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IBG LLC; INTERACTIVE BROKERS LLC; TRADESTATION GROUP INC.;
TRADESTATION SECURITIES, INC.; TRADESTATION TECHNOLOGIES,
INC.; and IBFX, INC.;
Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.,
Patent Owner

_____

Case CBM2015-00182
Patent 6,772,132

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PETITIONERS' UPDATED EXHIBIT LIST

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PETITIONERS' UPDATED EXHIBIT LIST

Exh. No.	Description
1001	U.S. Patent No. 6,772,132 to Kemp, II *et al.* ("'132 patent")
1002	File History of Application Ser. No. 09/590,692, which became the '132 patent, as filed and obtained from PAIR ("'132 Patent File History")
1003	Petition to Make Special Under 37 C.F.R. § 1.102(d) for Ser. No. 09/590,692, filed August 21, 2000 ("Petition to Make Special")
1004	Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, filed September 22, 2010 ("Reexam Request")
1005	Order Denying Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, mailed December 14, 2010 ("Order Denying Reexam Req.")
1006	Expert Declaration of David Rho ("Rho Decl.")
1007	Expert Declaration of Kendyl A. Román ("Román Decl.")
1008	Redacted Second Corrected Expert Report of Christopher Thomas, *Trading Technologies International, Inc. v. CQG, Inc.*, Case No. 1:05-CV-04811 (N.D. Ill. Dec. 12, 2013) ("Thomas Report")
1009	Transcript of the Deposition of Christopher Thomas, April 28, 2015 ("Thomas Tr.")
1010	U.S. Patent No. 5,077,665 to Silverman *et al.* ("Silverman")
1011	U.S. Patent No. 5,297,031 to Gutterman *et al.* ("Gutterman")
1012	WO 90/11571 to Belden *et al.* ("Belden")
1013	CA Publication No. CA 2,305,736 to May ("May")
1014	U.S. Patent No. 5,263,134 to Paal *et al.* ("Paal")
1015	U.S. Patent No. 5,960,411 to Hartman *et al.* ("Hartman")
1016	"Futures/Option Purchasing System Trading Terminal Operation Guidelines," Tokyo Stock Exchange ("TSE JP")
1017	Certified Translation of "System for Buying and Selling Futures and Options Transaction Terminal Operational Guidelines" ("TSE")
1018	Certificate of Translation for "System for Buying and Selling

Exh. No.	Description
	Futures and Options Transaction Terminal Operational Guidelines" ("TSE Certificate")
1019	Deposition Transcript of Atsushi Kawashima, *Trading Technologies International, Inc., v. eSPEED, Inc.*, Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 ("Depo. Transcript")
1020	David M. Weiss, "After the Trade is Made," 1993 ("Weiss")
1021	Robert Deel, "The Strategic Electronic Day Trader," 2000 ("Deel")
1022	Alan Cooper, "About Face: The Essentials of User Interface Design," First Edition, 1995. ("Cooper")
1023	Ben Shneiderman, "Designing the User Interface: Strategies for Effective Human-Computer Interaction," Third Edition, 1998 ("Shneiderman")
1024	Microsoft Computer Dictionary, Fifth Edition, 2002, pages 150 ("Microsoft Computer Dictionary")
1025	Curriculum Vitae of Kendyl A. Román ("Román CV")
1026	List of Materials Considered by Kendyl A. Román ("Román List of Materials")
1027	Curriculum Vitae of David Rho ("Rho CV")
1028	List of Materials Considered by David Rho ("Rho List of Materials")
1029	INTENTIONALLY LEFT BLANK
1030	U.S. Patent No. 6,408,282 to Buist ("Buist")
1031	*TD Ameritrade Holding Corp. v. Trading Techs. Int'l, Inc.*, CBM2014-00135, Paper 17 ("POPR")
1032	*TD Ameritrade Holding Corp. v. Trading Techs. Int'l, Inc.*, CBM2014-00135, Paper 19 ("Ins. Dec.")
1033	*TD Ameritrade Holding Corp. v. Trading Techs. Int'l, Inc.*, CBM2014-00135, Paper 29 ("Reh'g Dec.")
1034	*TD Ameritrade Holding Corp. v. Trading Techs. Int'l, Inc.*, CBM2014-00135, Paper 32 ("POR")

Exh. No.	Description
1035	*Trading Techs. Int'l. v. CQG*, No. 05-cv-4811, Slip. Op. (N.D. Ill. Feb. 24, 2015) ("TT v. CQG Slip. Op.")
1036	Inside Macintosh, Promotional Edition, Apple Computer, Inc., 1985 ("Inside Macintosh")
1037	Valerie Illingworth, and I. C. Pyle, Dictionary of Computing, 4th Ed, Oxford University Press, 1996 ("Oxford Dictionary")
1038	Declaration of Adam Kessel in Support of Petitioner's Motion for *Pro Hac Vice* Admission
1039	Email Correspondence of April 5, 2016
1040	Email Correspondence of April 12-13, 2016
1041	Teleconference in CBM2015-00179 of March 23, 2016
1042	Transcript of Teleconference, May 2, 2016
1043	Transcript of Teleconference, June 6, 2016
1044	*Intentionally left blank*
1045	*Intentionally left blank*
1046	New York Times, "Futures/Options; Automation in Trading," December 10, 1984
1047	Intex Trading Screen
1048	Commodity Futures Trading Commission, Strategic Plan (2007-2012
1049	CBM2015-00181 ('411 patent) Patent Owner Exhibit List
1050	CBM2015-00161 ('304 patent) Patent Owner Exhibit List
1051	Deposition Transcript of Dan R. Olsen, Jr.
1052	CONFIDENTIAL Deposition Transcript of Christopher H. Thomas
1053	Declaration of Robert E. Sokohl, March 23, 2016.
1054	Exhibit 1 from the Deposition of Atushi Kawashima, June 17, 2016.
1055	Exhibit 2 from the Deposition of Atushi Kawashima, June 17, 2016.
1056	Exhibit 3 from the Deposition of Atushi Kawashima, June 17, 2016.
1057	Exhibit 4 from the Deposition of Atushi Kawashima, June 17, 2016.

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Date: October 7, 2016   /Richard M. Bemben/

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