

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC; INTERACTIVE BROKERS LLC;
TRADESTATION GROUP, INC.; TRADESTATION SECURITIES,
INC.;

TRADESTATION TECHNOLOGIES, INC.; and
IBFX. INC.

Petitioners,

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case CBM2015-00181
U.S. Patent 7,676,411
Case CBM2015-00182
U.S. Patent 6,772,132

Washington, D.C.
May 5, 2016

CROSS-EXAMINATION

KENDYL A. ROMAN

Henderson Legal Services, Inc.

202-220-4158

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1 Cross-Examination of KENDYL A. ROMAN, a
2 witness herein, called for examination by counsel
3 for Patent Owner in the above-entitled matter,
4 pursuant to notice, the witness being duly sworn by
5 SUSAN L. CIMINELLI, CRR, RPR, a Notary Public in and
6 for the District of Columbia, taken at the offices
7 of Sterne Kessler Goldstein Fox, 1100 New York
8 Avenue, N.W., Washington, D.C. at commencing at 9:03
9 a.m.

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1 APPEARANCES:

2 On behalf of the Patent Owner:

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1 C O N T E N T S

2 KENDYL A. ROMAN EXAMINATION

3 By Mr. Sigmond 5

4

5 Afternoon Session - Page 128

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7 E X H I B I T S

8 EXHIBIT NO. PAGE NO.

9 Exhibit 1019 (IBG) Declaration '411 13

10 Exhibit 1007 (IBG) Declaration '132 14

11 Exhibit 1017 (IBG) TSE Trading Terminal

12 Operating Guide 111

13 Exhibit 1025 (IBG) Roman CV 27

14 Exhibit 1021 (IBG) Materials considered '411 22

15 Exhibit 1020 (IBG) CV 27

16 Exhibit 1026 (IBG) Materials considered '132 22

17 Exhibit 1012 (IBG) CBM of '132 63

18 Exhibit 1001 (IBG) CBM of '411 44

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21 Exhibit 2105 (IBG) 182 Table of Contents 21

22 Exhibit 2106 document 149

1 P R O C E E D I N G S

2 Whereupon,

3 KENDYL A. ROMAN,

4 was called as a witness by counsel for Patent Owner,

5 and having been duly sworn, was examined and

6 testified as follows:

7 CROSS EXAMINATION

8 BY MR. SIGMOND:

9 Q. Good morning, Mr. Roman.

10 A. **Good morning.**

11 Q. Nice to see you again. Could you please
12 state your name and address for the record?

13 A. **Kendyl Allen Roman, and the address of my
14 lab is 3277 Kifer Road, Santa Clara, California.**

15 Q. And you understand you're under oath this
16 morning?

17 A. **Yes.**

18 Q. And this means you're sworn to tell the
19 truth as if you were in a court with a judge and a
20 jury?

21 A. **Yes.**

22 Q. Is there anything that would prevent you

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