer of the		
user input device positioned over the particular area to		
both set an order price parameter for the trade order		
based on the desired price level and send the trade order		
to the electronic exchange.		



I. A computer readable medium having program code recorded thereon for execution on a computer having a graphical user interface and a user input device, the program code causing a machine to perform the following method steps: receiving market information for a commodity from an electronic exchange, the market information comprising an inside market with a current laghest bid price and a current lowest ask price; receiving an input from a user that designates a default quantity to be used for a plurality of locations in a bid display region. cord location in the bid display region corresponding to a price level along a static price axis, the first indicator representing quantity associated with at least one order to buy the commodity at the current highest bid price; dynamically displaying a second indicator in one of a plurality of locations in an ask display region, cach no order to sell the commodity at the current highest bid price; dynamically displaying a second indicator representing quantity associated with at least one order to sell the commodity at the current highest bid price; dynamically displaying a second indicator representing quantity associated with a least one order to sell the commodity at the current highest bid price; dynamically displaying a necrosponding to a price level along a static price axis and the market market changes, the price levels along the static price axis and the market market changes, the price levels along the static price axis and the static price axis and the action of the user and the price axis and receiving an order entry region along and with the static price axis and the action of the user aparticleur area in the order curry region corresponding to a desired price level as pan of a single action of the user input device to send trade order based on the decived price level and received price level and received price level and send the trade order based on the decived price level and send the trade order based on the decived price level and send the trade or	Claim 1	Fig. 3 (T1)	Fig. 4 (T2)
graphical user interface and a user input device, the program code causing a machine to perform the following method steps: receiving market information for a commodity from an electronic exchange, the market information comprising an imside market with a current highest bid price and a current lowest ask price; receiving an input from a user that designates a default quantity to be used for a plurality of trade orders; dynamically displaying a first indicator in one of a plurality of locations in a bid display region, each location in the bid display region corresponding to a price level along a static price axis, the first indicator in one of a plurality of locations in an ask display region, each location in the ask display region corresponding to a price level along the static price axis, such that when the inside market changes, the price level along the static price axis, such that when the inside market changes, the price level along the static price axis comprising a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis comprising a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level on the default quantity without the user designating the default quantity without the user designating the default quantity between commands, wherein each command receiving evolution of the user input device to send trade orders, each area corresponding to a desired price level along the static price axis on the user input device with a pointer of the user input device positioned on the desired price level along the static price axis on the theur order to the electronic exchange, each trade order having an order quantity based on the default quantity without the user designating the default quant		SYCOM FGBL DEC99 - COLX	299 Œ
code causing a machine to perform the following method siteps: receiving market information for a commodity from an electronic exchange, the market information comprising an inside market with a current highest bid price and a current lowest ask price: receiving an input from a user that designates a default quantity to be used for a plurality of trade orders; dynamically displaying a fits indicator in one of a plurality of locations in a bid display region, each location in the bid display region corresponding to a price level along a static price axis. the first indicator representing quantity associated with at least one order to sell the commodity at the current lowest ask price; displaying the bid and ask display region, each location in the ask display region corresponding to a price level along the static price axis such that when the inside market changes, they price levels arranged along the static price axis such that when the inside market changes, they price levels arranged along the static price axis; comprising a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; comprising a plurality of areas for receiving commands from the user input device to send trade order to the electronic exchange, each trade order having an order quantity based on the default quantity without the user diseignating the default quantity between commands, wherein each command results from selecting a particular area in the order control the user input device positioned over the particular area to both set an order price parameter for the user input device positioned over the particular area to both set an order price parameter for the user input device positioned over the particular area to both set an order price parameter for the user input device positioned over the particular area to both set an order price parameter for the user input device positioned over the particular area to both set an order price parameter		E/W , 10:48:44 BidQ AskQ Prc LTQ	BidQ AskQ Pro
steps: receiving market information for a commodity from an electronic exchange, the market information comprising an inside market with a current highest bid price and a current lowest ask price; receiving an input from a user that designates a default quantity to be used for a plurality of trade orders; dynamically displaying a first indicator in one of a plurality of folcations in a bid display region, each location in the bid display region corresponding to a price level along a static price axis, like first indicator in one of a plurality of locations in an ask display region, each location in the ask display region corresponding to a price level along the static price axis, the second indicator representing quantity associated with at least one order to sell the commodity at the current lowest ask price; displaying the bid and ask display regions in relation to a plurality of price levels arranged along the static price axis such that when the inside market changes, the price levels along the static price axis such that when the inside market changes, the price levels along the static price axis on to change positions and at least one of the first and second indicators moves in the bid or ask display regions relative to the static price axis; and receiving a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; and receiving a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; and receiving a plurality of order order quantity between commands, wherein each command results from selecting a particular area in the order cutry region corresponding to a desired price level as part of a single action of the user input device with a pointer of the user input device positioned over the particular area to both set an order price parameter for the trade order.		L 3 104 99	104 99
receiving market information for a commodity from an electronic exchange, the market information comprising an inside market with a current lights bid price and a current lowest ask price. receiving an input from a user that designates a default quantity to be used for a plurality of trade orders. dynamically displaying a first indicator representing quantity associated with a least one order to buy the commodity at the current highest hid price: dynamically displaying a second indicator in one of a plurality of locations in an ask display region, each location in the bid display region corresponding to a price level along the static price axis. the first indicator representing quantity associated with at least one order to buy the commodity at the current lowest ask price: displaying the bid and ask display region, each location in the ask display region corresponding to a price level along the static price axis, but that when the inside market changes, the price level along the bid and ask display regions in relation to a plurality of price levels arranged along the static price axis on tor change positions and taleast one of the first and second indicators moves in the bid or ask display region aligned with the static price axis comprising a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the slatic price axis on order quantity based on the default quantity between commands, wherein each command results from selecting a particular area in the order entry region corresponding to a desired price level as part of a single action of the user input device with a pointer of the user input device positioned over the particular area to both set an order price parameter for the trade order based on the desired price level as part of a single action of the user input device with a pointer of the user input device bestiment of the user input device to the static order.		R 5 24 98	24 56
electronic exchange, the market information comprising an inside tranket with a current highest bid mice and a current lowest ask price: receiving an input from a user that designates a default quantity to be used for a plurality of the catch is a static price axis, in this display region, cach location in the bid display region, cach location in the bid display region corresponding to a price level along a static price axis, the first indicator representing quantity associated with at least one order to buy the commodity at the current highest bid price: dynamically displaying a second indicator in one of a plurality of locations in an ask display region, each location in the ask display region corresponding to a price level along the static price axis, the second indicator representing quantity associated with at least one order to self the commodity at the current lowest ask price: displaying the bid and ask display regions in relation to a plurality of price levels arranged along the static price axis such that when the inside market changes, the price levels along the static price axis on the charge positions and at least one of the first and second indicators moves in the bid or ask display regions relative to the static price axis one of the first and second indicators moves in the bid or ask display regions relative to the static price axis comprising a plurality of areas for receiving commands from the user input device to send trade order having an order quantity based on the default quantity without the user designating the default quantity without the user designating the default quantity between commands, wherein each command results from selecting a particular area in the order entry region corresponding to a desired price level and send the trade order based on the desired price level and send the trade order based on the desired price level and send the trade order based on the desired price level and send the trade order	•	720	1000
an inside market with a current highest bid price and a current lowest ask price: receiving an input from a user that designates a default quantity to be used for a plurality of trade orders; dynamically displaying a first indicator in one of a plurality of locations in a bid display region, each location in the bid display region corresponding to a price level along a static price axis. the first indicator representing quantity associated with at least one order to buy the commodity at the current highest bid price: dynamically displaying a second indicator in one of a plurality of locations in an ask display region, each location in the ask display region corresponding to a price level along the static price axis, the second indicator representing quantity associated with at least one order to sell the commodity at the current lowest ask price; displaying the bid and ask display regions in relation to a plurality of price levels arranged along the static price axis such that when the inside market changes, the price levels along the static price axis do not change positions and telest one of the lirst and second indicators moves in the bid or ask display regions relative to the static price axis comprising a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; and receiving a plurality of commands from a user, each command sending a trade order having an order quantity besteen command results from selecting a particular area in the order entry region corresponding to a desired price level as part of a single action of the user input device with a pointer of the user input device positioned over the particular area to both set an order price parameter for the trade order based on the desired price level and send the trade order based on the desired price level and send the trade order		Y 10	
current lowest ask price: receiving an input from a user that designates a default quantity to be used for a plurality of trade orders: dynamically displaying a first indicator in one of a plurality of locations in a bid display region, each location in the bid display region corresponding to a price level along a static price axis, inhe first indicator representing quantity issociated with at least one order to buy the commodity at the current highest bid price: dynamically displaying a second indicator in one of a plurality of locations in an ask display region, each location in the ask display region corresponding to a price level along the static price axis, the second indicator repre- senting quantity associated with at least one order to sell the commodity at the current lowest ask price: displaying the bid and ask display regions in relation to a plurality of price levels arranged along the static price axis such that when the inside market changes, the price levels along the static price axis do not change positions and at least one of the first and second indicators moves in the bid or ask display regions relative to the static price axis comprising a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; and receiving a plurality of commands, wherein each command results from selecting a particular area in the order entry region corresponding to a desired price level as part of a single action of the user imput device with a pointser of the user input device positioned over the particular area to both set an onter price parameter for the trade order based on the desired price level and send the trade order based on the desired price level and send the trade order based on the desired price level and send the trade order		115 96	115 96
