

Paper No. \_\_\_\_  
Filed: March 9, 2016

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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IBG LLC and INTERACTIVE BROKERS LLC;

Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

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Case CBM2016-00035  
U.S. Patent 6,766,304

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**PATENT OWNER'S MANDATORY NOTICES**  
**PURSUANT TO 37 C.F.R. § 42.8**

Pursuant to 37 C.F.R. § 42.8(a)(2), Patent Owner Trading Technologies International, Inc. (“Trading Technologies”) hereby submits the following mandatory notices in connection with this proceeding.

**I. TRADING TECHNOLOGIES’ POWER OF ATTORNEY**

A Power of Attorney appointing lead and back-up counsel is being filed concurrently with these Notices.

**II. TRADING TECHNOLOGIES’ MANDATORY NOTICES**

**A. 37 C.F.R. § 42.8(b)(1): Real Party-in-Interest**

The real party-in-interest for the Patent Owner is Trading Technologies International, Inc.

**B. 37 C.F.R. § 42.8(b)(2): Related Matters\***

Trading Technologies identifies the following pending judicial matters that would affect, or be affected by, a decision in this proceeding:

*Trading Techs. Int’l, Inc. v. Tradestation Sec., Inc.*, Case No. 1:10-cv-00884 (N.D. Ill.) (consolidated with 1:10-cv-00715 (N.D. Ill));

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\* To ensure completeness, Patent Owner has listed all proceedings relating to U.S. Patent Nos. 7,685,055; 6,772,132; 7,533,056; 7,676,411; 7,783,556; 7,212,999; 7,813,996 and 6,766,304, which have been asserted together in judicial proceedings, and are subject to administrative proceedings at the USPTO.

*Trading Techs. Int'l, Inc. v. IBG LLC*, Case No. 1:10-cv-00721 (N.D. Ill.)

(consolidated with 1:10-cv-00715 (N.D. Ill));

*Trading Techs. Int'l, Inc. v. FuturePath Trading, LLC*, Case No. 1:05-cv-05164 (N.D. Ill.) (consolidated with 1:10-cv-00715 (N.D. Ill));

*Trading Techs. Int'l, Inc. v. GL Consultants, Inc.*, Case No. 1:05-cv-04120 (N.D. Ill.);

*Trading Techs. Int'l, Inc. v. SunGard Data*, Case No. 15-1767 (Fed. Cir.);

*Trading Techs. Int'l v. FuturePath Trading LLC*, Case No. 15-1768 (Fed. Cir.) (consolidated with Case No. 15-1767);

*Trading Techs. Int'l, Inc. v. CQG, Inc.*, Case No. 16-1616 (Fed. Cir.);

*In re Trading Techs. Int'l, Inc.*, Case No. 16-120 (Fed. Cir.).

Trading Technologies identifies the following settled, terminated, or completed judicial matters related to this patent:

*Trading Techs. Int'l, Inc. v. CQG, Inc.*, Case No. 1:10-cv-00718 (N.D. Ill.);

*Trading Techs. Int'l, Inc. v. CQG, Inc.*, Case No. 1:05-cv-04811 (N.D. Ill.);

*Trading Techs. Int'l, Inc. v. FuturePath Trading, LLC*, Case No. 1:10-cv-00720 (N.D. Ill.);

*Trading Techs. Int'l, Inc. v. Sungard Data Sys., Inc.*, Case No. 1:10-cv-00716 (N.D. Ill.);

*Trading Techs. Int'l, Inc. v. thinkorswim Grp., Inc.*, Case No. 1:10-cv-00883

(N.D. Ill.);

*Trading Techs. Int'l, Inc. v. Open E Cry, LLC*, Case No. 1:10-cv-00885

(N.D. Ill.);

*GL Trade Ams., Inc. v. Trading Techs. Int'l, Inc.*, Case No. 1:11-cv-01558

(N.D. Ill.);

*Trading Techs. Int'l, Inc. v. TradeHelm, Inc.*, Case No. 1:10-cv-00931 (N.D.

Ill.);

*Trading Techs. Int'l, Inc. v. Rosenthal Collins Grp., LLC*, Case No. 1:10-cv-

00929 (N.D. Ill.);

*Trading Techs. Int'l, Inc. v. Stellar Trading Sys., Ltd.*, Case No. 1:10-cv-

00882 (N.D. Ill.);

*Trading Techs. Int'l, Inc. v. Cunningham Trading Sys., LLC*, Case No. 1:10-

cv-00726 (N.D. Ill.);

*Trading Techs. Int'l, Inc. v. BCG Partners, Inc.*, Case No. 1:10-cv-00715

(N.D. Ill.);

*Trading Techs. Int'l, Inc. v. Orc Software, Inc.*, Case No. 1:05-cv-06265

(N.D. Ill.);

*Trading Techs. Int'l, Inc. v. Transmarket Grp.*, Case No. 1:05-cv-05161

(N.D. Ill.);

*Trading Techs. Int'l, Inc. v. FFastFill PLC*, Case No. 1:05-cv-04449 (N.D.

Ill.);

*Trading Techs. Int'l, Inc. v. Strategy Runner, Ltd.*, Case No. 1:05-cv-04357

(N.D. Ill.);

*Trading Techs. Int'l, Inc. v. Rolfe and Nolan Sys. Inc.*, Case No. 1:05-cv-04354 (N.D. Ill.);

*Trading Techs. Int'l, Inc. v. RTS Realtime Sys., Inc., et al.* Case No. 1:05-cv-04332 (N.D. Ill.);

*Trading Techs. Int'l, Inc. v. Peregrine Fin. Grp., Inc.*, Case No. 1:05-cv-04137 (N.D. Ill.);

*Rosenthal Collins Grp., LLC vs. Trading Techs. Int'l, Inc.*, Case No. 1:05-cv-04088 (N.D. Ill.);

*Trading Techs. Int'l, Inc. v. Ninja Trader, LLC*, Case No. 1:05-cv-03953 (N.D. Ill.);

*Trading Techs. Int'l, Inc. v. Patsystems NA, LLC*, Case No. 1:05-cv-02984 (N.D. Ill.);

*Trading Techs. Int'l, Inc. v. Man Grp. PLC*, Case No. 1:05-cv-02164 (N.D. Ill.);

*CQGT, LLC v. Trading Techs. Int'l, Inc.*, Case No. 1:05-cv-01584 (D. Colo.);

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