

Paper No. _____
Filed: September 16, 2016

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC; INTERACTIVE BROKERS LLC;
TRADESTATION GROUP, INC.; TRADESTATION SECURITIES, INC.;
TRADESTATION TECHNOLOGIES, INC.;
and IBFX, INC.

Petitioner

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case CBM2015-00182
U.S. Patent 6,772,132

**Patent Owner's Objections to
Evidence Pursuant to 37 C.F.R. § 42.64**

Pursuant to 37 C.F.R. § 42.64(b)(1), Patent Owner objects to the following

Petitioner Exhibits:

1046 - New York Times, “Futures/Options; Automation in Trading,”

December 10, 1984;

1047 - Intex Trading Screen; and

1048 - Commodity Futures Trading Commission, Strategic Plan (2007-2012).

I. OBJECTION TO PETITIONER EXHIBITS 1046-1048

Patent Owner objects to Exhibits 1046-1048 to the extent that Petitioner relies on their contents for the truth of the matters asserted therein. Exhibits 1046-1048 are inadmissible hearsay under **FRE 801 and 802**, and no exception applies. Petitioner has also submitted no evidence to authenticate Exhibit 1046-1048, making them inadmissible under **FRE 901**. Exhibits 1046-1048 are also inadmissible under **FRE 401 and 402** because they lack a tendency to make any fact at issue in this proceeding more or less probable.

Respectfully submitted,

Dated: September 16, 2016

By: /Rachel L. Emsley/
Rachel L. Emsley, Backup Counsel
Registration No. 63,558

CERTIFICATE OF SERVICE

The undersigned hereby certifies that a copy of the foregoing **Patent Owner's Objections to Evidence Pursuant to 37 C.F.R. § 42.64** were served on September 16, 2016, via email directed to counsel of record for the Petitioner at the following:

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Dated: September 16, 2016

/Valencia Daniel/

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Litigation Legal Assistant

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