

Paper No. _____

Filed: July 21, 2016

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC, and
INTERACTIVE BROKERS LLC,

Petitioner

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.,

Patent Owner

Case CBM2016-00009
U.S. Patent 7,685,055 B2

PATENT OWNER'S UPDATED EXHIBIT LIST

Pursuant to 37 C.F.R. § 42.63(e), Patent Owner Trading Technologies

International, Inc. hereby submits a current listing of Trading Technologies

Exhibits to counsel for Petitioners IBG LLC and Interactive Brokers LLC.

Exhibits 2013 – 2014, 2016 – 2019, 2108 – 2120, 2122 – 2123, 2127 – 2158, 2167, 2170 – 2173, 2178 – 2200, 2202 – 2203, 2205, 2208 – 2291, 2298 – 2329, and 2335 – 2338 are reserved for later use. Exhibits 2015, 2121, 2124 – 2126, 2159 – 2166, 2168 – 2169, 2174 – 2177, 2201, 2204, 2206 – 2207, 2292 – 2293, 2296 – 2297, 2330 – 2334, and 2339 – 2341 were filed on PTAB E2E and served via email to counsel of record for the Petitioner. Confidential Exhibits 2294 and 2295 were filed on PTAB E2E as “BOARD ONLY” and served on Petitioner’s counsel who executed the Acknowledgment Form of the Protective Order.

Filed Exhibits	Description
Exhibit 2001	Transcript of Teleconference with the Board, dated November 23, 2015
Exhibit 2002	<i>Versata Development Group, Inc. v. SAP America, Inc. and SAP AG</i> , Case No. 2014-1194 (CAFC), Docket No. 61, Brief for the Intervenor - Director of the United States Patent and Trademark Office (May 1, 2014)
Exhibit 2003	Meyers, Brad A. “A Brief History of Human-Computer Interaction Technology.” <i>Interactions</i> 5.2 (1998): 44-54
Exhibit 2004	National Aeronautics and Space Administration Web Page Print out, Technical Areas
Exhibit 2005	National Aeronautics and Space Administration Web Page Print out, Human Computer Interaction Group
Exhibit 2006	University of Washington Web Page Print out, Human-Computer Interaction Degree Option
Exhibit 2007	Rochester Institute of Technology Web Page Print out, Masters in Human Computer Interaction

Exhibit 2008	Rensselaer Polytechnic Institute Web Page Print out, M.S. in Human-Computer Interaction
Exhibit 2009	Tufts University Web Page Print out, Human-Computer Interaction Certificate Program
Exhibit 2010	Georgia Institute of Technology Web Page Print out, Human-Computer Interaction Master's Program
Exhibit 2011	DePaul University Web Page Print out, Master of Science Human-Computer Interaction
Exhibit 2012	Carnegie Mellon University Web Page Print out, Masters of Human-Computer Interaction
Exhibit 2013-2014	Reserved
Exhibit 2015	<i>Versata Development Group, Inc. v. SAP America, Inc. and SAP AG</i> , Case No. 2014-1194 (CAFC), Docket No. 61, Brief for the Intervenor - Director of the United States Patent and Trademark Office (May 1, 2014)
Exhibit 2016 - 2019	Reserved
Exhibit 2020	<i>TD Ameritrade Holding Corporation et al. v. Trading Techs. Int'l, Inc.</i> , Case No. CBM2014-000131, Ex. 2097, Declaration of Harold Abilock (March 6, 2015)
Exhibit 2021-2027	Reserved
Exhibit 2028	U.S. Patent No. 6,993,572 B2
Exhibit 2029	<i>Trading Techs. Int'l, Inc. v. eSpeed, Inc.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Volume 11-A Trial Transcript of Proceedings (Sept. 26, 2007)
Exhibit 2030	<i>Trading Techs. Int'l, Inc. v. eSpeed, Inc.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Jury Verdict Form (Oct. 10, 2007)
Exhibit 2031	Reserved
Exhibit 2032	<i>Trading Techs. Int'l, Inc., v. eSpeed, Inc. et al.</i> , Case No. 04-cv-5312, Dkt. 1140, Notification of Docket Entry (Jan. 3, 2008)
Exhibit 2033	79 Fed. Reg. 74618- 633, USPTO 2014 Interim Guidance on Patent Subject Matter Eligibility (Dec. 16, 2014)
Exhibit 2034	<i>July 2015 Update Appendix 1: Examples</i> , USPTO Examination Guidelines (July 2015)
Exhibit 2035	Reserved

