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Substitute for form 1449/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	90/008,576
		Filing Date	05-25-2007
		First Named Inventor	6,772,132
		Art Unit	3993
		Examiner Name	Jeanne Marie Clark
		Attorney Docket Number	07-1021-US-REX-1
Sheet	1/1	of	1.a.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1a1	eSpeed's Supplemental Invalidity Contentions, 5/25/2007	
	1a2	eSpeed's Disclosure of Invalidity Contentions Pursuant to 35 USC 282, 5/25/2007	
	1a3	Invalidity Contentions Re: TradePad Module (Letter Dated 5/25/2007)	
	1a4	Supplemental Invalidity Contentions Pursuant to 35 U.S.C. 282, 8/10/2007	
	1a5	eSpeed's and Ecco's Answers to Plaintiff's Eighth Set of Interrogatories, 8/4/2006	
	1a6	eSpeed and Ecco's Supplemental Answers to Plaintiff's First, Third, Seventh, Eighth, and Ninth Set of Interrogatories, 5/25/2007	
<i>JM</i>	1a7	Defendant GL Trade Americas, Inc's Supplemental Responses and Objections to Interrogatory Nos. 5, 17, and 18, 7/24/2006	
	1a8	eSpeed's Objections and Answers to Plaintiff's Third Set of Interrogatories to Defendant eSpeed, 5/12/2005	

Examiner Signature		Date Considered	2/28/08
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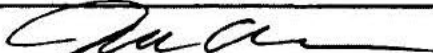
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		LIFFE Connect for Futures User Guide V4.50 Beta, 01/00/99, DX 384, G 025623-G 025626, DTX 384	
		User Guide V4.51 LIFFE Connect for Futures by GL Trade, 06/00/99, DX 385, G 025751-G 025806, DTX 385	
		CAC and STOXX Futures on MATIF NSC VF Launch: 3/15/99, DX 537, G 107561-G 107574, DTX 537	
		GL WIN Version 4.50, 03/03/99, DX 538, G 107459-G 107480, DTX 538	
		Trading Pad User Manual, 08/10/99, DX 539, G 112123-G 112131, DTX 539	
		Email from WATTIER to M. Cartier attaching Matif VF: V4.50 manual, 3/30/1998, DX 592, MC000046-MC000116, DTX 592	
		LIFFE Connect for Futures User Guide V4.50, 02/00/99, DX 593, G 122900-G 122938, DTX 593	
		LIFFE Connect for Futures User Guide V4.50, 02/00/99, DX 593A, G 122900 G-122938, DTX 593A	
		User Guide V4.50 LIFFE Connect for Futures by GL Trade, 03/00/99, DX 598, G 123439-G 123477, DTX 598	
		User Guide V4.60 LIFFE Connect for Futures by GL Trade, 06/00/99, DX 605, G 123548-G 123603, DTX 605	

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<i>JM</i>		Matif VF: V4.50 Manual, 3/30/1999, DX 612, MC 000047-MC 000116, DTX 612	
<i>JM</i>		CAC and STOXX Futures on MATIFF NSC VF- GL Win User Info Note, 3/15/1999, DX 626, CME-E11252-11265, DTX 626	
		"A System and Method for Conducting Security Transactions Over a Computer Network", MAURO & BUIST, 03/01/99, DX 196, eS 066150-eS 066229, DTX 196	
		RCG's Presentation re WitCapital, 4/22/2004, DX 208, RCG 000635-RCG 000663, DTX 208	
		MAURO, Certified Patent Application No. 09/292,552, 4/15/1999, DX 209, eS 065994-eS 066149,	
		Various declarations Re: Patent Application No. 09/292,552, 11/3/2003, DX 284, TT 099877-TT 099907, DTX 284	
		EVENSTREET Presentation prepared for National Discount Brokers, 00/00/1999, DX 301, CM 006787-CM 006817, DTX 301	
		WIT DSM user interface instructions, 08/06/98, DX 427, CM 006591-CM 006632, DTX 427	
		Presentation re WIT DSM user interface Trade4.ppt, 10/12/98, DX 430, CM 008265-CM 008330, DTX 430	
		WIT DSM Presentation re Information display and decision variables, 12/20/98, DX 431, CM 004334-CM 004347, DTX 431	

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		Art Unit	3993
		Examiner Name	Jeanne Marie Clark
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Sheet	3/16	of	1.b.

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		EVENSTREET Presentation prepared for Flatiron Partners, 00/00/1999, DX 437, CM 007139-CM 007172, DTX 437	
		WIT Capital digital trading facility presentation to Goldman Sachs, DX 438, CM 004523-CM 004547, DTX 438	
		WIT Capital Digital trading facility presentation to PaineWebber, Inc., DX 439, DTX 439	
		WIT Capital after hours trading system, MAURO, 3/19/1999, DX 440, CM 009028-CM 009059, DTX 440	
		WIT Capital Corporation digital trading facility presentation, 03/00/99, DX 441, DTX 441	
		WIT Capital pdf operator manual for Digital trading facility, 00/00/1999, DX 442, CM 006510-CM 006513, DTX 442	
		Overview re Digital trading facility, DX 443, CM 006315-CM 006344, DTX 443	
<i>JM</i>		Utility Patent Application Transmittal Re: Computer Trading System, Method and Interface, 4/15/1999, MAURO, KLEIA, and BUIST, PX368	
		Photocopy of Disks containing exhibits A and B to declaration of W. Buist, PX366	
		SISS Functional specifications version 2.1, 02/16/88, DX 445, DTX 445	