receiving an input from a user that designates a default quantity to be used for a plurality of trade orders; signamically displaying a first indicator in one of a plurality of locations in a bid display region, each location in the bid display region corresponding to a price level along a static price axis. the first indicator representing quantity associated with at least one order to buy the commodity at the current highest bid price: dynamically displaying a second indicator in one of a plurality of locations in an ask display region, each location in the ask display region corresponding to a price level along the static price axis, the second indicator representing quantity of price levels arranged along the static price axis such that when the inside market changes, the price levels along the static price axis do not change positions and at least one of the first and second indicators moves in the bid or ask display regions relative to the static price axis. displaying an order entry region aligned with the static price axis comprising a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static quantity between commands, wherein each command results from selecting a particular area in the order entry region corresponding to a desired price level as part of a single action of the user input device with a pointer of the user input		32 95	32 95
quantity to be used for a plurality of frade orders; dynamically displaying a first indicator in one of a plurality of locations in a bid display region, each location in the bid display region corresponding to a price level along a static price axis. the first indicator representing quantity associated with at least one order to buy the commodity at the current highest bid price. dynamically displaying a second indicator in one of a plurality of locations in an ask display region, each location in the ask display region corresponding to a price level along the static price axis, the second indicator repre- senting quantity associated with at least one order to sell the commodity at the current lowest ask price; displaying the bid and ask display regions in relation to a plurality of price levels arranged along the static price axis such that when the inside market changes, the price levels along the static price axis do not change positions and at least one of the first and second indicators moves in the bid or ask display regions relative to the static price axis; displaying an order entry region aligned with the static price axis; comprising a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; and receiving a plurality of commands from a user, cach com- mand sending a trade order having an order quantity based on the default quantity without the user designating the default quantity between commands, wherein each command results from selecting a particular area in the order entry region corresponding to a desired price level as part of a single action of the user input device with a pointer of the user input device positioned over the particular area to both set an order price parameter for the trade order based on the desired price level and send the trade order		10 1H 27 04	27 94
dynamically displaying a first indicator in one of a plurality of locations in a bid display region, each location in the bid display region corresponding to a price level along a static price axis, the first indicator representing quantity associated with a least one order to buy the commodity at the current highest bid price. dynamically displaying a second indicator in one of a plurality of locations in an ask display region, each location in the ask display region corresponding to a price level along the static price axis, the second indicator representing quantity associated with at least one order to sell the commodity at the current lowest ask price; displaying the bid and ask display regions in relation to a plurality of price levels arranged along the static price axis such that when the inside market changes, the price levels along the static price axis in the bid or ask display regions relative to the static price axis; and receiving a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; and receiving a plurality of commands from a user, each command sending a trade order to the electronic exchange, each trade order having an order quantity based on the default quantity between commands results from selecting a particular area in the order entry region corresponding to a desired price level as part of a single action of the user input device with a pointer of the user input device with		SU 3H	62 (2)
of locations in a bid display region, each location in the bid display region corresponding to a price level along a static price axis, the first indicator representing quantity associated with at least one order to buy the commodity at the current highest bid price: dynamically displaying a second indicator in one of a plurality of locations in an ask display region, each location in the ask display region corresponding to a price level along the static price axis, the second indicator representing quantity associated with at least one order to sell the commodity at the current lowest ask price; displaying the bid and ask display regions in relation to a plurality of price levels arranged along the static price axis such that when the inside market changes, the price levels along the static price axis do not change positions and at least one of the first and second indicators moves in the bid or ask display regions relative to the static price axis. displaying an order entry region aligned with the static price axis comprising a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; and receiving a plurality of commands from a user, each command sending a trade order to the electronic exchange, each trade order having an order quantity based on the default quantity between commands, wherein each command results from selecting a particular area in the order entry region corresponding to a desired price level as part of a single action of the user input device with a pointer of the user input device with a pointer of the user input device positioned over the particular area to both set an order price parameter for the trade order based on the desired price level and send the trade order based on the desired price level and send the trade order		W 24 1K 5H 63 93	
static price axis, the first indicator representing quantity associated with at least one order to but the commodity at the current highest bid price; dynamically displaying a second indicator in one of a plurality of locations in an ask display region, each location in the ask display region corresponding to a price level along the static price axis, the second indicator representing quantity associated with at least one order to sell the commodity at the current lowest ask price; displaying the bid and ask display regions in relation to a plurality of price levels arranged along the static price axis such that when the inside market changes, the price levels along the static price axis do not change positions and at least one of the first and second indicators moves in the bid or ask display regions relative to the static price axis: displaying an order entry region aligned with the static price axis comprising a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; and receiving a plurality of commands from a user, each command sending a trade order thaving an order quantity based on the default quantity without the user designating the default quantity without the user designating the default quantity between commands, wherein each command results from selecting a particular area in the order entry region corresponding to a desired price level as part of a single action of the user input device with a pointer of the user input device positioned over the particular area to both set an order price parameter for the trade order based on the desired price level and send the trade order based on the desired price level and send the trade order		\$ 0 CLR 45 92	62
associated with at least one order to buy the commodity at the current highest bid price: dynamically displaying a second indicator in one of a plurality of locations in an ask display region, each location in the ask display region corresponding to a price level along the static price axis, the second indicator representing quantity associated with at least one order to sell the commodity at the current lowest ask price; displaying the bid and ask display regions in relation to a plurality of price levels arranged along the static price axis such that when the inside market changes, the price levels along the static price axis do not change positions and at least one of the first and second indicators moves in the bid or ask display regions relative to the static price axis: displaying an order entry region aligned with the static price axis comprising a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; and receiving a plurality of commands from a user, each command sending a trade order to the electronic exchange, each trade order having an order quantity between commands, wherein each command results from selecting a particular area in the order entry region corresponding to a desired price level as part of a single action of the user input device with a pointer of the user input device positioned over the particular area to both set an order price level and send the trade order based on the desired price level and send the trade order based on the desired price level and send the trade order	bid display region corresponding to a price level along a	× 10 28 91	125 01
associated with at least one order to buy the commodity at the current highest bid price; dynamically displaying a second indicator in one of a plurality of locations in an ask display region, each location in the ask display region corresponding to a price level along the static price axis, the second indicator representing quantity associated with at least one order to sell the commodity at the current lowest ask price; displaying the bid and ask display regions in relation to a plurality of price levels arranged along the static price axis such that when the inside market changes, the price levels along the static price axis one of the first and second indicators moves in the bid or ask display regions relative to the static price axis comprising a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; and receiving a plurality of commands from a user, each command sending a trade order to the electronic exchange, each trade order having an order quantity based on the default quantity between commands, wherein each command results from selecting a particular area in the order entry region corresponding to a desired price level as part of a single action of the user input device with a pointer of the user input device positioned over the particular area to both set an order price parameter for the trade order based on the desired price level and send the trade order based on the desired price level and send the trade order		17 20 90 10	97 90
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and at least one of the first and second indicators moves in the bid or ask display regions relative to the static price axis: displaying an order entry region aligned with the static price axis comprising a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; and receiving a plurality of commands from a user, each command sending a trade order to the electronic exchange, each trade order having an order quantity based on the default quantity without the user designating the default quantity between commands, wherein each command results from selecting a particular area in the order entry region corresponding to a desired price level as part of a single action of the user input device with a pointer of the user input device positioned over the particular area to both set an order price parameter for the trade order based on the desired price level and send the trade order		Dt. 81	21 81
displaying an order entry region aligned with the static price axis comprising a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; and receiving a plurality of commands from a user, each command sending a trade order to the electronic exchange, each trade order having an order quantity based on the default quantity without the user designating the default quantity between commands, wherein each command results from selecting a particular area in the order entry region corresponding to a desired price level as part of a single action of the user input device with a pointer of the user input device positioned over the particular area to both set an order price parameter for the trade order based on the desired price level and send the trade order			
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price axis comprising a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; and receiving a plurality of commands from a user, each command sending a trade order to the electronic exchange, each trade order having an order quantity based on the default quantity without the user designating the default quantity between commands, wherein each command results from selecting a particular area in the order entry region corresponding to a desired price level as part of a single action of the user input device with a pointer of the user input device positioned over the particular area to both set an order price level and send the trade order			
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default quantity without the user designating the default quantity between commands, wherein each command results from selecting a particular area in the order entry region corresponding to a desired price level as part of a single action of the user input device with a pointer of the user input device positioned over the particular area to both set an order price parameter for the trade order based on the desired price level and send the trade order	• •		
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THE PROPERTY OF THE PROPERTY O	to the electronic exchange.		



