LIST OF MATERIALS CONSIDERED BY KENDYL A. ROMÁN FOR DECLARATION IN SUPPORT OF PETITION FOR COVERED BUSINESS METHOD REVIEW OF U.S. PATENT 6,772,132

- 1. U.S. Patent No. 6,772,132 to Kemp, II *et al.* ("'132 patent")
- 2. File History of Application Ser. No. 09/590,692, which became the '132 patent, as filed and obtained from PAIR ("'132 Patent File History")
- 3. Petition to Make Special Under 37 C.F.R. § 1.102(d) for Ser. No. 09/590,692, filed August 21, 2000
- 4. Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, filed September 22, 2010
- 5. Order Denying Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, mailed December 14, 2010
- 6. U.S. Patent No. 5,077,665 to Silverman *et al.* ("Silverman")
- 7. U.S. Patent No. 5,297,031 to Gutterman et al. ("Gutterman")
- 8. WO 90/11571 to Belden *et al.* ("Belden")
- 9. CA Publication No. CA 2,305,736 to May ("May")
- 10. U.S. Patent No. 5,263,134 to Paal et al. ("Paal")
- 11. U.S. Patent No. 5,960,411 to Hartman et al. ("Hartman")
- 12. "Futures/Option Purchasing System Trading Terminal Operation Guidelines," Tokyo Stock Exchange ("TSE JP")
- 13. Certified Translation of "System for Buying and Selling Futures and Options Transaction Terminal Operational Guidelines" ("TSE")



- 14. Certificate of Translation for "System for Buying and Selling Futures and Options Transaction Terminal Operational Guidelines" ("TSE Certificate")
- 15. Deposition Transcript of Atsushi Kawashima, *Trading Technologies International, Inc., v. eSPEED, Inc.*, Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 ("Depo. Transcript")
- 16. David M. Weiss, "After the Trade is Made," 1993 ("Weiss")
- 17. Robert Deel, "The Strategic Electronic Day Trader," 2000 ("Deel")
- 18. Alan Cooper, "About Face: The Essentials of User Interface Design," First Edition, 1995. ("Cooper")
- 19. Ben Shneiderman, "Designing the User Interface: Strategies for Effective Human-Computer Interaction," Third Edition, 1998 ("Shneiderman")
- 20. Microsoft Computer Dictionary, Fifth Edition, 2002, pages 150 ("Microsoft Computer Dictionary")
- 21. U.S. Patent No. 6,408,282 to Buist ("Buist")
- 22. TD Ameritrade Holding Corp. v. Trading Techs. Int'l, Inc., CBM2014-00135, Paper No. 17 ("POPR")
- 23. TD Ameritrade Holding Corp. v. Trading Techs. Int'l, Inc., CBM2014-00135, Paper No. 19 ("Ins. Dec.")
- 24. TD Ameritrade Holding Corp. v. Trading Techs. Int'l, Inc., CBM2014-00135, Paper No. 32 ("POR")
- 25. *Trading Techs. Int'l. v. CQG*, No. 05-cv-4811, Slip Op. (N.D. Ill. Feb. 24, 2015)



- 26. Inside Macintosh, Promotional Edition, Apple Computer, Inc., 1985 ("Inside Macintosh")
- 27. Valerie Illingworth, and I. C. Pyle, Dictionary of Computing, 4th Ed, Oxford University Press, 1996 ("Oxford Dictionary")