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		Overview re SPATS; the Electronic Broker, DX 446, DTX 446	
		Status review specialist support system study NYSE, 04/10/86, DX 447, DTX 447	
<i>JM</i>		Declaration of W. BUIST re: WIT DSM System, 04/26/06, PX 365, DTX 1777	
		INTEX Trading Screen shots, 01/01/2001, DX 10, eS 0003542-eS 0003543, DTX 10	
		Sample screens of APT system, DX 150, DTX 150	
		Photo of trader w/ APT screen, DX 151, LIFFE 00167-LIFFE 00168, DTX 151	
		APT User Guide, 01/00/94, DX 152, LIFFE 000262-LIFFE 000363, DTX 152	
		LIFFE guide/pamphlet, DX 148, DTX 148	
		Release Notes - Market Trader V5.2a, 03/18/99, DX 617, G 118137-G 118152, DTX 617	
<i>JM</i>		Market Trader - Nikkel 225 & Nikkel 300 Index options and Index futures trading users guide, 03/20/98, DX 618, G100444-G100462, DTX 618	

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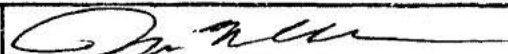
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		MIDAS KAPITI Delivery Note and Release Note- Market Trader V5.2b, 03/31/1999, DX 619, G 096511-G 096527, DTX 619	
		MIDAS KAPITI Release Notes - Market Trader V5.2e, 04/12/1999, DX 620, G 096694- G 096711, DTX 620	
		MIDAS KAPITI Release Notes - Market Trader V5.2, DX 621, G 096712 -G 096727, DTX 621, 05/26/99	
		MIDAS KAPITI Release Notes - Market Trader V5.2e, DX 622, G 096728- G 096754, DTX 622, 06/01/99	
		MIDAS KAPITI Delivery Note and Release Note - Market Trader V5.2e, DX 623, G 105641- G 105667, DTX 623, 5/26/99	
		Drawing of 1997 TSE terminal by H. KIDA, DX 624, DTX 624	
		Directory of Software Solutions for LIFFE Connect, 02/0099, DX 156, DTX 156	
		ScreenShots: Patsystem "Canned" Demo, 02/00/97, DX 120, PATS 00545- PATS 00559, DTX 120	
		PTS Client Version 2.1F, DX 119, PATS 00067-PATS 00082, DTX 119	
		PTS trading application Version 1.1 Beta H.1, 03/31/1998, DX 118, PATS 00560 -PATS 00560, DTX 118	

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		Directory of Software Solutions for LIFFE Connect, Issue 1, 10/00/1998, DX 155, DTX 155	
<i>Jm</i>		Directory of Software Solutions for LIFFE Connect, Issue 3, 6/10/1999, DX 157, DTX 157	
		Trading Technologies Trader System User Documentation, 04/01/98, Release 3.10, DX 3, TT 015867-TT 015955, DTX 3	
		Aurora Chicago Board of Trade Brochure, 1990, ES0021230-ES0021241	
		Ecco Consulting Study Report MEFF Software Systems, 3/17/1999, ES0060578-ES0060591	
		Osaka Stock Exchange Manual (Japanese Document), 4/1996, REFCO0009773-REFCO0009826	
<i>Jm</i>		TSE Manual (Japanese Document), 11/15/05, DX 179, TSE647-995, w/certified translation eS62258-62386 [TSE609-647, 694-711, 714-721, 735-736, 749-756, 759-760, 778-782, 784-810, 982-995]	✓
		TIFFE Manual (Japanese Document), 1/1996, REFCO0010861-REFCO0011210,	
		Final Detailed Design Document NYMEX ACCESS, 5/5/1992, eS0003127-eS0003541	
<i>Jm</i>		The Application Program Interface (API) Reference Manual for LIFFE Connect, Release 3.0, 9/1998, DDX 159, DTX 159, eS00060055-eS00060145	

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<i>Jm</i>		The Application Program Interface (API) Reference Manual for LIFFE Connect, Release 3.2, 12/1998, DDX 161, DTX 161, eS00060239-eS00060331	
<i>Jm</i>		The Application Program Interface (API) Reference Manual for LIFFE Connect, Release 3.3, 1/1999, DDX 162, DTX 162, eS00059959-eS00060054	
		The Application Program Interface (API) Reference Manual for LIFFE Connect, Release 2.7, 9/1998, DDX 163, DTX 163, eS00059868-eS00059958	
		OM CLICK Trade User's Guide for Windows NT, 10/00/1998, eS00064671-eS00064773	
		Swiss Exchange SWX Exchange TS User Manual V2.1, 12/31/1998	
		GLOBEX Members Handbook, 6/00/1992, DX632, DTX632, eS006974-eS0069818	
		GLOBEX User Guide, 1/00/1997, DX 663, DTX 663, eS0069819-eS0070081	
		Chicago Mercantile Exchange (CME) GLOBEX Brochure, 3/30/1989, DX634, DTX634, eS003958-eS0003981	
		The Complete GLOBEX2 Handbook, 5/00/1998, DX635, DTX635, CME-E0010679-0010891	
		The Complete GLOBEX2 Handbook, 7/00/1998, DX637, DTX637, CME-E 014048- CME-E 014286	

