

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION

Trading Technologies  
International, Inc

Plaintiff,

v.

eSpeed, Inc., eSpeed  
Internatinal Ltd.,  
Ecco LLC, and EccoWare Ltd.

Defendants.  
\_\_\_\_\_ /

Civil Action No 04 C 5312

Judge: James B. Moran

Magistrate: Sidney I.  
Schenkier

DEPOSITION UPON ORAL EXAMINATION

of DAVID FELTES

on Wednesday, 24 January, 2007

Taken at:

Taylor Wessing,

Caremlite House,

50 Victoria Embankment,

Blackfriars, London

England, UK

Before Susan A. McIntyre, CSR, RPR, CRR, MBIVR

ELLEN GRAUER COURT REPORTING CO. LLC  
126 East 56th Street, Fifth Floor  
New York, New York  
212-750-6434  
Ref: 83136

1 A P P E A R A N C E S :

2 FOR THE PLAINTIFF:

3 MATTHEW J. SAMPSON, ESQ  
4 McDONNELL BOEHNEN HULBERT & BERGHOFF LLP  
5 300 South Wacker Drive  
6 Chicago, IL 60606-6709  
7 Tel: (312) 913 0001  
8 Fax: (312) 913 0002

9 STEVEN F. BORSAND  
10 TRADING TECHNOLOGIES  
11 222 South Riverside Plaza, Suite 1100  
12 Chicago, IL 60606  
13 Tel: (312) 476 1018  
14 Fax: (312) 476 1182

15 FOR THE DEFENDANT:

16 JAMES M. HILMERT, ESQ and RAYMOND PERKINS, ESQ  
17 WINSTON & STRAWN  
18 35 West Wacker Drive  
19 Chicago, IL 60601-9703  
20 Tel: (312) 558-6214  
21 FaxL (312) 558-5700

22 FOR CQG, INC.

23 MARK W. FISCHER, ESQ  
24 FAEGRE & BENSON LLP  
25 1900 Fifteenth Street  
Boulder, CO 80302-5414  
Tel: (303) 447 7000  
Fax: (303) 447 7800

IN ATTENDANCE:

PAT KIRK, VIDEOGRAPHER

ANGLO-AMERICAN COURT REPORTERS

1 ----- I N D E X -----

2	WITNESS	EXAMINATION BY	PAGE
3	DAVID FELTES	MR SAMPSON	5
4		MR HILMERT	59
5		MR FISCHER	165
6		MR SAMPSON	186

7 ----- EXHIBITS -----

8	EXHIBIT	FOR I.D.
9	Feltes Exhibit No. 1	17
10	Feltes Exhibit No. 2	57

11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25

1 FELTES

2 A. Yes.

3 Q. Could you start by giving us a brief  
4 rundown of your educational background; I think after  
5 secondary school.

6 A. I went to Washington University in  
7 St Louis. From graduating in '93 I have a Bachelors in  
8 Political Science with Economics minor and I have taken  
9 some IT courses at De Paul.

10 Q. The IT courses at De Paul followed your  
11 education at Washington University?

12 A. Yes.

13 Q. How many classes did you take at De Paul?

14 A. Two.

15 Q. Are you currently taking any continuing  
16 education classes?

17 A. No.

18 Q. Where do you reside?

19 A. I reside in London, in Blackheath.

20 Q. Could you give us the address for the  
21 record?

22 A. 26 Weymss, W-E-Y-M-S-S, Road, SE3.

23 Q. Are you a US citizen?

24 A. I am a US citizen and I'm also a UK  
25 citizen. I have dual nationality.

1 FELTES

2 Q. After your graduation from Washington  
3 University, can you tell me a little bit about your  
4 work history?

5 A. Sure. I worked on the family farm for a  
6 while in West Chicago, Illinois, and then in July of  
7 1994 began working for MJM Trading, which was  
8 associated with Marquette Partners. I clerked on the  
9 floor and then did system trading and programming  
10 upstairs concurrently.

11 In 1995 I began working half my time and  
12 half my salary was paid for by Marquette Partners in  
13 working with their traders and their IT staff,  
14 basically doing trader support as well as trading  
15 off-the-floor systems for them.

16 In 1996 I went full time with Marquette in  
17 Marquette Electronic Brokerage, which was a brokerage  
18 unit that they had formed. At that point I did IT as  
19 well as brokering and clearing in back office. As well  
20 as continuing doing trader support for Marquette, the  
21 main company.

22 In 1998 I began doing IT full-time for  
23 Marquette. In September of '98 I came to Europe, to  
24 their Frankfurt offices, their Paris offices and their  
25 London offices for about eight weeks.

# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

## LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

## FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

## E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.