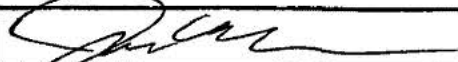


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Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	90/008,576
		Filing Date	05-25-2007
		First Named Inventor	6,772,132
		Art Unit	3993
		Examiner Name	Jeanne Marie Clark
		Attorney Docket Number	07-1021-US-REX-1
Sheet	1/1	of	1.a.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1a1	eSpeed's Supplemental Invalidity Contentions, 5/25/2007	
	1a2	eSpeed's Disclosure of Invalidity Contentions Pursuant to 35 USC 282, 5/25/2007	
	1a3	Invalidity Contentions Re: TradePad Module (Letter Dated 5/25/2007)	
	1a4	Supplemental Invalidity Contentions Pursuant to 35 U.S.C. 282, 8/10/2007	
	1a5	eSpeed's and Ecco's Answers to Plaintiff's Eighth Set of Interrogatories, 8/4/2006	
	1a6	eSpeed and Ecco's Supplemental Answers to Plaintiff's First, Third, Seventh, Eighth, and Ninth Set of Interrogatories, 5/25/2007	
<i>JM</i>	1a7	Defendant GL Trade Americas, Inc's Supplemental Responses and Objections to Interrogatory Nos. 5, 17, and 18, 7/24/2006	
	1a8	eSpeed's Objections and Answers to Plaintiff's Third Set of Interrogatories to Defendant eSpeed, 5/12/2005	

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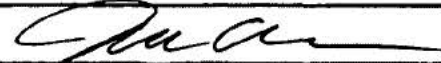
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Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	90/008,576
		Filing Date	05-25-2007
		First Named Inventor	6,772,132
		Art Unit	3993
		Examiner Name	Jeanne Marie Clark
		Attorney Docket Number	07-1021-US-REX-1
Sheet	1/16	of	11.

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		LIFFE Connect for Futures User Guide V4.50 Beta, 01/00/99, DX 384, G 025623-G 025626, DTX 384	
		User Guide V4.51 LIFFE Connect for Futures by GL Trade, 06/00/99, DX 385, G 025751-G 025806, DTX 385	
		CAC and STOXX Futures on MATIF NSC VF Launch: 3/15/99, DX 537, G 107561-G 107574, DTX 537	
		GL WIN Version 4.50, 03/03/99, DX 538, G 107459-G 107480, DTX 538	
		Trading Pad User Manual, 08/10/99, DX 539, G 112123-G 112131, DTX 539	
		Email from WATTIER to M. Cartier attaching Matif VF: V4.50 manual, 3/30/1998, DX 592, MC000046-MC000116, DTX 592	
		LIFFE Connect for Futures User Guide V4.50, 02/00/99, DX 593, G 122900-G 122938, DTX 593	
		LIFFE Connect for Futures User Guide V4.50, 02/00/99, DX 593A, G 122900 G-122938, DTX 593A	
		User Guide V4.50 LIFFE Connect for Futures by GL Trade, 03/00/99, DX 598, G 123439-G 123477, DTX 598	
		User Guide V4.60 LIFFE Connect for Futures by GL Trade, 06/00/99, DX 605, G 123548-G 123603, DTX 605	

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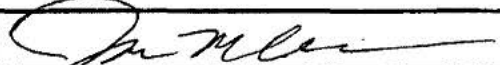
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Substitute for form 1449/PTO		Complete if Known		
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		Filing Date	05-25-2007	
		First Named Inventor	6,772,132	
		Art Unit	3993	
		Examiner Name	Jeanne Marie Clark	
Sheet 2/16	of 1	l.b.	Attorney Docket Number	07-1021-US-REX-1