Claim 1	Fig. 3 (T1)	Fig. 4 (T2)
1. A computer readable medium having program code recorded thereon for execution on a computer having a graphical user interface and a user input device, the program code causing a machine to perform the following method steps: receiving market information for a commodity from an electronic exchange, the market information comprising an inside market with a current highest bid price and a current lowest ask price; receiving an input from a user that designates a default quantity to be used for a plurality of trade orders; dynamically displaying a first indicator in one of a plurality of locations in a bid display region, each location in the bid display region corresponding to a price level along a static price axis, the first indicator representing quantity associated with at least one order to buy the commodity at the current highest bid price; dynamically displaying a second indicator in one of a plurality of locations in an ask display region, each location in the ask display region corresponding to a price level along the static price axis, the second indicator representing quantity associated with at least one order to sell the commodity at the current lowest ask price; displaying the bid and ask display regions in relation to a plurality of price levels arranged along the static price axis such that when the inside market changes, the price levels along the static price axis do not change positions and at least one of the first and second indicators moves in the bid or ask display regions relative to the static price axis: displaying an order entry region aligned with the static price axis comprising a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; and receiving a plurality of commands from a user, each command sending a trade order to the electronic exchange, each trade order having an order quantity based on the default quantity between commands, wherein each command results from selecting a	SYCOM FGBL DEC99 E/W	BidQ AskQ Frc 104 99 24 98 33 97 115 96 32 95 27 94 63 93 63 93 63 90 18 69 97 90 18 69 97 68 30 67 43 66 110 65 23 64 31 63 125 62 21 81



