

**LIST OF MATERIALS CONSIDERED BY DAVID RHO FOR  
DECLARATION IN SUPPORT OF PETITION FOR  
COVERED BUSINESS METHOD REVIEW OF U.S. PATENT 7,685,055**

1. U.S. Patent No. 7,685,055 to Brumfield et al. (“the ’055 patent”)
2. File History of Application Ser. No. 11/417,547, which became the ’055 patent, as filed and obtained from PAIR (“File History”)
3. U.S. Patent No. 5,077,665 to Silverman *et al.* (“Silverman”)
4. U.S. Patent No. 5,297,031 to Gutterman *et al.* (“Gutterman”)
5. “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE JP”)
6. Certified English-language Translation of “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE”)
7. Certificate of Translation for “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE Certificate”)
8. WO 90/11571 to Belden et al. (“Belden”)
9. Deposition Transcript of Atsushi Kawashima, *Trading Technologies International, Inc., v. eSPEED, Inc.*, Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 (“Depo. Transcript”)
10. Ben Shneiderman, “Designing the User Interface: Strategies for Effective Human-Computer Interaction,” Third Edition, 1998 (“Shneiderman”)
11. Robert Deel, “The Strategic Electronic Day Trader,” 2000 (“Deel”)
12. Alan Cooper, “About Face: The Essentials of User Interface Design,” First Edition, 1995. (“Cooper”)
13. *TD Ameritrade Holding Corp. v. Trading Techs. Int’l, Inc.*, CBM2014-00137, Paper 19 (“Inst. Dec.”)
14. *TD Ameritrade Holding Corp. v. Trading Techs. Int’l, Inc.*, CBM2014-00137, Paper 17 (“POPR”)