



# Notice of Opposition to a European Patent


EPO - Munich  
21  
12 Jan. 2006  
To the  
European Patent Office

I. Patent opposed		for EPO use only	
Opp. No.		OPPO (1)	
Patent No.		1 319 211	
Application No.		01 920 183.9	
Date of mention of the grant in the European Patent Bulletin (Art. 97(4), 99(1) EPC)		13.04.2005	
Title of the invention: Click Based Trading with Intuitive Grid Display of Market Depth			
II. Proprietor of the Patent Trading Technologies International, Inc first named in the patent specification			
Opponent's or representative's reference (max. 15 spaces)		EPE651TE	
III. Opponent		OREF	
Name		DEUTSCHE BÖRSE AG	
Address		Neue Börsenstr. 1 60487 Frankfurt am Main	
State of residence or of principal place of business		DE	
Telephone/Telex/Fax			
Multiple opponents		<input type="checkbox"/> further opponents see additional sheet	
IV. Authorisation		OPPO (2)	
1. Representative (Name only one representative to whom notification is to be made)		OPPO (9)	
Name		Grünecker, Kinkeldey, Stockmair & Schwanhäusser Anwaltssozietät - Association No. 72 - Maximilianstraße 58 80538 München Deutschland	
Address of place of business			
Telephone/Telex/Fax		+49 89 212350      +49 89 220287	
Additional representative(s)		<input type="checkbox"/> (on additional sheet/see authorisation)      OPPO (5)	
2. Employee(s) of the opponent authorised for these opposition proceedings under Art. 133(3) EPC		Name(s):	
Authorisation(s)		<input checked="" type="checkbox"/> not considered necessary	
To 1./2.		<input type="checkbox"/> has/have been registered under No. <input type="checkbox"/> is/are enclosed	

Zur Kasse  
6106

<p><b>V. Opposition is filed against</b></p> <p>— the patent as a whole <input checked="" type="checkbox"/></p> <p>— claim(s) No(s) <input type="checkbox"/></p>	<p>for EPO use only</p>
<p><b>VI. Grounds for opposition:</b></p> <p>Opposition is based on the following grounds:</p> <p>(a) the subject-matter of the European patent opposed is not patentable (Art. 100(a) EPC) because:</p> <p>— it is not new (Art. 52(1); 54 EPC) <input checked="" type="checkbox"/></p> <p>— it does not involve an inventive step (Art. 52(1); 56 EPC) <input checked="" type="checkbox"/></p> <p>— patentability is excluded on other grounds, i. e. <input type="checkbox"/> Art. <input type="text"/></p> <p>(b) the patent opposed does not disclose the invention in a manner sufficiently clear and complete for it to be carried out by a person skilled in the art (Art. 100(b) EPC; see Art. 83 EPC). <input checked="" type="checkbox"/></p> <p>(c) the subject-matter of the patent opposed extends beyond the content of the application/ of the earlier application as filed (Art. 100(c) EPC, see Art. 123(2) EPC). <input checked="" type="checkbox"/></p>	
<p><b>VII. Facts and arguments</b> (Rule 55(c) EPC) presented in support of the opposition are submitted herewith on a separate sheet (annex 1) <input checked="" type="checkbox"/></p>	
<p><b>VIII. Other requests:</b></p> <p>Oral Proceedings unless revocation of the patent as a whole in writing</p>	

IX. Evidence presented	for EPO use only
Enclosed = <input checked="" type="checkbox"/>	
will be filed at a later date = <input type="checkbox"/>	
A. Publications:	Publication date
1 see Annex 2	
Particular relevance (page, column, line, fig.):	
2	
Particular relevance (page, column, line, fig.):	
3	
Particular relevance (page, column, line, fig.):	
4	
Particular relevance (page, column, line, fig.):	
5	
Particular relevance (page, column, line, fig.):	
6	
Particular relevance (page, column, line, fig.):	
7	
Particular relevance (page, column, line, fig.):	
Continued on additional sheet	<input checked="" type="checkbox"/>
B. Other evidence	
Continued on additional sheet	<input type="checkbox"/>

