

UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD

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TD AMERITRADE HOLDING CORP.,)
TD AMERITRADE, INC., AND) CBM2014-00131
TD AMERITRADE ONLINE) (Patent 7,533,056)
HOLDINGS CORP.,)
) CBM2014-00133
Petitioner,) (Patent 7,676,411)
v.)
) CBM2014-00135
TRADING TECHNOLOGIES) (Patent 6,772,132)
INTERNATIONAL, INC.,)
) CBM2014-00137
Patent Owner.) (Patent 7,685,055)
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Tuesday, April 28, 2015

Videotaped Deposition of CHRISTOPHER THOMAS,
taken by Petitioner at the offices of Finnegan
Henderson, 901 New York Avenue, Northwest,
Washington, D.C. before Randi J. Garcia,
Registered Professional Reporter, and Notary
Public in and for the District of Columbia,
beginning at approximately 9:31 a.m., when were
present on behalf of the respective parties:

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1726 M Street NW, Suite 1010
Washington, DC 20036
(202) 232-0646

1 A P P E A R A N C E S:

2

3 FOR THE PETITIONER.

4 Sterne, Kessler, Goldstein & Fox

5 1100 New York Ave. NW

6 Suite 600

7 Washington, DC 20005

8 By: Robert E. Sokohl, Esq.

9 and Jonathan Strang, Esq.

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11

12

13 FOR THE PATENT OWNER:

14 Finnegan, Henderson, Farabow,

15 Garrett & Dunner LLP

16 Two Seaport Lane

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18 By: Rachel Emsley, Esq

19 rachel.emsley@finnegan.com

20

21 and

22

1 A P P E A R A N C E S(cont.):

2

3 Finnegan, Henderson, Farabow,

4 Garrett & Dunner LLP

5 11955 Freedom Drive

6 Reston, VA 20190-5675

7 By: Erika H. Arner, Esq.

8 and

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10 300 S Wacker Dr #3100, Chicago, IL 60606

11 By: Michael D. Gannon, Esq.

12 and

13 Steven F. Borsand, Trading Technologies

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I N D E X

Witness: CHRISTOPHER THOMAS

EXAMINATION	PAGE
By Mr. Sokohl	5

(No exhibits marked)

1 Thereupon,

2 CHRISTOPHER THOMAS

3 after having been first duly sworn, was

4 examined and testified as follows:

5 EXAMINATION

6 BY MR. SOKOHL:

7 Q Good morning.

8 A Morning.

9 Q Would you please state your name for the
10 record.

11 A Christopher E. Thomas.

12 Q And where do you currently live?

13 A I live at 1919 West Greenleaf Avenue,
14 Chicago, Illinois.

15 Q Have you been deposed before?

16 A Yes.

17 Q How many times?

18 A Maybe five or six.

19 Q When was the last time you were deposed?

20 A In either January or February this year.

21 Q Do you remember -- why don't we go
22 through the five or six times you said you were

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