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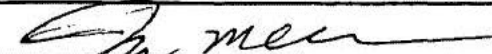
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	90/008,576
		Filing Date	05-25-2007
		First Named Inventor	6,772,132
		Art Unit	3993
		Examiner Name	Jeanne Marie Clark
		Attorney Docket Number	07-1021-US-REX-1
Sheet	8/16	of	11.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		MINEX Service Outline User Test/Orientation, 9/1992, eS0064647-eS0064670	
		ORC Instructions for Use Version 2.2.8., 0/0/1999, eS0064775-eS0032572	
		INTERACTIVE BROKERS, "Trade Futures Online with Interactive Brokers", 5/9/2005, eS0032571- eS0032572	
		NICHOLAS ECONOMIDES, "Electronic Call Market Trading", Journal of Portfolio Management, 2/00/1995, eS0069585-eS0069610	
		MAURO, KLEIA, BUIST, 4/15/1999, EM 06T 020 474 File Wrapper	
		GL Trading Pad Manual, G0020819-G0020826	
		GL WIN Trade Manual Version 4.50, 3/3/1999, G0025683-G0025719	
		TradePad Instructions (French), G0025748-G0025749	
		TradePad.vsd Document, 2/9/1999, G011169-G0111670	
		Trading pad.doc Document, 1/26/1999, G0111671-G0111672	

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Sheet	9/16	of	16
		Attorney Docket Number	07-1021-US-REX-1

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<i>JM</i>		Directory of Software Solutions for LIFFE CONNECT, 2/00/99, G009006-G009042	
		GL WIN et Logiciels complementaires (French), 10//00/99, G009121-G009486	
<i>JM</i>		GL WIN et Logiciels complementaires (French), 7/00/99, G009875-G010238	
		Memo re: Dual ACCESS Version 4.5 release, 3/21/99, G0022956-G0022959:	
<i>JM</i>		tradepad.txt (French), 3/8/2000, G0025616-G0025618	
<i>JM</i>		LIFFE CONNECT for FUTURES User Guide v4.50 Beta, 1/1999, G0025623-G0025646	
<i>JM</i>		CAC and STOXX FUTURES on MATH NSC VF Launch: 3/15/99, G0025669-G0025682	
<i>JM</i>		GL Enhancements Software Version 4.11f, 10/29/1998, G0060853-G0060854	
<i>JM</i>		GL Enhancements Update, 01/27/99, G0101682-G0101688	
		LIFFE Connect Futures Release Note 050399.doc, 3/3/1998, G0111402-G0111407	

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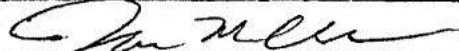
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Sheet	10/16	of	1.b.
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		TradingPad.doc, 4/30/1999, G0112117-G0112122	
		TradingPadUserManual.doc, 8/10/1999, G0112123-G0112131	
		FUTURES CAC et STOXX sur MATIF NSC VF (French), 3/15/99, G0118814-G0118828	
		GL WIN Version 4.51, G0118856-G0118865	
		GL Trade Presentation (French), 4/25/1999, G0118989-G0119044	
		LIFFE CONNECT FUTURES Functional Technical Issues to Resolve, 4/12/2007, G0119049-G0119050	
		LIFFE CONNECT for Equity Options User Guide v4.30, 11/1998, G0119052-G0119036	
		Member Participation in the Futures Market, 04/12/1999, G0119196-G0119197	
		Email from PATRICIA GAUTHIER to Sam Page, 1/25/1999, G0119377-G0119380	
		LIFFE CONNECT ISV CIRCULAR NO: 001.99, 1/8/1999, G0119566-G0119568	

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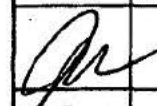
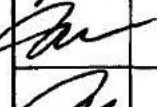
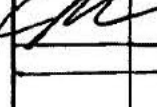
1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

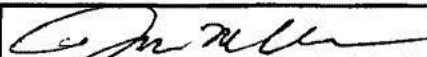
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	90/008,576
		Filing Date	05-25-2007
		First Named Inventor	6,772,132
		Art Unit	3993
		Examiner Name	Jeanne Marie Clark
		Attorney Docket Number	07-1021-US-REX-1
Sheet	11/16	of	11.

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		LIFFE CONNECT ISV CIRCULAR NO. 14-99, 3/2/1999, G0119583-G0119590	
		LIFFE CONNECT ISV CIRCULAR NO: 004-99, 1/15/1999, G119615-G0119616	
		LIFFE CONNECT ISV CIRCULAR NO: 001-99, 1/15/1999, G0119617-G0119618	
		LIFFE CONNECT ISV CIRCULAR NO: 008-98, 12/28/1998, G0119631-G0119632	
		Screenshot of GL TradePad, G0119660	
		LIFFE CONNECT for Futures Schedule for Project Deliverables, 2/4/1999, G0119681-G0119682	
		LIFFE CONNECT for Futures-Project Update #1, Meeting of 1/11/99, G0119691-G0119697	
		LIFFE CONNECT for FUTURES: Project Update 2, Meeting of 2/10/99, G0119698-G0119704	
		LIFFE CONNECT for FUTURES: Project Summary: 4/19/99, G0119705-G0119717	
		Functional Enhancements for LIFFE CONNECT for FUTURES Project, 4/12/1999, G0119718-G0119724	

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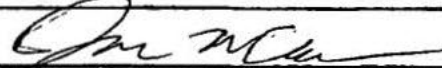
¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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		First Named Inventor	6,772,132
		Art Unit	3993
		Examiner Name	Jeanne Marie Clark
		Attorney Docket Number	07-1021-US-REX-1
Sheet	12/16	of	1 b.

