

**LIST OF MATERIALS CONSIDERED BY KENDYL A. ROMÁN FOR
DECLARATION IN SUPPORT OF PETITION FOR
COVERED BUSINESS METHOD REVIEW OF U.S. PATENT 7,212,999**

1. U.S. Patent No. 7,212,999 to Friesen et al. (“the ’999 patent”)
2. File History of Application Ser. No. 09/289,550, which became the ’999 patent, as filed and obtained from PAIR (“File History”)
3. U.S. Patent No. 5,077,665 to Silverman *et al.* (“Silverman”)
4. U.S. Patent No. 5,297,031 to Gutterman *et al.* (“Gutterman”)
5. “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE JP”)
6. Certified English-language Translation of “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE”)
7. Certificate of Translation for “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE Certificate”)
8. WO 90/11571 to Belden et al. (“Belden”)
9. Deposition Transcript of Atsushi Kawashima, *Trading Technologies International, Inc., v. eSPEED, Inc.*, Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 (“Depo. Transcript”)
10. *Trading Techs. Int’l. v. CQG*, No. 05-cv-4811, slip op. at 10 (N.D. Ill. Feb. 24, 2015)
11. Ben Shneiderman, “Designing the User Interface: Strategies for Effective Human-Computer Interaction,” Third Edition, 1998 (“Shneiderman”)
12. Robert Deel, “The Strategic Electronic Day Trader,” 2000 (“Deel”)
13. Redacted Second Corrected Expert Report of Christopher Thomas, *Trading Technologies International, Inc. v. CQG, Inc.*, Case No. 1:05-CV-04811 (N.D. Ill. Dec. 12, 2013) (“Thomas Report”)
14. Valerie Illingworth, and I. C. Pyle, *Dictionary of Computing*, 4th Ed, Oxford University Press, 1996 (“Oxford Dictionary”)
15. *Inside Macintosh*, Promotional Edition, Apple Computer, Inc., 1985 (“Inside Macintosh”)
16. Alan Cooper, “About Face: The Essentials of User Interface Design,” First Edition, 1995. (“Cooper”)

17. Transcript of the Deposition of Christopher Thomas, April 28, 2015 (“Thomas Tr.”)
18. Transcript of Deposition of Christopher Thomas, *Trading Technologies International, Inc. v. eSpeed, Inc. et al.*, No. 04-cv-5312 (“eSpeed Tr.”)
19. U.S. Patent No. 7,533,056 to Friesen *et al.* (“056 Patent”)
20. *TD Ameritrade Holding Corporation et al. v. Trading Techs. Int’l, Inc.*, CBM2014-00131, Paper 17 (“131 POPR”)
21. *TD Ameritrade Holding Corporation et al. v. Trading Techs. Int’l, Inc.*, CBM2014-00131, Paper 19 (“131 Inst. Dec.”)
22. *TD Ameritrade Holding Corporation et al. v. Trading Techs. Int’l, Inc.*, CBM2014-00131, Paper 24 (“131 Rehearing Dec.”)
23. U.S. Patent No. 5,646,992 to Subler *et al.* (“Subler”)
24. U.S. Patent No. 5,689,651 to Lozman (“Lozman”)
25. International Patent Application Publication No. WO 97/06492 to Jackson (“Jackson”)
26. *TD Ameritrade Holding Corp. v. Trading Techs. Int’l, Inc.*, CBM2014-00131, Paper 38 (“131 POR”)
27. U.S. Patent No. 5,619,631 to Schott (“Schott”)
28. David M. Weiss, “After the Trade is Made,” 1993 (“Weiss”)
29. Sunny J. Harris, “Trading 101 – How to Trade Like a Pro,” 1996 (“Harris”)
30. Edward R. Tufte, “The Visual Display of Quantitative Information,” 1983 (“Tufte VDQI”)
31. Edward R. Tufte, “Envisioning Information,” Third Edition, December 1992 (“Tufte EI”)
32. Vernon L. Smith, “An Experimental Study of Competitive Market Behavior,” *The Journal of Political Economy*, Vol. LXX, No. 2, April 1962 (“Smith”)
33. U.S. Patent No. 1,760,287 to Schippers (“Schippers”)
34. Valerie Quercia *et al.*, “X Window System User’s Guide,” OSF/Motif 1.2 Edition, *The Definitive Guides to the X Window System*, Vol. 3, August 1993 (“X Window”)
35. U.S. Patent No. 5,454,104 to Steidlmeyer (“Steidlmeyer”)