

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC and INTERACTIVE BROKERS LLC,
Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.,
Patent Owner

Case CBM2016-00009
Patent 7,685,055 B2

PETITIONERS' UPDATED EXHIBIT LIST

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PETITIONERS' UPDATED EXHIBIT LIST

Exh. No.	Description
1001	U.S. Patent No. 7,685,055 to Brumfield et al. ("the '055 patent")
1002	File History of Application Ser. No. 11/417,547, which became the '055 patent, as filed and obtained from PAIR ("File History")
1003	Expert Declaration of Kendyl A. Román ("Román Decl.")
1004	Expert Declaration of David Rho ("Rho Decl.")
1005	U.S. Patent No. 5,077,665 to Silverman <i>et al.</i> ("Silverman")
1006	U.S. Patent No. 5,297,031 to Gutterman <i>et al.</i> ("Gutterman")
1007	"Futures/Option Purchasing System Trading Terminal Operation Guide" ("TSE JP")
1008	Certified English-language Translation of "Futures/Option Purchasing System Trading Terminal Operation Guide" ("TSE")
1009	Certificate of Translation for "Futures/Option Purchasing System Trading Terminal Operation Guide" ("TSE Certificate")
1010	WO 90/11571 to Belden et al. ("Belden")
1011	Deposition Transcript of Atsushi Kawashima, <i>Trading Technologies International, Inc., v. eSPEED, Inc.</i> , Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 ("Depo. Transcript")
1012	Curriculum Vitae of Kendyl A. Román
1013	List of Materials Considered by Kendyl A. Román
1014	Curriculum Vitae of David Rho
1015	List of Materials Considered by David Rho
1016	Mark J. Powers, "Starting Out in Futures Trading," Sixth Edition, 2001 ("Powers")
1017	History of the American and NASDAQ Stock Exchanges, Business Reference Services, The Library of Congress
1018	Weiss, "After the Trade is Made," pp. 44-46. ("Weiss")
1019	<i>Trading Techs. Int'l. v. CQG</i> , No. 05-cv-4811, slip op. at 10 (N.D. Ill. Feb. 24, 2015)

Exh. No.	Description
1020	Ben Shneiderman, "Designing the User Interface: Strategies for Effective Human-Computer Interaction," Third Edition, 1998 ("Shneiderman")
1021	Robert Deel, "The Strategic Electronic Day Trader," 2000 ("Deel")
1022	Deposition Transcript of Richard Hartheimer held April 29, 2015 ("Hartheimer-Dep.Tr.")
1023	Redacted Second Corrected Expert Report of Christopher Thomas, <i>Trading Technologies International, Inc. v. CQG, Inc.</i> , Case No. 1:05-CV-04811 (N.D. Ill. Dec. 12, 2013) ("Thomas Report")
1024	Valerie Illingworth, and I. C. Pyle, Dictionary of Computing, 4th Ed, Oxford University Press, 1996 ("Oxford Dictionary")
1025	Inside Macintosh, Promotional Edition, Apple Computer, Inc., 1985 ("Inside Macintosh")
1026	Alan Cooper, "About Face: The Essentials of User Interface Design," First Edition, 1995. ("Cooper")
1027	Transcript of the Deposition of Christopher Thomas, April 28, 2015 ("Thomas Tr.")
1028	Transcript of Deposition of Christopher Thomas, <i>Trading Technologies International, Inc. v. eSpeed, Inc. et al.</i> , No. 04-cv-5312 ("eSpeed Tr.")
1029	<i>TD Ameritrade Holding Corp. v. Trading Techs. Int'l, Inc.</i> , CBM2014-00137, Paper 19 ("Inst. Dec.")
1030	<i>TD Ameritrade Holding Corp. v. Trading Techs. Int'l, Inc.</i> , CBM2014-00137, Paper 41 ("POR")
1031	Super Mario Brothers image (1985)
1032	Metal Warrior V1.6 source code (1999)
1033	U.S. Patent No. 6,205,260 to Crinon <i>et al.</i> ("Crinon")
1034	U.S. Patent No. 6,772,132 to Kemp, II <i>et al.</i> ("132 patent")
1035	Expert Declaration of David Rho ("Rho-Reply-Decl.")
1036	Transcript of the Deposition of Christopher H. Thomas, October 26, 2016 ("Thomas-Dep.Tr.")

Exh. No.	Description
1037	Transcript of the Deposition of Harold Abilock, October 21, 2016 ("Aiblock-Dep.Tr.")
1038	Transcript of the Deposition of Dan R. Olsen, Jr. ("Olsen-Dep.Tr.")
1039	Transcript of the Deposition of Christopher H. Thomas, <i>IBG LLC et al. v. Trading Technologies International, Inc.</i> , CBM2015-00179, August 17, 2016 ("CBM2015-00179-Thomas-Dep.Tr.")
1040	Declaration of Christopher H. Thomas, <i>IBG LLC et al. v. Trading Technologies International, Inc.</i> , CBM2015-00182 ("CBM2015-00182-Thomas-Decl.")
1041	Email correspondence from Patent Owner requesting authorization to file a motion to strike Petitioner's Reply, November 14, 2016
1042	Email correspondence authorizing Patent Owner to file a listing identifying new arguments in Petitioner's Reply, November 15, 2016
1043	Email correspondence from Patent Owner requesting authorization to file a motion to strike new arguments, December 12, 2016
1044	Declaration of Aaron M. Cohen ("Cohen-Decl.")
1045	Declaration of Robert E. Sokohl ("Sokohl-Decl.")
1046	Reserved
1047	<i>IBG LLC et al. v. Trading Technologies International, Inc.</i> , CBM2015-00179, -00181, -00182, Paper 25
1048	<i>IBG LLC et al. v. Trading Technologies International, Inc.</i> , CBM2015-00182, Paper 49
1049	Kawashima Deposition Exhibit 1, Japanese original
1050	Kawashima Deposition Exhibit 1, English translation
1051	Kawashima Deposition Exhibit 1 Translation Certification
1052	Kawashima Deposition Exhibit 2, Japanese original
1053	Kawashima Deposition Exhibit 2, English translation
1054	Kawashima Deposition Exhibit 2 Translation Certification
1055	Kawashima Deposition Exhibit 3, Japanese original
1056	Kawashima Deposition Exhibit 3, English translation
1057	Kawashima Deposition Exhibit 3 Translation Certification

Petitioners' Updated Exhibit List
CBM2016-00009

Exh. No.	Description
1058	Kawashima Deposition Exhibit 4, Japanese original
1059	Kawashima Deposition Exhibit 4, English translation
1060	Kawashima Deposition Exhibit 4 Translation Certification
1061	<i>IBG LLC et al. v. Trading Technologies International, Inc.</i> , CBM2015-00182, Exhibit 1043

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Date: December 20, 2016

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