

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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IBG LLC,  
INTERACTIVE BROKERS LLC,  
TRADESTATION GROUP, INC., and  
TRADESTATION SECURITIES, INC.,

Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.,

Patent Owner

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Case CBM2016-00032  
U.S. Patent No. 7,212,999

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**PATENT OWNER'S OBJECTIONS TO EVIDENCE**

Pursuant to 37 C.F.R. § 42.64(b)(1), Patent Owner objects to the following  
Petitioner Exhibits:

**1048** – Merriam-Webster Collegiate Dictionary (11th ed.)

**1049** – Webster’s New World College Dictionary (4th ed.)

**1050** – U.S. Patent No. 6,408,282 to Buist

**1051** – New York Times, “Futures/Options; Automation in Trading,”

December 10, 1984

**1052** – Intex Trading Screen

**1053** – New York Stock Exchange Annual Report 1983

**I. OBJECTIONS TO EXHIBITS 1048-1053**

Patent Owner objects to Exhibits 1048-1053 to the extent that Petitioners rely on their contents for the truth of the matters asserted therein. Exhibits 1048-1053 are inadmissible hearsay under **FRE 801 and 802**, and no exception applies.

Further, Petitioners have submitted no evidence to authenticate Exhibits 1051-1053 rendering these Exhibits inadmissible under **FRE 901**.

Patent Owner also objects to Exhibits 1050-1053 as being irrelevant to the grounds instituted by the Board, and are therefore inadmissible under **FRE 401 and 402** because they lack a tendency to make any fact at issue in this proceeding more or less probable. Exhibits 1050-1053 are also inadmissible under **FRE 403**

because their prejudicial value outweighs any probative value, and admission of such exhibits would waste time.

Patent Owner also separately objects to Exhibit 1051 under **FRE 401** and **402** as irrelevant, or in the alternative, under **FRE 403** as prejudicial and waste of time. Petitioners have not cited Exhibit 1051 anywhere. An uncited Exhibit is irrelevant, and, to the extent relevant, is prejudicial and a waste of time.

Respectfully submitted,

Dated: February 15, 2017

By: /Cole B. Richter/  
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**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a copy of the foregoing Patent Owner's Objections to Evidence was served on February 15, 2017, via email directed to counsel of record for the Petitioners at the following:

**Robert Sokohl**  
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