

**LIST OF MATERIALS CONSIDERED BY KENDYL A. ROMÁN FOR
DECLARATION IN SUPPORT OF PETITION FOR
COVERED BUSINESS METHOD REVIEW OF U.S. PATENT 7,685,055**

1. U.S. Patent No. 7,685,055 to Brumfield et al. (“the ’055 patent”)
2. File History of Application Ser. No. 11/417,547, which became the ’055 patent, as filed and obtained from PAIR (“File History”)
3. U.S. Patent No. 5,077,665 to Silverman *et al.* (“Silverman”)
4. U.S. Patent No. 5,297,031 to Gutterman *et al.* (“Gutterman”)
5. “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE JP”)
6. Certified English-language Translation of “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE”)
7. Certificate of Translation for “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE Certificate”)
8. WO 90/11571 to Belden et al. (“Belden”)
9. Deposition Transcript of Atsushi Kawashima, *Trading Technologies International, Inc., v. eSPEED, Inc.*, Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 (“Depo. Transcript”)
10. Weiss, “After the Trade is Made,” pp. 44-46. (“Weiss”)
11. *Trading Techs. Int’l. v. CQG*, No. 05-cv-4811, slip op. at 10 (N.D. Ill. Feb. 24, 2015)
12. Ben Shneiderman, “Designing the User Interface: Strategies for Effective Human-Computer Interaction,” Third Edition, 1998 (“Shneiderman”)
13. Robert Deel, “The Strategic Electronic Day Trader,” 2000 (“Deel”)
14. Deposition Transcript of Richard Hartheimer held April 29, 2015 (“Hartheimer Tr.”)
15. Redacted Second Corrected Expert Report of Christopher Thomas, *Trading Technologies International, Inc. v. CQG, Inc.*, Case No. 1:05-CV-04811 (N.D. Ill. Dec. 12, 2013) (“Thomas Report”)
16. Valerie Illingworth, and I. C. Pyle, *Dictionary of Computing*, 4th Ed, Oxford University Press, 1996 (“Oxford Dictionary”)
17. *Inside Macintosh*, Promotional Edition, Apple Computer, Inc., 1985

- (“Inside Macintosh”)
18. Alan Cooper, “About Face: The Essentials of User Interface Design,” First Edition, 1995. (“Cooper”)
 19. Transcript of the Deposition of Christopher Thomas, April 28, 2015 (“Thomas Tr.”)
 20. Transcript of Deposition of Christopher Thomas, *Trading Technologies International, Inc. v. eSpeed, Inc. et al.*, No. 04-cv-5312 (“eSpeed Tr.”)
 21. *TD Ameritrade Holding Corp. v. Trading Techs. Int’l, Inc.*, CBM2014-00137, Paper 19 (“Inst. Dec.”)
 22. *TD Ameritrade Holding Corp. v. Trading Techs. Int’l, Inc.*, CBM2014-00137, Paper 41 (“POR”)
 23. Super Mario Brothers image (1985)
 24. Metal Warrior V1.6 source code (1999)
 25. U.S. Patent No. 6,205,260 to Crinon *et al.* (“Crinon”)