

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC; INTERACTIVE BROKERS LLC;
TRADESTATION GROUP, INC.; TRADESTATION SECURITIES, INC.;
TRADESTATION TECHNOLOGIES, INC.; and IBFX, INC.;
Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.
Patent Owner

Case CBM2015-00182
Patent No. 6,772,132

**PETITIONERS' OBJECTIONS TO PATENT OWNER'S
EVIDENCE PURSUANT TO 37 C.F.R. § 42.64(b)(1)**

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IBG LLC, Interactive Brokers LLC, TradeStation Group, Inc., TradeStation Securities, Inc., TradeStation Technologies, Inc., and IBFX, Inc. (collectively, "Petitioners") object under 37 C.F.R. § 42.64 to the admissibility of the following evidence Trading Technologies International, Inc. ("TT" or "Patent Owner") filed and served on Monday, June 27, 2016:

TT Exhibit No.	Description
2121	U.S. Patent Classification System – Classification Definitions – Class 705
2124	Class 345, Computer Graphics Processing and Selective Visual Display Systems, (January 2011): 1-8
2125	July 2015 Update Appendix 1: Examples, USPTO Examination Guidelines
2126	Senate Congressional Record, S5402-S5443 (Sept. 8, 2011)
2133	McGraw Hill "Dictionary of Scientific and Technical Terms," Fifth Edition (1994): Definition of "Recording"
2159	TSE-filed opposition document to Japanese Patent Application No. 2001-564025 (TSE0000000982-995)

TT Exhibit No.	Description
2160	Certified Translation of TSE-filed opposition document to Japanese Patent Application No. 2001-564025 (TSE0000000982-995)
2161	Certification of Translation of TSE-filed opposition document to Japanese Patent Application No. 2001-564025 (TSE0000000982-995)
2162	JP Patent Application 2001-564025
2163	Deposition Transcript of Atushi Kawashima, dated June 17, 2016
2164	Deposition Transcript of David Rho, dated April 26, 2016
2165	Deposition Transcript of Kendyl A. Roman, dated May 3, 2016 (Thomas Ex. J)
2166	Deposition Transcript of Kendyl A. Roman, dated May 5, 2016 (Thomas Ex. YYYY)
2168	Declaration of Eric Gould-Bear
2169	CONFIDENTIAL Declaration of Christopher Thomas
2170	Declaration of Michael Burns (Thomas Ex. W)
2171	Declaration of Timothy Geannopulos (Thomas Ex. U)

TT Exhibit No.	Description
2172	CONFIDENTIAL Declaration of Jay Knobloch (Thomas Ex. KK)
2173	Declaration of Robbie McDonnell (Thomas Ex. V)
2174	Declaration of Dan Olsen
2175	Exhibit TSE1 from Kawashima 2005 Deposition (TSE0000000996-1016)
2176	Certified Translation of Exhibit TSE1 from Kawashima 2005 Deposition (TSE0000000996-1016)
2177	Certification of Translation of Exhibit TSE1 from Kawashima 2005 Deposition (TSE0000000996-1016)
2178	Declaration of Harold Abilock
2179	E-mail from J. Goldberg to Board (May 10, 2016)
2180	Attachment E to Letter to Director Lee: Trading Tech Int'l v CQG, Inc. and CQGT, LLC, Case No. 05-cv-4811 (N.D.Ill.), Dkt. 1073, Memorandum Opinion and Order denying Motion for Summary Judgment (35 U.S.C. § 101)
2201	Thomas Ex. A - Christopher Thomas CV

TT Exhibit No.	Description
2202	Thomas Ex. B - Reexam Certificate for U.S. Patent No. 6,772,132
2203	Thomas Ex. C - Reexam Certificate for U.S. Patent No. 6,766,304
2204	Thomas Ex. D - Microsoft DNA Case Study
2205	Thomas Ex. E - TT0103979-995
2206	Thomas Ex. F - Excerpts from Interactive Brokers Group, Inc. Form 10-K Statement IBG_00000412-421
2207	Thomas Ex. G - Excerpts from TradeStation Group, Inc. Form 10-K Statement TS0005177-88
2208	Thomas Ex. H - Trading Techs. Int'l. Inc., v. eSpeed, Inc., Case No. 04-cv-5312, Expert Report of David Silverman
2209	Thomas Ex. I - Globex User Guide June 1995 (get excerpt - cover and p. 61)
2210	Thomas Ex. K - Declaration of Daniel Durkin TTX00020829- 00020830 (05/28/2004)
2211	Thomas Ex. L - Trading Techs. Int'l. Inc., v. eSpeed, Inc., Case No. 04-cv-5312, Trial Transcript - Brumfield Trial Testimony

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