

**LIST OF MATERIALS CONSIDERED BY DAVID RHO FOR
DECLARATION IN SUPPORT OF PETITION FOR
COVERED BUSINESS METHOD REVIEW OF U.S. PATENT 6,772,132**

1. U.S. Patent No. 6,772,132 to Kemp, II *et al.* (“’132 patent”)
2. File History of Application Ser. No. 09/590,692, which became the ’132 patent, as filed and obtained from PAIR (“’132 Patent File History”)
3. Petition to Make Special Under 37 C.F.R. § 1.102(d) for Ser. No. 09/590,692, filed August 21, 2000
4. Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, filed September 22, 2010
5. Order Denying Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, mailed December 14, 2010
6. U.S. Patent No. 5,077,665 to Silverman *et al.* (“Silverman”)
7. U.S. Patent No. 5,297,031 to Gutterman *et al.* (“Gutterman”)
8. WO 90/11571 to Belden *et al.* (“Belden”)
9. CA Publication No. CA 2,305,736 to May (“May”)
10. U.S. Patent No. 5,263,134 to Paal *et al.* (“Paal”)
11. “Futures/Option Purchasing System Trading Terminal Operation Guidelines,” Tokyo Stock Exchange (“TSE JP”)
12. Certified Translation of “System for Buying and Selling Futures and Options Transaction Terminal Operational Guidelines” (“TSE”)
13. Certificate of Translation for “System for Buying and Selling Futures and

Options Transaction Terminal Operational Guidelines” (“TSE Certificate”)

14. Deposition Transcript of Atsushi Kawashima, *Trading Technologies International, Inc., v. eSPEED, Inc.*, Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 (“Depo. Transcript”)
15. Robert Deel, “The Strategic Electronic Day Trader,” 2000 (“Deel”)
16. Alan Cooper, “About Face: The Essentials of User Interface Design,” First Edition, 1995. (“Cooper”)
17. Ben Shneiderman, “Designing the User Interface: Strategies for Effective Human-Computer Interaction,” Third Edition, 1998 (“Shneiderman”)
18. Microsoft Computer Dictionary, Fifth Edition, 2002, pages 150 (“Microsoft Computer Dictionary”)
19. U.S. Patent No. 6,408,282 to Buist (“Buist”)
20. *TD Ameritrade Holding Corp. v. Trading Techs. Int’l, Inc.*, CBM2014-00135, Paper No. 17 (“POPR”)
21. *TD Ameritrade Holding Corp. v. Trading Techs. Int’l, Inc.*, CBM2014-00135, Paper No. 19 (“Ins. Dec.”)