<p><b>X. Payment of the opposition fee is made</b></p> <p><input checked="" type="checkbox"/> as indicated in the enclosed voucher for payment of fees and costs (EPO Form 10:0)</p> <p><input type="checkbox"/></p>	<p>for EPO use only</p>																								
<p><b>XI. List of documents</b></p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; font-size: small;">Enclosure No.</th> <th style="text-align: left; font-size: small;">No. of copies</th> </tr> </thead> <tbody> <tr> <td>0 <input checked="" type="checkbox"/> Form for notice of opposition</td> <td style="text-align: center;"><input type="text" value="2"/> (min. 2)</td> </tr> <tr> <td>1 <input checked="" type="checkbox"/> Facts and arguments (see VII.)</td> <td style="text-align: center;"><input type="text" value="2"/> (min. 2)</td> </tr> <tr> <td>2 Copies of documents presented as evidence (see IX.)</td> <td></td> </tr> <tr> <td>2a <input checked="" type="checkbox"/> – Publications</td> <td style="text-align: center;"><input type="text" value="2"/> (min. 2 of each)</td> </tr> <tr> <td>2b <input type="checkbox"/> – Other documents</td> <td style="text-align: center;"><input type="text"/> (min. 2 of each)</td> </tr> <tr> <td>3 <input type="checkbox"/> Signed authorisation(s) (see IV.)</td> <td style="text-align: center;"><input type="text"/></td> </tr> <tr> <td>4 <input checked="" type="checkbox"/> Voucher for payment of fees and costs (see X.)</td> <td style="text-align: center;"><input type="text" value="1"/></td> </tr> <tr> <td>5 <input type="checkbox"/> Cheque</td> <td style="text-align: center;"><input type="text"/></td> </tr> <tr> <td>6 <input type="checkbox"/> Additional sheet(s)</td> <td style="text-align: center;"><input type="text"/> (min. 2 of each)</td> </tr> <tr> <td>7 <input checked="" type="checkbox"/> Other (please specify here): Receipt for documents</td> <td style="text-align: center;"><input type="text" value="1"/></td> </tr> <tr> <td style="padding-left: 40px;">Annex 2: List of Prior Art Documents</td> <td style="text-align: center;"><input type="text" value="2"/></td> </tr> </tbody> </table>	Enclosure No.	No. of copies	0 <input checked="" type="checkbox"/> Form for notice of opposition	<input type="text" value="2"/> (min. 2)	1 <input checked="" type="checkbox"/> Facts and arguments (see VII.)	<input type="text" value="2"/> (min. 2)	2 Copies of documents presented as evidence (see IX.)		2a <input checked="" type="checkbox"/> – Publications	<input type="text" value="2"/> (min. 2 of each)	2b <input type="checkbox"/> – Other documents	<input type="text"/> (min. 2 of each)	3 <input type="checkbox"/> Signed authorisation(s) (see IV.)	<input type="text"/>	4 <input checked="" type="checkbox"/> Voucher for payment of fees and costs (see X.)	<input type="text" value="1"/>	5 <input type="checkbox"/> Cheque	<input type="text"/>	6 <input type="checkbox"/> Additional sheet(s)	<input type="text"/> (min. 2 of each)	7 <input checked="" type="checkbox"/> Other (please specify here): Receipt for documents	<input type="text" value="1"/>	Annex 2: List of Prior Art Documents	<input type="text" value="2"/>	
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<p><b>XII. Signature of opponent or representative</b></p> <p style="text-align: right;">GRÜNECKER, KINKELDEY, STOCKMAIR &amp; SCHWANHÄUSSER ANWALTSSOZIENTÄT</p> <p>Place: Munich</p> <p>Date: 12 January 2006</p> <div style="text-align: right; margin-top: 10px;">               Dr. Thomas Eickelkamp         </div>																									
<p>Please type name under signature. In the case of legal persons, the position which the person signing holds with in the company should also be typed.</p>																									

# GRÜNECKER KINKELDEY STOCKMAIR & SCHWANHÄUSSER

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(-1998)

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DATUM / DATE  
January 12, 2006

Application No.: 01 920 183.9  
Patent No. EP 1 319 211 B1  
Patent Proprietor: TRADING TECHNOLOGIES INTERNATIONAL, INC.  
Opponent: DEUTSCHE BÖRSE AG

## ANNEX 1

### VII. FACTS AND ARGUMENTS

1. Subject Matter of the Patent
2. Inadmissible Extensions
3. Lack of Enablement
4. Lack of Patentability
  - 4.1 Independent Claims
    - 4.1.1 Lack of Novelty over E1
    - 4.1.2 Lack of Novelty over E2 and E3
    - 4.1.3 Lack of Inventive Step over E4 or E5
    - 4.1.4 Lack of Inventive Step over E4 or E5 in view of E6 to E9
  - 4.2. Dependent Claims
5. Conclusion

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