Claim 1	Fig. 3 (T1)	Fig. 4 (T2)
1. A computer readable medium having program code recorded thereon for execution on a computer having a graphical user interface and a user input device, the program code causing a machine to perform the following method steps: receiving market information for a commodity from an electronic exchange, the market information comprising an inside market with a current highest bid price and a current lowest ask price; receiving an input from a user that designates a default quantity to be used for a plurality of trade orders; dynamically displaying a first indicator in one of a plurality of locations in a bid display region, each location in the bid display region corresponding to a price level along a static price axis, the first indicator representing quantity associated with at least one order to buy the commodity at the current highest bid price; dynamically displaying a second indicator in one of a plurality of locations in an ask display region, each location in the ask display region corresponding to a price level along the static price axis, the second indicator representing quantity associated with at least one order to sell the commodity at the current lowest ask price; displaying the bid and ask display regions in relation to a plurality of price levels arranged along the static price axis such that when the inside market changes, the price levels along the static price axis do not change positions and at least one of the first and second indicators moves in the bid or ask display regions relative to the static price axis; displaying an order entry region aligned with the static price axis comprising a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; and receiving a plurality of commands from a user, each command sending a trade order having an order quantity based on the default quantity between commands, wherein each command results from selecting a particular area in the order entry regio	SYCOM FGBL DEC99 E/W	BidO AskQ Frc 104 59 24 56 33 57 115 56 32 55 27 54 63 53 52 125 51 97 50 18 59 97 68 30 57 43 56 110 55 23 54 31 53 125 52 21 81



