12-01-06

18:39

FROM-+44 121 245 3830

WITHERS ROGERS

EUROPEAN & CHARTERED PATENT ATTORNEYS TRADE MARK ATTORNEYS Withers & Regers LLP, 75 Colmore Row, Birmingham B3 2AP Tel: +44 (0)121 245 3900 Fax: +44 (0)121 245 3930 E-Mail: admin@witherstogers.com Web; www.withersrogers.com

By fax

FAX MESSAGE

To:	NO. OF PAGES (INCLUDING COVER): \mathfrak{Z}_0	
COMPANY: European Patent Office	FROM: Dr Karl Barnfather	
FAX NO: 0049 89 2399 4465	DATE: 12 January 2006	
YOUR REF:	OUR REF: M306789/KJB	

SUBJECT: European Patent Application No. EP 1 319 211 BI Click Based Trading With Intuitive Grid Display Of Market Depth

Message:

Please see attached

This facsimile is intended for the addressee only, and may contain confidential or privileged information. If you are not the named addressee, please notify us immediately by return facsimile or telephone; do not distribute, copy or disclose the contents of this facsimile to anyone else.

PLEASE LET US KNOW IN THE EVENT OF POOR TRANSMISSION, OR IF YOU DO NOT RECEIVE ALL PAGES

Withers & Rogers LLP is a limited liability partnership registered in England and Wales (registered number OC310992). A list of members' names is available at Coldings House, 2 Hays Lane, London, SEI 2 HW, its principal place of business and registered office

Received a file EPO on Jan 12, 2006 19:13:52. Page 1 of 30

Page 1 of 30

RM

DOCKF

Δ

Δ

Find authenticated court documents without watermarks at docketalarm.com.

WITHERS & ROGERS **EUROPEAN & CHARTERED PATENT ATTORNEYS**

TRADE MARK ATTORNEYS

Vithers & Rogers LLP, Goldings House, 2 Hays Lane, London SEI 2HW
Tel: +44 (0)20 7663 3500 Fax: +44 (0)20 7663 3550
E-Mail: admin@withersrogers.com Web: www.withersrogers.com

European Patent Office D-80298 München Germany

Our Ret: M306789/KJB

Your Ref:

12 January 2006

BY COURIER AND FAX

Writer's Telephone: +44 (0)121 245 3900

Dear Sirs

European Patent Application No. EP 1 319 211 B1 Click Based Trading With Intuitive Grid Display Of Market Depth

We hereby file Opposition against the above-referenced European Patent. Please find attached the Notice of Opposition (Form 2300.1) together with the Grounds of Opposition (Annex 1) and various documentation relied upon including prior art patent publications and various exhibits identified in the Notice and the Grounds.

Our Records Department are separately attending to payment of the Opposition fee.

Yours faithfully Dr Karl Barnfather

European Patent Attorney WITHERS & ROGERS LLP

Enc Form 1037 - By Fax Notice of Opposition Form 2300.1 - By Fax Grounds of Opposition (Annex 1) - By Fax Various Documents - By Courier

Rcl. 4 (2005) LLP

DOCKE

Partners: David Bannerman, Michael Blatchford, Adrian Chettle, Jeff Hogg, John Dean, Ben Dempster, Karl Barofather, Simon Beck, Howard Wright, Ivor Harrison, David Prat, Mark Armitage*, Colin Jones, David Croston, John Jones, Fions McBride*, David Elsy, Adrian Tombling, Lourd McBray*, James Gray, Callum Wardle, Matthew Gilbard, Withers & Rogers Group 1LP * MITMA. All other partners CPA & EPA.

Associates; Jim Ribeiro, Jackie Tolson, Nicholas Wallin, Nicholas Jones, Russell Barton, Rachel Wallis, Kathryn Cruse, Paul Foot, Keich Yar, Matthew Allen, David McWilliams, Gregory Corcoran, Rhiannon Turner, Ben Lanoe, Shelley Lunn, Karen Phillins, Rochel Williams, Marisa Foster

> Translations: Rosemary Booth, EP Formalaties; Karen Austen Practice Director: Nick Dougan, Financial Controller: Ian Smith, HR & Admin: Sonla Greaves

Withers & Rogers LLP is a limited liability partnership registered in England and Wales (registered another OC310992) with its principal place of business and registered office at Goldings House, 2 Hays Lane, London, SEI 2HW

Also at 1 Redeliff Street, Bristol BS1 6NP Tel: +44 (0)117 925 3030 Fax: +44 (0)117 925 3530 60 Holly Walk, Learnington Spa, Warwickshire CV32 4JE Tel: +44 (D)1926 336111 Fox: +44 (0)1926 335519 and 75 Colmore Row, Birminghom B3 2AP Tel: +44 (0)121 245 3900 Fax: +44 (0)121 245 3930

Find authenticated court documents without watermarks at docketalarm.com.