Exhibit 2036	Served
Exhibits 2037 - 2038	Reserved
Exhibit 2039	Information Disclosure Statement 90/008,576 (Sept. 26, 2008)
Exhibit 2040	<i>Trading Techs. Int'l. Inc., v. eSpeed, Inc.</i> , Case No. 04-cv-5312, Supplemental Invalidity Contentions of eSpeed, Inc., eSpeed International, Ltd., Ecco LLC, and EccoWare Ltd. (May 25, 2007)
Exhibit 2041-2043	Reserved
Exhibit 2044	Information Disclosure Statement 90/008,576 (Feb. 28, 2008)
Exhibit 2045 - 2047	Reserved
Exhibit 2048	Smith, Warren, Subpoena Honyaku English - Japanese Translation List, Google Group Discussion Web Page Print out (Aug. 24, 2007)
Exhibit 2049	Submission of Publications, etc., PCT 2004-504652 (Apr. 18, 2005) (Japanese language original)
Exhibit 2050	Submission of Publications, etc., PCT 2004-504652 (Apr. 18, 2005) (Translation)
Exhibit 2051	Reserved
Exhibit 2052	Japanese Patent 2004-504652
Exhibit 2053	<i>Aurora[™]: The Most Technologically Advanced Trading System Available Today</i> , Aurora Chicago Board of Trade
Exhibit 2054	Rosenthal Collins Group LLC Notice of Opposition to a European Patent, EP1319211 (Jan. 12, 2006)
Exhibit 2055	Deutsche Börse AG Notice of Opposition to a European Patent, EP1319211 (Jan. 12, 2006)
Exhibit 2056	Eccoware Limited Notice of Opposition to a European Patent, EP1319211 (Jan. 13, 2006)
Exhibit 2057-2058	Reserved
Exhibit 2059	<i>TD Ameritrade Holding Corporation et al. v. Trading Techs. Int'l, Inc.</i> , Case No. CBM2014-000131, TDA Ex. 1050, Deposition of Harold Abilock (Apr. 24, 2015)
Exhibit 2060	<i>TD Ameritrade Holding Corporation et al. v. Trading Techs. Int'l, Inc.</i> , Case No. CBM2014-000131, Petitioners' Reply to

	Patent Owner's Response, Paper 48 (May 29, 2015)
Exhibit 2061	Reserved
Exhibit 2062	Response to Communication Pursuant to Article 101(1) and Rule 81(2) to (3) EPC dated 1 December 2010 (the "Article 101(1) Communication"), Opposition to EP1319211 (June 14, 2011)
Exhibit 2063-2066	Reserved
Exhibit 2067	Lohr, Steve, "IBM's Design-Centered Strategy to Set Free the Squares." <i>The New York Times</i> (November 15, 2015)
Exhibit 2068	<i>Class 345, Computer Graphics Processing and Selective Visual Display Systems, Classification Definitions</i> (January 2011): 1-50
Exhibit 2069	<i>Class 705, Data Processing: Financial, Business Practice, Management, or Cost/Price Determination, Classification Definitions</i> (January 2012): 1-53
Exhibit 2070	<i>Trading Techs. Int'l v. CQG, Inc. and CQGT, LLC</i> , Case No. 05-cv-4811 (N.D.Ill.), Dkt. 1073, Memorandum Opinion and Order (Feb. 24, 2015)
Exhibit 2071	<i>The American Heritage College Dictionary Fourth Edition</i> , 100 (2002): Definition of "Axis"
Exhibit 2072	Response to Office Action Mailed October 6, 2008 11/585,907 (April 6, 2009)
Exhibit 2073 - 2106	Reserved
Exhibit 2107	Transcript of Teleconference with the Board, dated May 11, 2016
Exhibits 2108 - 2120	Reserved
Exhibit 2121	U.S. Patent Classification System – Classification Definitions – Class 705
Exhibits 2122 - 2123	Reserved
Exhibit 2124	<i>Class 345, Computer Graphics Processing and Selective Visual Display Systems</i> , (January 2011): 1-8
Exhibit 2125	<i>July 2015 Update Appendix 1: Examples</i> , USPTO Examination Guidelines
Exhibit 2126	Senate Congressional Record, S5402-S5443 (Sept. 8, 2011)
Exhibits 2127 - 2158	Reserved

Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.