Claim 1	Fig. 3 (T1)	Fig. 4 (T2)
1. A computer readable medium having program code recorded thereon for execution on a computer having a graphical user interface and a user input device, the program code causing a machine to perform the following method steps: receiving market information for a commodity from an electronic exchange, the market information comprising an inside market with a current highest bid price and a current lowest ask price; receiving an input from a user that designates a default quantity to be used for a plurality of trade orders; dynamically displaying a first indicator in one of a plurality of locations in a bid display region, each location in the bid display region corresponding to a price level along a static price axis, the first indicator representing quantity associated with at least one order to buy the commodity at the current highest bid price; dynamically displaying a second indicator in one of a plurality of locations in an ask display region, each location in the ask display region corresponding to a price level along the static price axis, the second indicator representing quantity associated with at least one order to sell the commodity at the current lowest ask price; displaying the bid and ask display regions in relation to a plurality of price levels arranged along the static price axis such that when the inside market changes, the price levels along the static price axis do not change positions and at least one of the first and second indicators moves in the bid or ask display regions relative to the static price axis; displaying an order entry region aligned with the static price axis comprising a plurality of areas for receiving commands from the user input device to send trade orders each area corresponding to a price level of the static price axis; and receiving a plurality of commands from a user, each command sending a trade order to the electronic exchange, each trade order having an order quantity based on the default quantity between commands, wherein each command results from selecti	SYCOM FGBL DEC99 E/W	BidO AskQ Fro 104 99 24 66 33 97 115 96 32 95 27 94 61 93 61 92 125 91 97 88 97 88 110 65 23 64 31 83 125 22 21 81

