

Paper No. _____
Filed: December 29, 2015

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC; INTERACTIVE BROKERS LLC;
TRADESTATION GROUP, INC.; TRADESTATION SECURITIES, INC.;
TRADESTATION TECHNOLOGIES, INC.;
and IBFX, INC.

Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case CBM2015-00182
U.S. Patent 6,772,132

**NOTICE TO REPLACE SIGNATURE PAGE OF
PATENT OWNER'S PRELIMINARY RESPONSE**

Pursuant to the Board's email authorization of December 29, 2015, and in accordance with 37 C.F.R. § 1.4(d)(2)(i), Patent Owner Trading Technologies International, Inc. hereby submits this notice to replace the current signature page of Patent Owner's Preliminary Response (Paper No. 15) filed on the PRPS on December 21, 2015, to counsel for Petitioners, IBG, LLC, Interactive Brokers LLC, TradeStation Group, Inc., TradeStation Securities, Inc., TradeStation Technologies, Inc., TradeStation Technologies, Inc., and IBFX, Inc. No other changes have been made to Paper No. 15.

Respectfully submitted,

Dated: December 29, 2015

By: /Rachel L. Emsley/
Rachel L. Emsley
Reg. No. 63,558

alternative that the proceedings were designed to provide. *Supra*, IV.

XII. CONCLUSION

In view of the foregoing, the Board should deny the petition (1) because it is one in a series of filings that misuse the CBM review process; (2) because the claims recite an inventive GUI improvement putting the '132 patent outside the scope of CBM review; and (3) because Petitioners not demonstrated any patentability problem under 35 U.S.C. §§ 101 or 103.¹⁹

Respectfully submitted,

Dated: December 21, 2015

By: /Rachel L. Emsley/
Rachel L. Emsley
Reg. No. 63,558

¹⁹ While the Board previously instituted a proceeding on this patent, the decision was non-final and lacked the benefit of the evidence and arguments now presented.

CERTIFICATE OF SERVICE

The undersigned hereby certifies that a copy of the foregoing **Notice to Replace Signature Page of Patent Owner's Preliminary Response** was served on December 29, 2015, via email directed to counsel of record for the Petitioners at the following:

Robert E. Sokohl
rsokohl-PTAB@skgf.com

Lori A. Gordon
lgordon-PTAB@skgf.com

Richard M. Bemben
rbemben-PTAB@skgf.com

PTAB@skgf.com

Date: December 29, 2015

/Ashley F. Cheung/

Ashley F. Cheung
Case Manager

Finnegan, Henderson, Farabow, Garrett &
Dunner, LLP