

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC,
INTERACTIVE BROKERS LLC, TRADESTATION GROUP, INC., and
TRADESTATION SECURITIES, INC.,
Petitioners,

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.,
Patent Owner.

Case CBM2016-00051
Patent No. 7,904,374

PETITIONER'S REPLY TO PATENT OWNER'S RESPONSE

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EXHIBIT LIST

- TS-1001 U.S. Patent No. 7,904,374 (“’374 patent”)
- TS-1002 Prosecution History of the ’374 patent
- TS-1003 Certified Translation of “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE”)
- TS-1004 U.S. Patent No. 5,297,031 (“Gutterman”)
- TS-1005 WO 90/11571 to Belden, et al. (“Belden”)
- TS-1006 Certificate of Translation for “Futures/Option Purchasing System Trader Terminal Operation Guide” (“TSE Certificate”)
- TS-1007 List of materials relied upon in the declaration of Kendyl A. Román
- TS-1008 Curriculum Vitae of Kendyl A. Román
- TS-1009 Deposition Transcript of Atushi Kawashima, *Trading Techs. Int’l., Inc. v. eSPEED, Inc.*, Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 (“Kawashima Depo. T.”)
- TS-1010 “Futures/Option Purchasing System Trading Terminal Operation Guide,” Tokyo Stock Exchange” (“TSE JP”)
- TS-1011 Declaration of Kendyl A. Román
- TS-1012 Lodewijk Petram, The World’s First Stock Exchange
- TS-1013 History of the American and NASDAQ Stock Exchanges
- TS-1014 Complaint for Patent Infringement

- TS-1015 Office Patent Trial Practice Guide
- TS-1016 The American Heritage Dictionary of the English Language, 3d Ed. (1992)
- TS-1017 MPEP 2106
- TS-1018 Volusion, Inc. v. Versata Software, Inc., CBM2013-00018, Institution Decision, Paper No. 8
- TS-1019 Letter to Dir. Michelle K. Lee
- TS-1020 Weiss, “After the Trade is Made”
- TS-1021 U.S. Patent No. 5,375,055 to Togher et al. (“Togher”)
- TS-1022-1032 Reserved
- TS-1033 *Trading Techs. Int’l. v. CQG*, No. 05-cv-4811, slip op. (N.D. Ill. Feb. 24, 2015)
- TS-1034 U.S. Patent No. 5,960,411 to Harman Peri et al. (“the Amazon one-click patent”)
- TS-1035 Dictionary of Computing (4th Ed, Oxford University Press, 1996)
- TS-1036 Inside Macintosh, Promotional Edition
- TS-1041 Declaration of Adam Kessel
- TS-1042 *Trading Technologies International, Inc. v. CQG, Inc.*, No. 16-1616, Slip Op. (Fed. Cir. January 18, 2017)
- TS-1043 United States Court of Appeals for the Federal Circuit Internal Operating Procedures
- TS-1044 Opening Brief of Appellants in *Trading Technologies International, Inc. v. CQG, Inc.*

TS-1045 Audio from Oral Argument before the Federal Circuit in
Trading Technologies International, Inc. v. CQG, Inc.

Served but not filed:

TS-1037 Declaration of John C. Phillips

TS-1038 MPEP 2106, Rev.07.2015, November 2015 (served 9/6/2016)

TS-1039 Declaration of Aaron Cohen

TS-1040 Deposition Transcript of Atushi Kawashima dated June 17, 2016

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