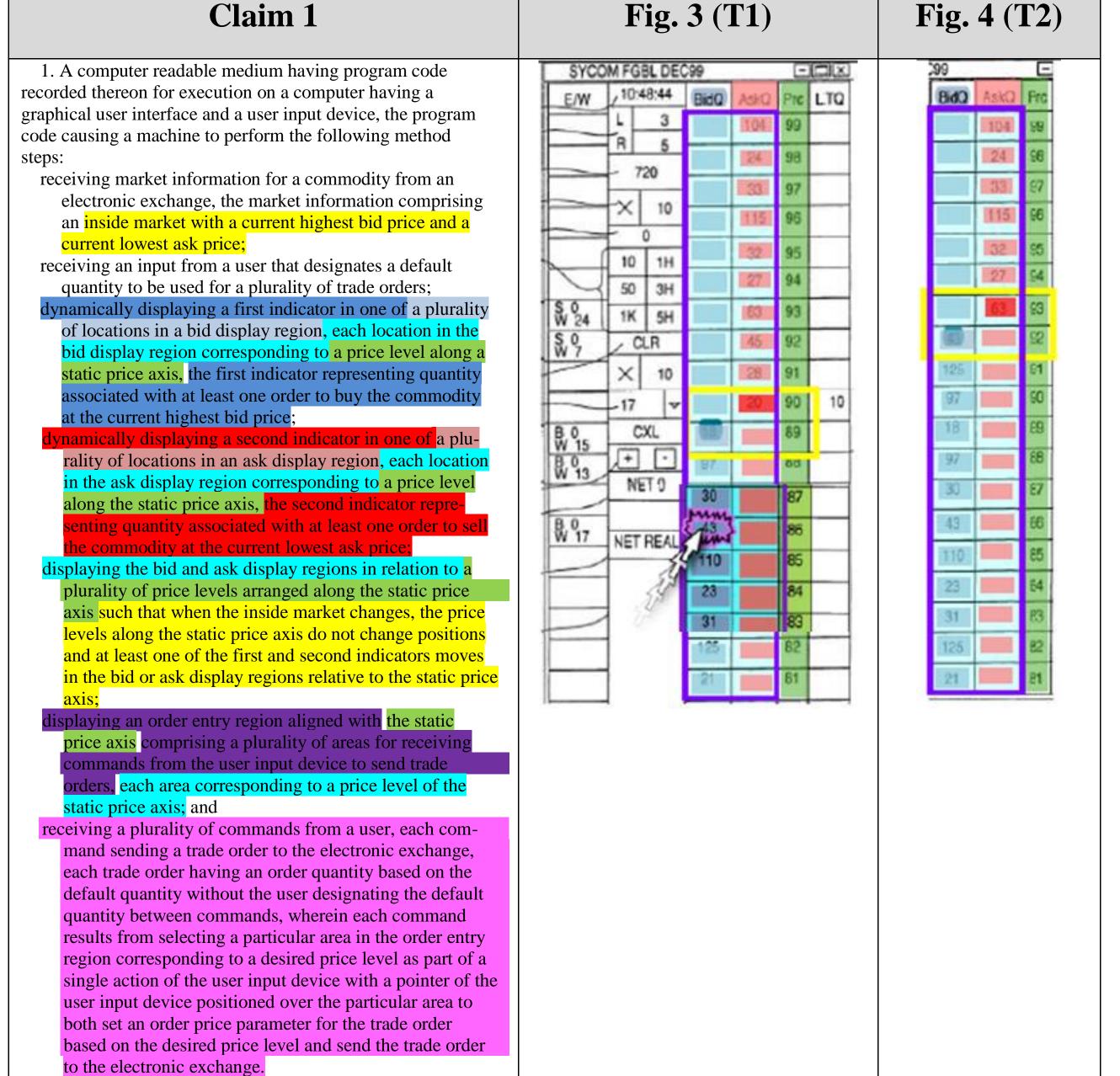


Claim 1	Fig. 3 (T1)	Fig. 4 (T2)
1. A computer readable medium having program code recorded thereon for execution on a computer having a graphical user interface and a user input device, the program code causing a machine to perform the following method steps: receiving market information for a commodity from an electronic exchange, the market information comprising an inside market with a current highest bid price and a current lowest ask price: receiving an input from a user that designates a default quantity to be used for a plurality of trade orders; dynamically displaying a first indicator in one of a plurality of locations in a bid display region, each location in the bid display region corresponding to a price level along a static price axis, the first indicator representing quantity associated with at least one order to buy the commodity at the current highest bid price; lynamically displaying a second indicator in one of a plurality of locations in an ask display region, each location in the ask display region corresponding to a price level along the static price axis, the second indicator representing quantity associated with at least one order to sell the commodity at the current lowest ask price displaying the bid and ask display regions in relation to a plurality of price levels arranged along the static price axis such that when the inside market changes, the price levels along the static price axis do not change positions and at least one of the first and second indicators moves in the bid or ask display regions relative to the static price axis; and receiving a plurality of areas for receiving commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; and receiving a plurality of commands from a user, each command sending a trade order to the electronic exchange, each trade order having an order quantity based on the default quantity between commands, wherein each command results from selecting a particular area in the order entry region corresponding to a des	SYCOM FGBL DEC99 E/W	BidO AskQ Frc



