

Paper No. _____
Filed: November 15, 2016

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC; INTERACTIVE BROKERS LLC;
TRADESTATION GROUP, INC.; AND
TRADESTATION SECURITIES, INC.;

Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case CBM2016-00032
U.S. Patent 7,212,999

PATENT OWNER'S EXHIBIT LIST

Pursuant to 37 C.F.R. § 42.63(e), Patent Owner Trading Technologies

International, Inc. hereby submits a current listing of Trading Technologies

Exhibits to counsel for Petitioners IBG LLC, Interactive Brokers LLC,

TradeStation Group, Inc., and TradeStation Securities, Inc Select exhibits between

2001 and 2409 are reserved for later use as indicated in the chart below. Exhibits

2090, 2165 - 2166, 2168 - 2169, 2174, 2183 - 2189, 2192 - 2194, 2201, 2204,

2209, and 2297 were filed on PTAB E2E and served via email to counsel of record

for the petitioner.

Filed Exhibits	Description
Exhibit 2001-2029	Reserved
Exhibit 2030	<i>Trading Techs. Int'l, Inc. v. eSpeed, Inc.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Jury Verdict Form (Oct. 10, 2007)
Exhibit 2031-2053	Reserved
Exhibit 2054	Submission of Publications, etc., PCT 2004-504652 (Ap. 18, 2005) (Translation)
Exhibit 2055-2089	Reserved
Exhibit 2090	Meyers, Brad A. "A Brief History of Human-Computer Interaction Technology." <i>Interactions</i> 5.2 (1998): 44-54
Exhibit 2091 - 2107	Reserved
Exhibit 2108	<i>IBG LLC et al., v. Trading Techs. Int'l. Inc.</i> , Case No. CBM2015-00179, Paper 23, Institution Decision (Feb. 24, 2016)
Exhibit 2109	<i>IBG LLC et al., v. Trading Techs. Int'l. Inc.</i> , Case No. CBM2015-00179, Paper 39, Order (Apr. 15, 2016)
Exhibit 2110	<i>IBG LLC et al., v. Trading Techs. Int'l. Inc.</i> , Case No. CBM2015-00179, Paper 50, Petitioners' Request for Rehearing (Apr. 29, 2016)

Exhibit 2111	U.S. Patent No. 6,766,304 B2
Exhibit 2112	Japanese Patent No. JP5230049 B2
Exhibit 2113	<i>Trading Techs. Int'l. Inc., v. BCG Partners, Inc.</i> , Case No. 10-cv-715, Dkt. 583, Memorandum Opinion and Order (Mar. 25, 2015)
Exhibit 2114	<i>Trading Techs. Int'l. Inc., v. BCG Partners, Inc.</i> , Case No. 10-cv-715, Dkt. 680-1, Letter Request for International Judicial Assistance Through Diplomatic Channels on the Taking of Evidence Abroad in Civil or Commercial Matters (Mar. 7, 2016)
Exhibit 2115	Reserved
Exhibit 2116	U.S. Patent No. 6,772,132 B1
Exhibit 2117	<i>Trading Techs. Int'l. Inc., v. Rosenthal Collins Group, LLC et al.</i> , Case No. 10-cv-715, Dkt. 686, Notification of Docket Entry (Mar. 14, 2016)
Exhibit 2118	<i>Trading Techs. Int'l. Inc., v. Rosenthal Collins Group, LLC et al.</i> , Case No. 10-cv-715, Dkt. 767, Notification of Docket Entry (May 12, 2016)
Exhibit 2119	<i>TradeStation Group, Inc., et al. v. Trading Techs. Int'l, Inc.</i> , Case No. CBM2015-000161, Paper 29, Petition for Covered Business Method Review U.S. Patent No. 6,766,304 (Jul. 20, 2016)
Exhibit 2120	Reserved
Exhibit 2121	<i>Class 705, Data Processing: Financial, Business Practice, Management, or Cost/Price Determination</i> , Classification Definitions (January 2012): 1-53
Exhibit 2122	<i>TradeStation Group, Inc., et al. v. Trading Techs. Int'l, Inc.</i> , Case No. CBM2015-000161, Paper 29, Institution Decision (Jan. 27, 2016)
Exhibit 2123	<i>Class 345, Computer Graphics Processing and Selective Visual Display Systems</i> , Classification Definitions (January 2011): 1-50
Exhibit 2124	<i>Class 345, Computer Graphics Processing and Selective Visual Display Systems</i> , (January 2011): 1-8
Exhibit 2125	<i>July 2015 Update Appendix 1: Examples</i> , USPTO Examination Guidelines
Exhibit 2126	Senate Congressional Record, S5402-S5443 (Sept. 8, 2011)
Exhibit 2127	Senate Congressional Record, S1360-S1394 (Mar. 8, 2011)

Exhibit 2128-2132	Reserved
Exhibit 2133	McGraw Hill "Dictionary of Scientific and Technical Terms," Fifth Edition (1994): Definition of "Recording"
Exhibit 2134 - 2164	Reserved
Exhibit 2165	Deposition Transcript of Kendyl A. Roman, dated May 3, 2016 (Thomas Ex. J)
Exhibit 2166	Deposition Transcript of Kendyl A. Roman, dated May 5, 2016 (Thomas Ex. YYYY)
Exhibit 2167	Reserved
Exhibit 2168	Declaration of Eric Gould-Bear
Exhibit 2169	Declaration of Christopher Thomas
Exhibit 2170 - 2173	Reserved
Exhibit 2174	Declaration of Dan Olsen
Exhibit 2175 - 2182	Reserved
Exhibit 2183	Olsen Appendix A - Card, S., et al., <i>The keystroke-level model for user performance time with interactive systems</i> , Communications of the ACM 23 (CARD 80)
Exhibit 2184	Olsen Appendix B - Olsen, D. R., <i>Evaluating User Interface Systems Research</i> , UIST 2007, ACM (OLSE 07)
Exhibit 2185	Olsen Appendix C - U. S. Patent No. 1,692,601
Exhibit 2186	Olsen Appendix D - U. S. Patent No. 714,878
Exhibit 2187	Olsen Appendix E - Definition of Technology
Exhibit 2188	Olsen Appendix F - U. S. Patent No. 3,018,661
Exhibit 2189	Olsen Appendix G - U. S. Patent No. 5,960,411
Exhibit 2190 - 2191	Reserved
Exhibit 2192	Bear Ex. 1 - Bear CV
Exhibit 2193	Bear Ex. 2 - TUFTE Visual and Statistical Thinking
Exhibit 2194	Bear Ex. 3 - MacKenzie and Buxton on Fitts' Law
Exhibit 2195 - 2200	Reserved

Exhibit 2201	Christopher Thomas CV (Thomas Ex. A)
Exhibit 2202 - 2203	Reserved
Exhibit 2204	Microsoft DNA Case Study (Thomas Ex. D)
Exhibit 2205 - 2208	Reserved
Exhibit 2209	Globex User Guide June 1995 (Thomas Ex. I)
Exhibit 2210 - 2296	Reserved
Exhibit 2297	National Aeronautics and Space Administration Web Page Print out, Human Computer Interaction Group
Exhibit 2298 - 2408	Reserved
Exhibit 2409	Served

Served

Filed Exhibits	Description
Exhibit 2409	Declaration of Cory C. Bell, dated September 14, 2016

Respectfully submitted,

Dated: November 15, 2016

By: /Cory C. Bell/
Cory C. Bell, Back-up Counsel
Reg. No. 75,096

*Counsel for Patent Owner
Trading Technologies International, Inc.*

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