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JM		Matif VF: V4.50 Manual, 3/30/1999, DX 612, MC 000047-MC 000116, DTX 612	
JM		CAC and STOXX Futures on MATIFF NSC VF- GL Win User Info Note, 3/15/1999, DX 626, CME-E11252-11265, DTX 626	
		"A System and Method for Conducting Security Transactions Over a Computer Network", MAURO & BUIST, 03/01/99, DX 196, eS 066150-eS 066229, DTX 196	
		RCG's Presentation re WitCapital, 4/22/2004, DX 208, RCG 000635-RCG 000663, DTX 208	
		MAURO, Certified Patent Application No. 09/292,552, 4/15/1999, DX 209, eS 065994-eS 066149,	
		Various declarations Re: Patent Application No. 09/292,552, 11/3/2003, DX 284, TT 099877-TT 099907, DTX 284	
		EVENSTREET Presentation prepared for National Discount Brokers, 00/00/1999, DX 301, CM 006787-CM 006817, DTX 301	
		WIT DSM user interface instructions, 08/06/98, DX 427, CM 006591-CM 006632, DTX 427	
		Presentation re WIT DSM user interface Trade4.ppt, 10/12/98, DX 430, CM 008265-CM 008330, DTX 430	
		WIT DSM Presentation re Information display and decision variables, 12/20/98, DX 431, CM 004334-CM 004347, DTX 431	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	90/008,576
		Filing Date	05-25-2007
		First Named Inventor	6,772,132
		Art Unit	3993
		Examiner Name	Jeanne Marie Clark
		Attorney Docket Number	07-1021-US-REX-1
Sheet	3/16	of	1.b.

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		EVENSTREET Presentation prepared for Flatiron Partners, 00/00/1999, DX 437, CM 007139-CM 007172, DTX 437	
		WIT Capital digital trading facility presentation to Goldman Sachs, DX 438, CM 004523-CM 004547, DTX 438	
		WIT Capital Digital trading facility presentation to PaineWebber, Inc., DX 439, DTX 439	
		WIT Capital after hours trading system, MAURO, 3/19/1999, DX 440, CM 009028-CM 009059, DTX 440	
		WIT Capital Corporation digital trading facility presentation, 03/00/99, DX 441, DTX 441	
		WIT Capital pdf operator manual for Digital trading facility, 00/00/1999, DX 442, CM 006510-CM 006513, DTX 442	
		Overview re Digital trading facility, DX 443, CM 006315-CM 006344, DTX 443	
<i>JM</i>		Utility Patent Application Transmittal Re: Computer Trading System, Method and Interface, 4/15/1999, MAURO, KLEIA, and BUIST, PX368	
		Photocopy of Disks containing exhibits A and B to declaration of W. Buist, PX366	
		SISS Functional specifications version 2.1, 02/16/88, DX 445, DTX 445	

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Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	90/008,576
		Filing Date	05-25-2007
		First Named Inventor	6,772,132
		Art Unit	3993
		Examiner Name	Jeanne Marie Clark
		Attorney Docket Number	07-1021-US-REX-1
Sheet	4/16	of	1.b.

NON PATENT LITERATURE DOCUMENTS			
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		Overview re SPATS; the Electronic Broker, DX 446, DTX 446	
		Status review specialist support system study NYSE, 04/10/86, DX 447, DTX 447	
<i>JM</i>		Declaration of W. BUIST re: WIT DSM System, 04/26/06, PX 365, DTX 1777	
		INTEX Trading Screen shots, 01/01/2001, DX 10, eS-0003542-eS 0003543, DTX 10	
		Sample screens of APT system, DX 150, DTX 150	
		Photo of trader w/ APT screen, DX 151, LIFFE 00167-LIFFE 00168, DTX 151	
		APT User Guide, 01/00/94, DX 152, LIFFE 000262-LIFFE 000363, DTX 152	
		LIFFE guide/pamphlet, DX 148, DTX 148	
		Release Notes - Market Trader V5.2a, 03/18/99, DX 617, G 118137-G 118152, DTX 617	
<i>JM</i>		Market Trader - Nikkel 225 & Nikkel 300 Index options and Index futures trading users guide, 03/20/98, DX 618, G100444-G100462, DTX 618	

dupl.

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