Claim 1	Fig. 3 (T1)	Fig. 4 (T2)
1. A computer readable medium having program code recorded thereon for execution on a computer having a graphical user interface and a user input device, the program code causing a machine to perform the following method steps: receiving market information for a commodity from an electronic exchange, the market information comprising an inside market with a current highest bid price and a current lowest ask price: receiving an input from a user that designates a default quantity to be used for a plurality of trade orders; dynamically displaying a first indicator in one of a plurality of locations in a bid display region, each location in the bid display region corresponding to a price level along a static price axis, the first indicator representing quantity associated with at least one order to buy the commodity at the current highest bid price; dynamically displaying a second indicator in one of a plurality of locations in an ask display region, each location in the ask display region corresponding to a price level along the static price axis, the second indicator representing quantity associated with at least one order to sell the commodity at the current lowest ask price; displaying the bid and ask display regions in relation to a plurality of price levels arranged along the static price axis such that when the inside market changes, the price levels along the static price axis do not change positions and at least one of the first and second indicators moves in the bid or ask display regions relative to the static price axis; displaying an order entry region aligned with the static price axis; and receiving a plurality of commands from the user input device to send trade orders, each area corresponding to a price level of the static price axis; and receiving a plurality of commands from a user, each command results from selecting a particular area in the order entry region corresponding to a desired price level as part of a single action of the user input device positioned over the particular area to both	SYCOM FGBL DEC99	





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displaying ... a plurality of graphical locations aligned along an axis, where each graphical location is configured to be selected by a single action of a user input device to send a trade order to the electronic exchange, where a price of the trade order is based on the selected graphical location,

mapping ... the plurality of sequential price levels to the plurality of graphical locations, where ...[the] mapping of the plurality of sequential price levels does not change at a time when at least one of the current highest bid price and the current lowest ask price changes; and

setting a price and sending the trade order to the electronic exchange in response to receiving ... commands based on user actions consisting of: (1) placing a cursor associated with the user input device over a desired graphical location of the plurality of graphical locations and (2) selecting the desired graphical location through a single action of the user input device.



CBM Jurisdiction



Technological Feature



996 Petition

In independent claim 1, for example, the only arguably technical features in the claim are "a graphical user interface," "a user input device," and a "computer" that performs standard computing functions such as "receiving" and "displaying."

Experts Agree That GUIs are Technology

Dr. Olsen states that "graphical user interfaces are a technology with specific technical problems," Ex.2174, ¶7.

Mr. Bear states that "graphical user interfaces are inherently technology," Ex.2168, ¶3.

Furthermore, Petitioners' expert, Dr. Mellor, agreed that "the underlying technology is the graphical user interface." See, e.g., Ex.2294, at 45.

Likewise, an expert for one of Petitioners' joint defense partners, Mr. Van Dusen, agreed that the technology described in the patents is directed to a specific type of graphical user interface for order entry. Ex.2169, ¶102-103(discussing Ex.2292, 110-11).

Experts Agree That GUIs are Technology

TT's claimed invention provides a technical improvement over prior art GUIs because GUIs are technology. See '996 POR at 22; Ex.2169, ¶103.

Mr. Silverman, an expert for eSpeed, testified that the patents are directed to "a field of technology" in which "skilled software engineers" develop "real time processing" and "graphical user interfaces." See '996 POR at 22; Ex.2169, ¶103; Ex. TTTT, 8/24/07 Silverman Dep. Tr., at 131:17-132:2.

In the *eSpeed* case, defendants' expert, Mr. Dezmelyk, acknowledged that the goal of the invention addressed the technical problems of efficiency and accuracy. *See* '996 POR at 22; Ex.2169, ¶103; Ex.WWWW at 8:15-18.

Industry Evidence

TT's claimed invention provides a technical improvement over the prior art GUIs because GUIs are technology. See '996 POR at 22; Ex.2169, ¶¶102-103 (citing Ex.2293-96); Ex.2174, ¶¶13-15.

GUIs advance human-computer interaction ("HCI"), which has been touted as an important and expanding technological field. See '996 POR at 22; Ex.2090, at 2.

NASA's Ames Research Center implemented an entire HCl group that is responsible for software that improves the functionality of interface tools. Ex.2297.

Many colleges and universities offer courses and programs centered on interface design to train engineers and programmers. *See* '996 POR at 22; Ex.2168, ¶29; Ex.2174, ¶13; Exs.2052-2058.



DDR

The Court made clear that changing the process the computer performs to provide an interface from a conventional process to a new process was technological. '996 POR at 37 (citing to DDR at 1257).



CQG

The 132 and 304 patents were "directed to a specific improvement to the way computers operate," *id.*, for the claimed graphical user interface method imparts a specific functionality to a trading system "directed to a specific implementation of a solution to a problem in the software arts."



Technical Problem



Petition

As such, the '996 patent solves, if anything, a business problem . . .



