UNITED STATES PATENT AND TRADEMARK OFFICE
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BEFORE THE PATENT TRIAL AND APPEAL BOARD
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IBG LLC, INTERACTIVE BROKERS LLC, TRADESTATION
GROUP, INC., and TRADESTATION SECURITIES, INC.
Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.
Patent Owner
_____

Case CBM2016-00032
Patent No. 7,212,999

_____

PETITIONERS' UPDATED EXHIBIT LIST

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PETITIONERS' UPDATED EXHIBIT LIST

Ex No.	Description
1001	U.S. Patent No. 7,212,999 to Friesen *et al.*
1002	File History of Application Ser. No. 09/289,550, which became the '999 patent, as filed and obtained from PAIR
1003	U.S. Patent No. 7,533,056 to Friesen *et al.*
1004	*TD Ameritrade Holding Corp. v. Trading Techs. Int'l, Inc.*, CBM2014-00131, Paper 17
1005	*TD Ameritrade Holding Corp. v. Trading Techs. Int'l, Inc.*, CBM2014-00131, Paper 19
1006	*TD Ameritrade Holding Corp. v. Trading Techs. Int'l, Inc.*, CBM2014-00131, Paper 24
1007	*TD Ameritrade Holding Corp. v. Trading Techs. Int'l, Inc.*, CBM2014-00131, Paper 38
1008	*IBG LLC v. Trading Techs. Int'l, Inc.*, CBM2015-00179, Paper 21
1009	*Trading Techs. Int'l. v. CQG*, No. 05-cv-4811, Slip. Op. (N.D. Ill. Feb. 24, 2015)
1010	Transcript of the Deposition of Christopher Thomas, April 28, 2015
1011	Redacted Second Corrected Expert Report of Christopher Thomas, *Trading Technologies International, Inc. v. CQG, Inc.*, Case No. 1:05-CV-04811 (N.D. Ill. Dec. 12, 2013)
1012	Expert Declaration of Kendyl A. Román
1013	Curriculum Vitae of Kendyl A. Román
1014	List of Materials Considered by Kendyl A. Román
1015	"Futures/Option Purchasing System Trading Terminal Operation Guidelines," Tokyo Stock Exchange
1016	Certified Translation of "System for Buying and Selling Futures and Options Transaction Terminal Operational Guidelines"
1017	Certificate of Translation for "System for Buying and Selling Futures and Options Transaction Terminal Operational Guidelines"

Ex No.	Description
1018	Deposition Transcript of Atsushi Kawashima, *Trading Technologies International, Inc., v. eSPEED, Inc.*, Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005
1019	U.S. Patent No. 5,619,631 to Schott
1020	U.S. Patent No. 5,646,992 to Subler *et al.*
1021	U.S. Patent No. 5,689,651 to Lozman
1022	U.S. Patent No. 5,136,501 to Silverman *et al.*
1023	U.S. Patent No. 5,297,031 to Gutterman *et al.*
1024	WO 90/11571 to Belden
1025	Mark J. Powers, "Starting Out in Futures Trading," Sixth Edition, 2001
1026	History of the American and NASDAQ Stock Exchanges
1027	David M. Weiss, "After the Trade is Made," 1993
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1029	Alan Cooper, "About Face: The Essentials of User Interface Design," First Edition, 1995.
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1038	Valerie Quercia et al., "X Window System User's Guide," OSF/Motif 1.2 Edition, The Definitive Guides to the X Window System, Vol. 3, August 1993
1039	U.S. Patent No. 5,454,104 to Steidlmeyer
1040	WO 97/06492 to Jackson
1041	*TradeStation Gr'p, Inc. v. Trading Techs. Int'l, Inc.*, CBM2015-00161, Paper 29
1042 Served	Declaration of Robert E. Sokohl
1043 Served	Declaration of Aaron M. Cohen
1044 Served	June 17, 2016 Deposition Transcript of Atushi Kawashima
1045	Transcript of the Deposition of Christopher Thomas, January 27, 2017
1046	Transcript of the Deposition of Dan R. Olsen, Jr., July 28, 2016
1047	Transcript of the Deposition of Christopher Thomas, August 17, 2016
1048	Merriam-Webster Collegiate Dictionary (11th ed.)
1049	Webster's New World College Dictionary (4th ed.)
1050	U.S. Patent No. 6,408,282 to Buist
1051	New York Times, "Futures/Options; Automation in Trading," December 10, 1984
1052	Intex Trading Screen
1053	New York Stock Exchange Annual Report 1983

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