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		Functional Enhancements for LIFE CONNECT for FUTURES Project, 4/12/99, G0119725-G0119745	
		Functional Enhancements for LIFE CONNECT for FUTURES Project, 3/3/99	
		Installation, Market Entry Test, and Technical Dress Rehearsal Summary, 2/23/99	
		GL Trade Checklist Installation Requirements for FUTURES, Jan. 1999, G0119795-G0119798	
		Cahier de charges.doc, 2/9/1999, G0111752-G0111758	
		Keyboard example, 2/3/2006, G007308-G007310	
		GL Brochure, G0021652-21658	
		GL Cost and Services, 00/00/1998, G0108876	
		GL Win Summary (French), 6/00/1998, G0091004-G0091046	
		Globex brochure, DTX 17, 00/00/1989, eS0003958-eS0003981	

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		First Named Inventor	6,772,132
		Art Unit	3993
		Examiner Name	Jeanne Marie Clark
		Attorney Docket Number	07-1021-US-REX-1
Sheet	13/16	of	11.

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		Swiss Exchange SWX - TS User Manual, 12/31/1998, DTX 2215, eS0032293-eS0032547	
		Screen No. 100-Order Book & Order Entry 1 (Single View), eS060637-eS060639	
		GLOBEX User Guide, 01/00/97, DDX 633, DTX 633, eS069819-eS070081	
Sup.		LIFFE APT User Guide, 01/00/1994, LIFFE000262-LIFFE000363	
		PTS trading application Version 1.1 Beta H.1, 3/31/1998, DDX 118, DTX 118, PATS00560-PATS00560	
		X Trader User Documentation Manual Release 3.10, 4/1/1998, TT0015867-15955	
Sub.		Trading Pad Manual, G0026560-26567	
		QuickTrade Document and Brochure, G021027-21031	

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		Examiner Name	Jeanne Marie Clark
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Sheet	14/16	of	1.b.

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		"LIFFE CONNECT for Futures User Guide v4.51", 6/00/1999, G0025815-25822	
<i>JM</i>		"LIFFE CONNECT for Futures User Guide v4.5, 6/00/1999, G0025751-25806	
		GL Version 4.70 (French Version), 1/5/2000, G0026505-26533	
		GL Version 4.70 (English Version), 1/5/2000, G0020593-20621	
<i>JM</i>		GL WIN Version 4.50, 3/3/1999, G0025647-25668	
		GL WIN and Related Software Manual, 9/11/2000, 1) G0025251-25615	
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		GL WIN and Related Software Manual, 3) G010239-10610	
		GL WIN et Logiciels complementaires (French), G0009495-9874	
		"CAC and STOXX Futures on MATIF NSC VF", 3/13/1999, G0025720-25733	

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Examiner Signature	<i>Jeanne Marie Clark</i>	Date Considered	2/28/08
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		First Named Inventor	6,772,132
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<i>JM</i>		Internal Product News doc on QuickTrade, G0020468-20471	
		Summary chart of TradePad, 9/18/2000, G0020725-20726	
		"Introducing the Company: GL Trade" product offerings and slide presentation (to Reuters), G0026534-26559	
<i>JM</i>		GL Product Leaflet Re: Mosaic, G0022529-22530	
		THOMSON FINANCIAL leaflet, 09/00/2003, G0022445-22450	
		LIFFE CONNECT for Futures leaflet, G0023885-23888	
<i>JM</i>		LIFFE CONNECT for Futures leaflet, G0021609-21614	
<i>dup.</i>		CAC And STOXX Futures On Matif NSC VF- GLWIN User Information Note, 3/15/1999, G0026455-2646	

Examiner Signature	<i>JM</i>	Date Considered	2/28/08
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		Filing Date	05-25-2007
		First Named Inventor	6,772,132
		Art Unit	3993
		Examiner Name	Jeanne Marie Clark
Sheet 1/2	of	I.c.	Attorney Docket Number 07-1021-US-REX-1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		TSE Japanese Document, pgs 4-15	
		TSE Japanese Document, pgs 6-15	
		Megumi MIYOSHI, Japanese Patent Application No. 2001-564025, 4/18/200	
<i>JM</i>		"Guidlines for Operating the Trading Terminals", TSE Publication 2, 00/00/1998, TSE628-643, REFCO6698-6713, with two translations	✓
<i>JM</i>		"TSE 50th Anniversary Book of Materials", TSE Publication 3, 4/00/1989-3/00/1999, TSE644-646, REFCO6714-6719, with two translations	✓
		"Amazon.com Catapults Electronic Commerce to Next Level with Powerful New Features," Amazon.com Press Release, 9/23/97, DTX1034, Dezmyk000012-13	
		Apple Advertisement, Scientific American, September 1984, Scientific American Inc. NY, NY Dezmyk000014-33	
		Memo Re: Downloading the Terminal Program, 8/18/2005	
<i>JM</i>		"Next-Generation Futures Options Trading System", Participants Seminar Materials, TSE Publication 1, 9/00/1997, TSE609-TSE627, REFCO6679-6697, with two translations	✓

