Page 1

IN THE UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF ILLINOIS EASTERN DIVISION

TRADING TECHNOLOGIES

INTERNATIONAL, INC.,

Plaintiff,

vs.

No. 05-cv-4811

CQG, INC. and CQGT, LLC,

Defendants.

HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY

VIDEOTAPED DEPOSITION

STEVEN VAN DUSEN

January 20, 2015

Chicago, Illinois

Reported by:

April M. Metzler

Job no: 13170

TransPerfect Legal Solutions 212-400-8845 - Depo@TransPerfect.com



HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

	Page 2
1	The videotaped deposition of STEVEN VAN DUSEN,
2	called by the Defendants for examination, taken pursuant
3	to notice and pursuant to the Federal Rules of Civil
4	Procedure for the United States District Courts
5	pertaining to the taking of depositions, taken before
6	April M. Metzler, Certified Shorthand Reporter,
7	Registered Professional Reporter, Certified Realtime
8	Reporter, and Notary Public, at 300 South Wacker Drive,
9	Suite 3100, Chicago, Illinois, commencing at 9:07 a.m.
10	on the 20th day of January, 2015.
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Page 3
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      APPEARANCES:
 2
           McDONNELL BOEHNEN HULBERT & BERGHOFF LLP
           MR. MICHAEL D. GANNON, ESQ.
 3
           300 South Wacker Drive
           Chicago, Illinois 60606
           Phone: (312) 913-0001
 4
           E-Mail: gannon@mbhb.com
 5
                On behalf of the Plaintiff;
 6
           TRADING TECHNOLOGIES INTERNATIONAL, INC.
 7
           MR. STEVEN F. BORSAND, ESQ.
           222 North Riverside Drive
 8
           Suite 1100
           Chicago, Illinois 60606
 9
           Phone: (312) 476-1000
           E-Mail: steve.borsand@tradingtechnologies.com
10
                On behalf of the Plaintiff, via LiveNote.
11
12
13
           LOEB & LOEB LLP
           MR. WILLIAM J. VOLLER III, ESQ.
14
           321 North Clark Street
15
           Suite 2300
           Chicago, Illinois 60654
16
           Phone: (312) 464-3100
           E-Mail: wvoller@loeb.com
17
                On behalf of Defendants.
18
      ALSO PRESENT:
19
           Mr. Christopher Thomas
20
           Mr. Jean-Louis Ziesch, videographer
21
22
23
24
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Page 7

- 1 So the court reporter can only take down one
- 2 person at a time. So when I'm asking a question, I
- 3 would just ask that you wait for me to answer [sic]
- 4 before you start. And then when you're providing your
- 5 answer, I will try to wait until I ask the next
- 6 question, just so that we're not talking over each
- 7 other.
- 8 Is that fair enough?
- 9 A. Understood, yeah.
- 10 Q. Okay. And then any time if you want to take a
- 11 break, that's fine. Just let me know.
- 12 A. Okay.
- 13 Q. The only thing I would ask is if there's a
- 14 question pending, I would like an answer to that prior
- 15 to the break. Is that fair?
- 16 A. Absolutely.
- 17 Q. Okay. Is there anything that would prevent
- 18 you from testifying truthfully and accurately today?
- 19 A. No.
- Q. Who were you retained by in this case?
- A. Loeb and Loeb.
- Q. Okay. And when was that?
- 23 A. The first discussion was last spring, and then
- 24 I think I started doing work for these guys over the



Page 8 1 summer, July or August. 2 Q. Okay. And who was it that contacted you? did that -- How did that initial contact come about? 3 Would you --4 Anne Kelly --5 Α. -- describe that? 6 7 Α. Anne Kelly's an attorney at Loeb and Loeb and he's a neighbor --8 Ο. Oh. 9 10 -- and an acquaintance. 11 Actually, I was -- I was working in New York 12 City and my family stayed behind. I was commuting, and he met my wife. And I think this is when I was in New 13 14 York and he found out I was a securities trader, and then we had talked. We had met. I had never met him. 15 We met after that and he asked me if I would be 16 17 interested. And I said, Sure, I could help out. 18 Ο. Okay. Are you guys neighbors? 19 Α. He lives down the street. In -- In --20 Ο. 2.1 Α. Yeah. 22 O. In Wilmette? 23 Α. Winnetka. O. Winnetka? 24



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