UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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IBG LLC and INTERACTIVE BROKERS LLC;

Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

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Case CBM2016-00040
U.S. Patent 7,783,556

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**PATENT OWNER'S MANDATORY NOTICES
PURSUANT TO 37 C.F.R. § 42.8**

Pursuant to 37 C.F.R. § 42.8(a)(2), Patent Owner Trading Technologies International, Inc. ("Trading Technologies") hereby submits the following mandatory notices in connection with this proceeding.

I. TRADING TECHNOLOGIES' POWER OF ATTORNEY

A Power of Attorney appointing lead and back-up counsel is being filed concurrently with these Notices.

II. TRADING TECHNOLOGIES' MANDATORY NOTICES

A. 37 C.F.R. § 42.8(b)(1): Real Party-in-Interest

The real party-in-interest for the Patent Owner is Trading Technologies International, Inc.

B. 37 C.F.R. § 42.8(b)(2): Related Matters[*]

Trading Technologies identifies the following pending judicial matters that would affect, or be affected by, a decision in this proceeding:

Trading Techs. Int'l, Inc. v. Tradestation Sec., Inc., Case No. 1:10-cv-00884 (N.D. Ill.) (consolidated with 1:10-cv-00715 (N.D. Ill));

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[*] To ensure completeness, Patent Owner has listed all proceedings relating to U.S. Patent Nos. 7,685,055; 6,772,132; 7,533,056; 7,676,411; 7,783,556; 7,212,999; 7,813,996 and 6,766,304, which have been asserted together in judicial proceedings, and are subject to administrative proceedings at the USPTO.

Trading Techs. Int'l, Inc. v. IBG LLC, Case No. 1:10-cv-00721 (N.D. Ill.) (consolidated with 1:10-cv-00715 (N.D. Ill));

Trading Techs. Int'l, Inc. v. FuturePath Trading, LLC, Case No. 1:05-cv-05164 (N.D. Ill.) (consolidated with 1:10-cv-00715 (N.D. Ill));

Trading Techs. Int'l, Inc. v. GL Consultants, Inc., Case No. 1:05-cv-04120 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. SunGard Data, Case No. 15-1767 (Fed. Cir.);

Trading Techs. Int'l v. FuturePath Trading LLC, Case No. 15-1768 (Fed. Cir.) (consolidated with Case No. 15-1767);

Trading Techs. Int'l, Inc. v. CQG, Inc., Case No. 16-1616 (Fed. Cir.);

In re Trading Techs. Int'l, Inc., Case No. 16-120 (Fed. Cir.).

Trading Technologies identifies the following settled, terminated, or completed judicial matters related to this patent:

Trading Techs. Int'l, Inc. v. CQG, Inc., Case No. 1:10-cv-00718 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. CQG, Inc., Case No. 1:05-cv-04811 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. FuturePath Trading, LLC, Case No. 1:10-cv-00720 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Sungard Data Sys., Inc., Case No. 1:10-cv-00716 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. thinkorswim Grp., Inc., Case No. 1:10-cv-00883 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Open E Cry, LLC, Case No. 1:10-cv-00885 (N.D. Ill.);

GL Trade Ams., Inc. v. Trading Techs. Int'l, Inc., Case No. 1:11-cv-01558 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. TradeHelm, Inc., Case No. 1:10-cv-00931 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Rosenthal Collins Grp., LLC, Case No. 1:10-cv-00929 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Stellar Trading Sys., Ltd., Case No. 1:10-cv-00882 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Cunningham Trading Sys., LLC, Case No. 1:10-cv-00726 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. BCG Partners, Inc., Case No. 1:10-cv-00715 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Orc Software, Inc., Case No. 1:05-cv-06265 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Transmarket Grp., Case No. 1:05-cv-05161 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. FFastFill PLC, Case No. 1:05-cv-04449 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Strategy Runner, Ltd., Case No. 1:05-cv-04357 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Rolfe and Nolan Sys. Inc., Case No. 1:05-cv-04354 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. RTS Realtime Sys., Inc., et al. Case No. 1:05-cv-04332 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Peregrine Fin. Grp., Inc., Case No. 1:05-cv-04137 (N.D. Ill.);

Rosenthal Collins Grp., LLC vs. Trading Techs. Int'l, Inc., Case No. 1:05-cv-04088 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Ninja Trader, LLC, Case No. 1:05-cv-03953 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Patsystems NA, LLC, Case No. 1:05-cv-02984 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Man Grp. PLC, Case No. 1:05-cv-02164 (N.D. Ill.);

CQGT, LLC v. Trading Techs. Int'l, Inc., Case No. 1:05-cv-01584 (D. Colo.);

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