	Notice of Opposition to a	European Patent	,	,	To the European F	ateni
			T		for EP	Ó µ54
	.			r		
I.	Patent opposed		Opp. No.	OPPO (1)		
		Patent No.	EP 1 319 211 B1			
		Application No.	0 1920 183.9			
	Dato of mention of the grant in the European Pal	ent Bulletin (Art. 97(4), 99(1) EPC)	13/4/2005		1	
	Tille of the invention:					
	Click Based Tra of Market Dept	ading With Intui	tive Griđ	Display		
¥.	first named in the patent specification	TRADING TECH	INOLOGIES INTER	NATIONAL INC		
	Description of the Debaut					
	Proprietor of the Patent Opponent's or representative's reference (ma		WITHERS & ROG	ER	OREF	. -
	Obbouetu a ol lehiéseuranne a telerènce (un	a. 15 spaces/			Unter	1
11.	Opponent		OPPO (2)		1	
	Name	Rosenthal Collins Group LLC	;			
	Address	216 West Jackson Boulevard	t			
		Fourth Floor, Chicago, Illinois 60606				
		USA				
	State of residence or of principle					
	place of business	USA +312 795 7636		+312 795 7630		
	Telephone/Telex/Fax Multiple opponents	further opponents se			~	
		Lurther opponents se	e additional sheet			
łV,	Authorisation				<u> </u>	
	1. Representative (Name only one representative to whom		OPPO (9)		4	
	notification is to be made)					
	Name	Karl Barnfather				
	Address of place of business	Withers & Rogers LLP				
		Goldings House 2 Hays Lane				
		London SE1 2HW			-1	
	Telephone/Felex/Fax	020 7663 3500		020 7663 3550		
	Additiona) representative(s)	(on additional sheet/s	see authorisation)	OPPO (5)		
	 Employee(s) of the opponent authorised for these opposition proceedings under act. 133(3) EPC 	Name(s):				
	Authorisation(s)	not considered necessa	ary		7	
	To 1./2.	bas/have been register	ed	1	-1	

ł

12-01-06

DOCKET A L A R M

18:40

	├	P.004/030 F-736
	is/are enclosed	
	Opposition is filed against	for EPO use on
V.	- the patent as a whole	
	- the patent as a whole	
	daim(s) No(s).	
Vì.	Grounds for opposition:	
	Opposition is based on the following grounds:	
	 the subject-matter of the European patent opposed is not patentable (Art. 100(a) EPC) because: 	
		X
	It does not involve an inventive step (Art.52(1); 56 EPC)	, X
	- patentability is excluded on other grounds, i.e. Art 52(2)(c), 52(2)(d)	X
	on other grounds, i.e. Art 52(2)(c), 52(2)(d)	
	(b) the patent opposed does not disclose the invention in a manner sufficiently clear and complete	_
	for it to be carried out by a person skilled in the art (Art. 100(b) EPC; see Art. 83 EPC).	4
	(c) the subject-matter of the patent opposed extends beyond the content of the application/ of the earlier application as filed (Art. 100(c) EPC, see Art. 123(2) EPC).	2
¥ij,	Facts and arguments (Rule 55(c) EPC) presented in support of the opposition are submitted herewith on a separate sheet (annex 1)	\boxtimes
VIII.	Other requests:	

1

1

i

Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

R M

.

. Evidence presented	for EPO use only
Enclosed =	
will be filed at a later date $=$	
Publications:	Publication date
1 D1 - WO99/19821 - Publication Date 22 April 1999	
Particular relevance (page, column, line, fig.): Fig 15 Page 64 line 15 to page 66 line 2	
2 D2 - WQ99/53424 - Publication Date 21 October 1999	
Particular relevance (page, column, line, fig.): Page 3 line 25 to page 4 line 7	
3 D3 - WO98/49639 - Publication Date 5 November 1998	
Particular relevance (page, column, line, fig.): Page 20 line 25 to page 21 line 4	
4 D4 - US 5,297,031 - Publication Date 22 March 1994	
Particular relevance (page, column, line, fig.); Column 10, line 34 - Column 14, line 64	
5	
Particular relevance (page, column, line, fig.):	
6	
Particular relevance (page, column, line, fig.):	
7	
Particular relevance (page, column, line, fig.):	
Continued on additional sheet	1

EPO Form 2300.3 04.93 webdot

RM

Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

DOCKET A L A R M



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.