Examiner Signature <i>JM</i>	Date Considered 2/28/08
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Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	90/008,576
		Filing Date	05-25-2007
		First Named Inventor	6,772,132
		Art Unit	3993
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		"Specialist vs Saltori: Market-Making in New York and Tokyo", Richard LINDSAY and Ulrike Schaeede, DTX 1170, 7-8/00/1992, Silverman000494-Silverman000506	
		"Building for Excellence", MINEX Brochure, DTX 1153, Silverman000330-Silverman000334	
		Chicago Mercantile Exchange (CME) Brochure, DTX1163, Silverman000406- Silverman000407	
		MEFF Renta Fija Manual, DTX 1165, 10/00/1997, Silverman000410-Silverman000473	
		O'HARA and OLDFIELD, "The Microeconomics of Market Making", Journal of Financial and Quantitative Analysis, 12/00/86, DTX 1169 Silverman000478- Silverman000493	
		B. WEBER, "Information Technology in the Major International Financial Markets", 4/7/1993, DX 79	
		Terminal Use Manual - Windows NT Version, Tokyo International Financial Futures Exchange (LIFFE), 0/0/1994, Silverman002552-Silverman002616, DTX 1226	
		USPTO Press Release, "Electronic Patent Application Records Replace Paper Files at USPTO", DTX 2285, 9/19/2007	

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		Memorandum Opinion and Order Re: '132 and '304 Claim Construction [425], 10/31/2006	
		Memorandum Opinion and Order Re: TT's Motion for Clarification [475], 2/21/2007	
		Memorandum Opinion and Order Re: Non-Infringement [708], 6/20/2007	
		Memorandum Opinion and Order Re: Motions for Reconsideration [875], 8/27/2007	
		Memorandum Opinion and Order Re: "Single Action" Ruling [963], 9/12/2007	
		Memorandum Opinion and Order Re: Preliminary Injunction [83], 2/9/2005	

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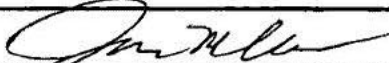
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		Memorandum Opinion and Order Re: eSpeed's Motion for Summary Judgment of Invalidity Denied [845], 8/21/2007	
		Memorandum Opinion and Order Re: TT's Motion to Preclude Prior Sale Defense Denied [873], 8/27/2007	
		Memorandum Opinion and Order Re: GL's Motion for Reconsideration Denied [994], 9/19/2007	
		Memorandum Opinion and Order Re: Priority Date [769], 7/12/2007	
		Memorandum Opinion and Order Re: Priority Date [1013], 9/25/2007	
		Memorandum Opinion and Order Re: Prior Public Use [835], 8/16/2007	
		Memorandum Opinion and Order Re: Defendant's Motion for Judgment as a Matter of Law on Indefiniteness [1141], 01/02/2008	
		Notification of Docket Entry Re: Defendants eSpeed's Motion for Judgment as a Matter of Law on Invalidity is denied [1140], 01/03/2008	
		Notification of Docket Entry Re: Defendant eSpeed's Motion for a New Trial is denied [1142], 01/03/2008	
		Memorandum Opinion and Order Re: Defendant's Motion for Judgment as a Matter of Law on Willfulness [1144], 01/03/2008	

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Sheet 1/6	of IV.	Attorney Docket Number	07-1021-US-REX-1

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		Deposition testimony of Hiroyuki Kida dated May 17, 2007 and May 18, 2007 with DDX 617-624, PDX 519-524, PDX 527 and PDX 531	
		Trial testimony of Hiroyuki Kida dated September 28, 2007 and October 1, 2007 with DTX 617	
		Deposition testimony of Atsushi Kawashima dated November 21, 2005 with DDX 178-185	
		Trial testimony of Atsushi Kawashima dated September 26, 2007 with DTX 183	
		Deposition testimony of Philip Carre dated June 22, 2007, September 6, 2007 and September 13, 2007 with DDX 384-385; DDX 473; DDX 494; DDX 527;	
		DDX 537-541; DDX 592; DDX 593A; DDX 595-598; DDX 605; DDX 626; DDX 629; DDX 719-723; DDX 728-732; PDX 897-898 and PDX 896	
		Deposition testimony of Michael Cartier dated May 9, 2007 with DDX 587-588; DDX 592-593A and PDX 498-500	
		Deposition testimony of Cristina Dobson dated May 18, 2007 with DDX 625-635; DDX 637; PDX 533 and PDX 535	
		Deposition testimony of Nicholas Garrow dated June 14, 2007 with DDX 116 and DDX 592	
		Trial testimony of Nicholas Garrow (via expert witness) dated October 2, 2007	

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		Deposition testimony of Michael Gilista dated February 20, 2007 with DDX 382-386	
		Trial testimony of Michael Gilista dated Sept. 24, 2007 and Sept. 25, 2007 with DTX 382-386; DTX 524; DTX 570; DTX 579; DTX 592; DTX 3020; DTX 3050; DTX 3057; DTX 3110;	
		PTX 1993; PTX 2065; PTX 2092; PTX 2094	
<i>JM</i>		Deposition testimony of Laurent Havard dated April 24-26, 2007, May 12, 2007, June 21, 2007 and September 5, 2007 with DDX 506; DDX 508; DDX 517; DDX 539; DDX 551-568;	
		DDX 570-573; DDX 575; DDX 603; PDX 462; PDX 464-483; PDX 767-769; PDX 771-774; PDX 875-877; PDX 882-888 and PDX 893	
<i>JM</i>		Trial Testimony of Laurent Havard dated September 21, 2007 with DTX 384; DTX 520; DTX 561-62; DTX 570; DTX 573; DTX 575; DTX 593; DTX 750-52; DTX 3011; DTX 3017-18; DTX 3050;	
		PTX 575; PTX 2064; PTX 2074; PTX 2077; PTX 2079	
<i>JM</i>		Deposition testimony of Jean Cedric Jollant dated April 26, 2007 and June 13, 2007 with DDX 384; DDX 517; DDX 520; DDX 551-568; DDX 575-577; PDX 465-483	
<i>JM</i>		Trial testimony of Jean Cedric Jollant dated September 20, 2007 with DTX 157; DTX 473; DTX 719; DTX 551-64; DTX 598; DTX 722; DTX 1903; DTX 3009-10; DTX 3014; DTX 3016-18	
		DTX 3020; DTX 3023; DTX 3025; PTX 166; PTX 520; PTX 526; PTX 539; PTX 573; PTX 575; PTX 579; PTX 2065	

