

IN THE UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION

TRADING TECHNOLOGIES )  
INTERNATIONAL, INC., )  
)  
)  
Plaintiff, )  
)  
vs. ) No. 05-cv-4811  
)  
CQG, INC. and CQGT, LLC, )  
)  
)  
Defendants. )

HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY

VIDEOTAPED DEPOSITION

STEVEN VAN DUSEN

January 20, 2015

Chicago, Illinois

Reported by:

April M. Metzler

Job no: 13170

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1           The videotaped deposition of STEVEN VAN DUSEN,  
2 called by the Defendants for examination, taken pursuant  
3 to notice and pursuant to the Federal Rules of Civil  
4 Procedure for the United States District Courts  
5 pertaining to the taking of depositions, taken before  
6 April M. Metzler, Certified Shorthand Reporter,  
7 Registered Professional Reporter, Certified Realtime  
8 Reporter, and Notary Public, at 300 South Wacker Drive,  
9 Suite 3100, Chicago, Illinois, commencing at 9:07 a.m.  
10 on the 20th day of January, 2015.

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1 APPEARANCES:

2 McDONNELL BOEHNEN HULBERT & BERGHOFF LLP  
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8 On behalf of the Plaintiff;

9 TRADING TECHNOLOGIES INTERNATIONAL, INC.  
10 MR. STEVEN F. BORSAND, ESQ.  
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16 On behalf of the Plaintiff, via LiveNote.

17 LOEB & LOEB LLP  
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24 On behalf of Defendants.

ALSO PRESENT:

Mr. Christopher Thomas

Mr. Jean-Louis Ziesch, videographer

\* \* \* \* \*

1           So the court reporter can only take down one  
2 person at a time. So when I'm asking a question, I  
3 would just ask that you wait for me to answer [sic]  
4 before you start. And then when you're providing your  
5 answer, I will try to wait until I ask the next  
6 question, just so that we're not talking over each  
7 other.

8           Is that fair enough?

9           A. Understood, yeah.

10          Q. Okay. And then any time if you want to take a  
11 break, that's fine. Just let me know.

12          A. Okay.

13          Q. The only thing I would ask is if there's a  
14 question pending, I would like an answer to that prior  
15 to the break. Is that fair?

16          A. Absolutely.

17          Q. Okay. Is there anything that would prevent  
18 you from testifying truthfully and accurately today?

19          A. No.

20          Q. Who were you retained by in this case?

21          A. Loeb and Loeb.

22          Q. Okay. And when was that?

23          A. The first discussion was last spring, and then  
24 I think I started doing work for these guys over the

1 summer, July or August.

2 Q. Okay. And who was it that contacted you? How  
3 did that -- How did that initial contact come about?  
4 Would you --

5 A. Anne Kelly --

6 Q. -- describe that?

7 A. Anne Kelly's an attorney at Loeb and Loeb and  
8 he's a neighbor --

9 Q. Oh.

10 A. -- and an acquaintance.

11 Actually, I was -- I was working in New York  
12 City and my family stayed behind. I was commuting, and  
13 he met my wife. And I think this is when I was in New  
14 York and he found out I was a securities trader, and  
15 then we had talked. We had met. I had never met him.  
16 We met after that and he asked me if I would be  
17 interested. And I said, Sure, I could help out.

18 Q. Okay. Are you guys neighbors?

19 A. He lives down the street.

20 Q. In -- In --

21 A. Yeah.

22 Q. In Wilmette?

23 A. Winnetka.

24 Q. Winnetka?

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