

Paper No. _____
Filed: May 19, 2016

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC; INTERACTIVE BROKERS LLC;
TRADESTATION GROUP, INC.; AND
TRADESTATION SECURITIES, INC.;

Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case CBM2016-00032
U.S. Patent 7,212,999

PATENT OWNER'S EXHIBIT LIST

Pursuant to 37 C.F.R. § 42.63(e), Patent Owner Trading Technologies

International, Inc. hereby submits a current listing of Trading Technologies

Exhibits to counsel for Petitioners IBG LLC, Interactive Brokers LLC,

TradeStation Group, Inc., and TradeStation Securities, Inc. Select exhibits between

Exhibit 2001-2139 were filed on PRPS and served via email to counsel of record

for the petitioner.

Filed Exhibits	Description
Exhibit 2001-2029	Reserved
Exhibit 2030	<i>Trading Techs. Int'l, Inc. v. eSpeed, Inc.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Jury Verdict Form (Oct. 10, 2007)
Exhibit 2031-2053	Reserved
Exhibit 2054	Submission of Publications, etc., PCT 2004-504652 (Apr. 18, 2005) (Translation)
Exhibit 2055-2107	Reserved
Exhibit 2108	<i>IBG LLC et al., v. Trading Techs. Int'l. Inc.</i> , Case No. CBM2015-00179, Paper 23, Institution Decision (Feb. 24, 2016)
Exhibit 2109	<i>IBG LLC et al., v. Trading Techs. Int'l. Inc.</i> , Case No. CBM2015-00179, Paper 39, Order (Apr. 15, 2016)
Exhibit 2110	<i>IBG LLC et al., v. Trading Techs. Int'l. Inc.</i> , Case No. CBM2015-00179, Paper 50, Petitioners' Request for Rehearing (Apr. 29, 2016)
Exhibit 2111	U.S. Patent No. 6,766,304 B2
Exhibit 2112	Japanese Patent No. JP5230049 B2
Exhibit 2113	<i>Trading Techs. Int'l. Inc., v. BCG Partners, Inc.</i> , Case No. 10-cv-715, Dkt. 583, Memorandum Opinion and Order (Mar. 25, 2015)
Exhibit 2114	<i>Trading Techs. Int'l. Inc., v. BCG Partners, Inc.</i> , Case No. 10-cv-715, Dkt. 680-1, Letter Request for International Judicial

	Assistance Through Diplomatic Channels on the Taking of Evidence Abroad in Civil or Commercial Matters (Mar. 7, 2016)
Exhibit 2115	Reserved
Exhibit 2116	U.S. Patent No. 6,772,132 B1
Exhibit 2117	<i>Trading Techs. Int'l. Inc., v. Rosenthal Collins Group, LLC et al.</i> , Case No. 10-cv-715, Dkt. 686, Notification of Docket Entry (Mar. 14, 2016)
Exhibit 2118	<i>Trading Techs. Int'l. Inc., v. Rosenthal Collins Group, LLC et al.</i> , Case No. 10-cv-715, Dkt. 767, Notification of Docket Entry (May 12, 2016)
Exhibit 2119	<i>TradeStation Group, Inc., et al. v. Trading Techs. Int'l, Inc.</i> , Case No. CBM2015-000161, Paper 29, Petition for Covered Business Method Review U.S. Patent No. 6,766,304 (Jul. 20, 2016)
Exhibit 2120	Reserved
Exhibit 2121	<i>Class 705, Data Processing: Financial, Business Practice, Management, or Cost/Price Determination</i> , Classification Definitions (January 2012): 1-53
Exhibit 2122	<i>TradeStation Group, Inc., et al. v. Trading Techs. Int'l, Inc.</i> , Case No. CBM2015-000161, Paper 29, Institution Decision (Jan. 27, 2016)
Exhibit 2123	<i>Class 345, Computer Graphics Processing and Selective Visual Display Systems</i> , Classification Definitions (January 2011): 1-50
Exhibit 2124	<i>Class 345, Computer Graphics Processing and Selective Visual Display Systems</i> , (January 2011): 1-8
Exhibit 2125	<i>July 2015 Update Appendix 1: Examples</i> , USPTO Examination Guidelines
Exhibit 2126	Senate Congressional Record, S5402-S5443 (Sept. 8, 2011)
Exhibit 2127	Senate Congressional Record, S1360-S1394 (Mar. 8, 2011)
Exhibit 2128-2132	Reserved
Exhibit 2133	McGraw Hill "Dictionary of Scientific and Technical Terms," Fifth Edition (1994): Definition of "Recording"
Exhibit 2134-2139	Reserved

Respectfully submitted,

Dated: May 19, 2016

By: /Joshua L. Goldberg/
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*Counsel for Patent Owner
Trading Technologies International, Inc.*

CERTIFICATE OF SERVICE

The undersigned hereby certifies that a copy of the foregoing **Patent Owner's Exhibit List and Select Exhibits 2001 - 2139** were served on May 19, 2016, via email directed to counsel of record for the Petitioner at the following:

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