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JM		Deposition testimony of Marc Lorin dated September 5, 2007 with DDX 385; DDX 721-722; DDX 730	
JM		Deposition testimony of Christopher Malo dated May 23, 2007 with DDX 524	
JM		Deposition testimony of Fred Mastro dated May 21, 2007 with DDX 638; PDX 538; PDX 540-543	
JM		Trial testimony of Fred Mastro dated September 25, 2007 with DTX 592	
JM		Deposition testimony of William McHorris dated April 10, 2007 with PDX 416-417	
JM		Deposition testimony of Josephine Sheng dated June 26, 2007 with DDX 520; DDX 522; DDX 536; DDX 575; PDX 493; PDX 683-683a; PDX 775	
JM		Deposition testimony of Bruno Spada dated April 30, 2007 and May 2, 2007 with DDX 384-385; DDX 519-21; DDX 537-38; DDX 579-580; DDX 522; DDX 538; PDX 493-495; PDX 519-522	
JM		Trial testimony of Bruno Spada dated September 24, 2007 with DTX 308; DTX 384; DTX 518-522; DTX 524; DTX 575; DTX 579-580; DTX 593; DTX 628; DTX 628; DTX 646; DTX 1899	
JM		DTX 2086; DTX 3020-3021; DTX 3058-3059; DTX 3061; DTX 3085; PTX 737; PTX 840; PTX 2083; PTX 2087; PTX 2099; PTX 2101	

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	[Deposition testimony of Marcel Tchitchlama dated May 10, 2007-May 11, 2007 with DDX 158-157; DDX 168; DDX 384-85; DDX 538; DDX 592-93A; DDX 595-98; DDX 602-06; DDX 608-11 PDX 494; PDX 502; PDX 506; PDX 612	
		Deposition testimony of Neil Treloar dated June 19, 2007 with DDX 384; DDX 539-39A; DDX 724; DDX 726; PDX 492; PDX 750-752	
		Trial testimony of Neil Treloar dated September 21, 2007 and September 24, 2007 with DTX 2040-2042	
		Trial testimony of Gerard Varjacques dated September 28, 2007 with PTX 509-510 and PTX 513	
		Deposition testimony of Amy Watson dated June 12, 2007 with DDX 626A; DDX 700 and PDX 636	
		Deposition testimony of Barbara Wattiez dated June 16, 2007 with DDX 719-723	
		Trial testimony of Barbara Wattiez dated September 28, 2007 with DTX 592-593A; DTX 597-598; DTX 718-719; DTX 722	
		Deposition testimony of Christopher Buist dated June 22, 2006 with PDX 358-364 and DDX 283-284	
		Deposition testimony of Walter Buist dated June 23, 2006 with DDX 315; PDX 310-315; PDX 365 and PDX 368-370	

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<i>JM</i>		Digital trading facility weekly operations meeting outline, DX 444, DTX 444, CM 006661-CM 006661, 05/10/99	
<i>JM</i>		Chart re DSM QA Test Plain - project plan in progress, DX 432, DTX 432, 11/04/98	
<i>JM</i>		User interface design specification for WIT capital digital stock market, DX 433, DTX 433 CM 008441-CM 008478, 01/18/99	
<i>JM</i>		Updated negotiations design to E. Lang from C. Mauro for review and approval prior to patent application, DX 434, CM 008410-CM 008414, DTX 434, 03/17/99	
<i>JM</i>		Notes re final additions/revisions, DX 435, CM 007949-CM 007955, DTX 435, 04/02/99	
<i>JM</i>		Position paper: On-line training and customer acquisition: WIT Capital DSM product launch and related schedule to E. Lang, DX 436, DTX 436, CM 006580-CM 006590, 02/22/99	
<i>JM</i>		Meeting outline, DX 428, CM 00750-CM 007501, DTX 428, 09/11/98	
<i>JM</i>		Presentation re WIT Capital Digital stock market Phase 1 usability and customer response testing: Preliminary report of findings, DX 429, CM 007446-CM 007466, 05/01/06	
<i>JM</i>		WIT Capital limit order book to L. Forrest from C. Mauro, DX 425, CM 007382-CM 007411, DTX 425, 04/20/98	
<i>JM</i>		User Interface Design for display options design, DX 426, CM 000249-CM 000287, DTX 426, 07/08/98	

Examiner Signature <i>JM</i>	Date Considered 2/28/08
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known		
		Application Number	90/008,576	
		Filing Date	05-25-2007	
		First Named Inventor	6,772,132	
		Art Unit	3993	
		Examiner Name	Jeanne Marie Clark	
Sheet 1/8	of	VI.iii	Attorney Docket Number	07-1021-US-REX-1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>JM</i>		Notice of Opposition to a European Patent EP 1319211 B1, ANITRA Medienprojekte GmbH, 1/13/2006	
<i>JM</i>		Notice of Opposition to a European Patent by DEUTSCHE BORSE AG, 1/12/2006	
<i>JM</i>		Notice of Opposition to a European Patent by EccoWare Ltd., 1/13/2006	
<i>JM</i>		Notice of Opposition to a European Patent by Rosenthal Collins Group LLC, 1/12/2006	
<i>JM</i>		Notice of Opposition to a European Patent by Tick-It GmbH, 1/13/2006	