Speed and Accuracy

This specific combination of display elements and features differed from the conventional GUIs at the time of the invention and addressed a specific problem created by these conventional GUIs, namely, improving accuracy without sacrificing speed and improving usability with better visualization. '996 POR at 27. Ex.2169, ¶¶65-69, 77-78; Ex.2174, ¶¶34-37; see also Ex.2211 at 682:1-684:3.



Visualization

Another technical problem with the construction of conventional GUI tools is that, because they display numbers that are constantly changing as market updates are received from the electronic exchange, the conventional GUI tool does not provide a measure of how much or how fast the market information is changing. POR at 34; Ex. 2169, ¶112.



Efficiency

Another technical problem solved by the inventive GUI tool relates to the efficiency of displaying information. In conventional GUI tools, the trader had to access and utilize a separate screen for market information and order entry (e.g., the conventional market grid in Figure 2 of the '996 patent), a separate screen for working orders, and a separate screen for setting a default quantity. '996 POR at 35; Ex. 2169 ¶113.



Technical Solution



Speed and Accuracy

The inventive GUI tool solves this problem by providing a fixed range of price levels along a static display of prices and thereby allowing the dynamic bid and ask information to move relative to the static display of prices. POR at 34; Ex.2169, ¶¶87, 111; see also Ex.2217-19. This is a technical solution to a technical problem, not a business method. *Id.*

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Visualization

The structure, makeup, and functionality of the inventive GUI tool solves this problem by again providing a display in which the market indicators move up and down relative to the prices (which is a result of the claimed juxtaposing of the dynamic indicators and the static display of prices). '996 POR at 34; *Id.* at ¶¶84, 112; *see also* Ex.2215-16.



Efficiency

The inventive GUI tool is constructed so as to provide for a condensed display that combined these separate screens into a single trading tool which improved the speed, accuracy, and efficiency over conventional GUI tools. '996 POR at 35; Ex. 2169, ¶113.



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35 U.S.C. § 101



Test

The Court [in Enfish] has used the same test it used for other types of inventions: if "the claims are directed to a specific implementation of a solution to a problem in the software arts," they "find the claims at issue are not directed to an abstract idea." POR at 51. *Id.* at 1339

McRO, 2016 WL 4896481, at *8 (confirming claims because "[w]hile the rules are embodied in computer software that is processed by general-purpose computers, Defendants provided no evidence that the process previously used by animators is the same as the process required by the claims"). POR at 51.



Inventive Concept



Inventive Concept

The claims recite an inventive concept (and thus pass prong II under *Alice*) because they provide an unconventional and revolutionary combination of features. 996 POR at 32.



Neither Routine nor Conventional

Evidence that the claimed invention was neither routine nor conventional lies with the initial period of skepticism associated with the launch of the commercial embodiment of the claimed invention, followed by enormous commercial success. POR at 32.



Commercial Embodiment

MD_Trader was the commercial embodiment of the claimed invention. POR at 32; Ex.2169, ¶89; Ex.2233 (Ex. LL to Ex.2169 (claim chart describing commercial embodiment)); Ex.2411 (Ex.1 to Ex.2233 (TT X_Trader user manual)); Ex.2412 (Ex.2 to Ex.2233 (TT X_Trader user manual)); Ex.2413 (Ex.3 to Ex.2233 (TT X_Trader user manual)); see also Ex.2169, ¶109 (discussing Ex. 2234); Ex.2169, ¶110 (discussing Ex. 2236, Ex.2238).

'996 POR at 32. '374 POR at 34.

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Initial Skepticism

Following its launch, MD Trader was not an immediate success and indeed was met with a significant amount of initial skepticism. Ex.2169, ¶70 (discussing Ex. 2210), ¶93 (discussing Ex. 2220). TT sales personnel met resistance from traders, who were hesitant to switch to the new technology. POR at 33. *Id.*; Ex.2170 (Ex.W to Ex.2169); Ex.2171 (Ex.U to Ex.2169).



Post Initial Skepticism

After this period of initial skepticism, the invention broke through to become the prominent trading tool in the futures trading space. POR at 33. Ex.2169, ¶95; Ex.2222 (Ex. Z to Ex.2169; Ex.2221 (Ex. Y to Ex.2169). This is confirmed by the over 30 declarations, attested to under penalty of perjury by prominent traders and leaders in the industry. POR at 33. Ex.2169, ¶96; Ex.2223 (Ex. AA to Ex.2169); Ex.2226; see also Ex.2169, ¶100 (discussing Ex.2230); Ex.2169, ¶101 (discussing Ex.2287); Ex.2169, ¶97 (discussing Ex.2250).



Rooted In Technology

The claims also recite an inventive concept (and thus pass prong II under *Alice*) because they are rooted in technology, thus providing a technical solution to a technical problem. POR at 33.