Examiner Signature	<i>JM</i>	Date Considered	2/28/08
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		Filing Date	05-25-2007
		First Named Inventor	6,772,132
		Art Unit	3993
		Examiner Name	Jeanne Marie Clark
		Attorney Docket Number	07-1021-US-REX-1
Sheet	2/8	of	VI.iii

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		Declaration of Walter Buist, Exhibit 17, 4/26/2007; with Faxed Signature Page	
		Letter from J. WALASKI to the EPO Re: Change of Address, 12/19/2006	
		A. KLEIN, WallStreet.com- Fat Cat Investing at the Click of a Mouse, Chapt. 14 "Finding Gold in Tribeca", 1998; Exhibit 16	
<i>JM</i>		A. KLEIN, WallStreet.com- Fat Cat Investing at the Click of a Mouse, Chapt. 14-16, 18, 23, 1998; Exhibit 16 (Cont'd)	
<i>JM</i>		WIT Digital Stock Market, User Interface Rev. 9, Exhibit 15	
<i>JM</i>		Opposition Trading Technologies, Inc. Application No. 01920183.9 EP 1319211B1, Exhibit 4 (Japanese and English Versions), 9/00/1997	✓
<i>JM</i>		System for Buying and Selling Futures and Options Transaction Terminal Operational Guidelines, TSE Business Systems Dept., TSE647-995, eS062297-eS062380	
<i>JM</i>		Letter from EPO to TT Re: Further European Patent Opposition, 2/23/2006	
<i>JM</i>		Letter from EPO to TT Re: Five Recently Filed European Patent Oppositions, 2/21/2006	
<i>JM</i>		Letter from EPO to TT Enclosing European Patent Oppositions, 1/25/2006	

Examiner Signature	<i>Jeanne Marie Clark</i>	Date Considered	2/28/08
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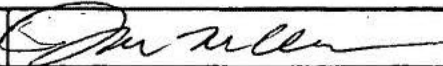
¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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		Filing Date	05-25-2007
		First Named Inventor	6,772,132
		Art Unit	3893
		Examiner Name	Jeanne Marie Clark
Sheet 3/8	of VI.iii	Attorney Docket Number	07-1021-US-REX-1

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		Opposition EP 1 319 211 B1, Exhibit 1, Japanese Document (TSE), TSE647-980	
		Opposition TT, EP 1 319 211 B1, Copy set 1, Annex One Grounds of Opposition, 1/19/2006	
		Deposition Transcript of Atsushi Kawashima, 11/21/2005, Opposition EP 1 319 211 B1 Exhibit 3	
		The Application Program Interface (API) Reference Manual for LIFFE Connect, 9/00/1998, release 2.7, EP 1 319 211 B1 Exhibit 5, eS0059868-eS0059958	
dupl.		The Application Program Interface (API) Reference Manual for LIFFE Connect, 9/00/1998, release 3.0, EP 1 319 211 B1 Exhibit 6, eS0060055-eS0060145	
		The Application Program Interface (API) Reference Manual for LIFFE Connect, 9/00/1998, release 3.1, EP 1 319 211 B1 Exhibit 7A, eS0060146-eS0060237	
dupl.		The Application Program Interface (API) Reference Manual for LIFFE Connect, 12/00/1998, release 3.2, EP 1 319 211 B1 Exhibit 7B, eS0060239-eS0060331	
dupl.		The Application Program Interface (API) Reference Manual for LIFFE Connect, 1/00/1999, release 3.3, EP 1 319 211 B1 Exhibit 7C, eS0059950-eS0060054	
		Deposition Transcript of Paul MacGregor, 11/1/2005, Opposition EP 1 319 211 B1 Exhibit 9A	
		APT Trading Procedures (ATOM Version) of LIFFE, 4/3/2001, Opposition EP 1 319 211 B1 Exhibit 9B	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	90/008,576
		Filing Date	05-25-2007
		First Named Inventor	6,772,132
		Art Unit	3993
		Examiner Name	Jeanne Marie Clark
Sheet 4/8	of	VI.iii	Attorney Docket Number 07-1021-US-REX-1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>Jm</i>		LIFFE APT User Guide, 1/00/1994, Opposition EP 1 319 211 B1 Exhibit 9C, LIFFE000262-LIFFE000363	
<i>Jm</i>		APTplus Trading Procedures, 12/28/1995, Opposition EP 1 319 211 B1 Exhibit 9D	
<i>Jm</i>		IRIS Investment Support Systems Window Ad, Opposition EP 1 319 211 B1 Exhibit 10	
		GL TRADE User Guide V4.51- LIEFF Connect for Futures, 6/00/1999, Opposition EP 1 319 211 B1 Exhibit 11	
		Memorandum Opinion and Order Re: Request for Preliminary Injunction [83], 2/9/2005, Opposition EP 1 319 211 B1 Exhibit 12	
		Fig. 2 Substitute Sheet (Rule 26), Opposition EP 1 319 211 B1 Exhibit 13A	
		Provisional Application No. 60/486,322, Opposition EP 1 319 211 B1 Exhibit 13B	
		OM CLICK Trade User's Guide for Windows NT, 10/00/1998, Opposition EP 1 319 211 B1 Exhibit 14	
		Directory of Software Solutions for LIEFF Connect, 10/00/1998, Opposition EP 1 319 211 B1 Exhibit 8A	
		Directory of Software Solutions for LIEFF Connect, 2/00/1999, Opposition EP 1 319 211 B1 Exhibit 8B	

Duplicate

dup 1

dup 2

Examiner Signature <i>Jm</i>	Date Considered 2/28/08
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	90/008,576
		Filing Date	05-25-2007
		First Named Inventor	6,772,132
		Art Unit	3993
		Examiner Name	Jeanne Marie Clark
Sheet 5/8	of VI.ali	Attorney Docket Number	07-1021-US-REX-1

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		Directory of Software Solutions for LIFFE Connect, 6/00/1999, Opposition EP 1 319 211 B1 Exhibit 8C	
<i>Jm</i>		LIFFE's New Electronic Trading Platform for Futures, LIFFE 202-261, Opposition EP 1 319 211 B1 Exhibit 8D	
<i>Jm</i>		TIFFE Internet Article, "New On-Screen Trading Terminals", E2	
		Japanese Document, TSE00647-981, DX 179, D1(1)	✓
		System for Buying and Selling Futures and Options Transaction Terminal Operational Guidelines, TSE Business Systems Dept, TSE00647-870, eS0622977-eS062366, D1(e)	✓
<i>Jm</i>		ORC Instructions for Use, Version 2.2.8., 1999	
		Futures/Options Trading System Guidelines for Operating the Trading Terminals, TSE Business Systems Dept, TSE00628-643, eS062278-eS062293, D1(3)	
<i>Jm</i>		GL Trade Users Guide V4.51, LIFFE Connect for Futures, 6/00/1999, D2	

Examiner Signature	<i>Jm</i>	Date Considered	2/28/08
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		Filing Date	05-25-2007
		First Named Inventor	6,772,132
		Art Unit	3993
		Examiner Name	Jeanne Marie Clark
		Attorney Docket Number	07-1021-US-REX-1
Sheet	6/8	of	VI.iii

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<i>JMC</i>		Securities Industries News, "TT Upgrades Software Platform", 8/28/2000 (D6)	
<i>JMC</i>		Letter to EPO from Karl Barnfather Enclosing Notice of Opposition (form 2300.1) and Grounds of Opposition (Annex 1), 1/12/2006	
<i>JMC</i>		Dow Jones & Reuters Factiva, "Firms Rush to Make LIFFE Connect Decision", 12/4/1998, E6	
<i>JMC</i>		Dow Jones & Reuters Factiva, "Date Broadcasting Partners with Alltech Investment to Provide Customers with Online Trading", 2/25/1999, E8	
<i>JMC</i>		Trading Pad Summary Document (E2)	
<i>JMC</i>		Trading Pad Document (E3)	
		Japanese Document, TSE00647-981, 12/1/2006 (E4), with Translation	✓
		Japanese Document, TSE00609-627, 12/1/2006 (E5), with Translation	✓

Examiner Signature	<i>JMC</i>	Date Considered	2/27/08
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		Filing Date	05-25-2007
		First Named Inventor	6,772,132
		Art Unit	3993
		Examiner Name	Jeanne Marie Clark
Sheet 7/8	of VI.iii	Attorney Docket Number	07-1021-US-REX-1

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JM		TT X-Trader Brochure, 12/1/2006 (E7)	
JM		MINEX Service Outline User Test/Orientation, 9/00/1992 (E9)	
JM		Letter to J. Walanski from EPO Re: Payment, 4/20/2005	
JM		Letter to J. Walanski from EPO Re: Decision to Grant TT Patent, 3/3/2005	
JM		German Document from Tick-IT GmbH Filing New European Opposition, 1/14/2006	
JM		Faxed copy of German Document from Tick-IT GmbH Filing New European Opposition, 1/13/2006	

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		Filing Date	05-25-2007
		First Named Inventor	6,772,132
		Art Unit	3993
		Examiner Name	Jeanne Marie Clark
Sheet 1/1	of VI.b.I	Attorney Docket Number	07-1021-US-REX-1

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<i>JM</i>		Information Offer Form for Japanese Patent Application No. 2001-564025, 4/18/2005 (Japanese)	✓
<i>JM</i>		Memo Re: Futures/Options Trading System and Japanese Patent Application No. 2001-564025 (Japanese), 8/18/2005	✓
<i>JM</i>		"Next-Generation Futures Options Trading System", Participants Seminar Materials, TSE Publication 1 (Japanese), 9/00/1997, TSE609-TSE627, REFCO6679-6697	✓
<i>JM</i>		Callouts from TSE Publication 1 including reference numerals used in English translation (Japanese, full translation of Publication 1 included supra)	
<i>JM</i>		"Guidlines for Operating the Trading Terminals", TSE Publication 2 (Japanese), TSE628-643, REFCO6698-6713	✓
<i>JM</i>		Callouts from TSE Publication 2 including reference numerals used in English translation (Japanese, full translation of Publication 2 included supra)	
<i>JM</i>		"TSE 50th Anniversary Book of Materials", TSE Publication 3 (Japanese), 4/00/1989-3/00/1999, TSE644-646, REFCO6714-6719	✓
<i>JM</i>		Notification of Offer Form (Japanese and English) , 6/3/2005	✓

Examiner Signature	<i>JM</i>	Date Considered	2/28/2008
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