

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC; INTERACTIVE BROKERS LLC;
TRADESTATION GROUP, INC.; TRADESTATION SECURITIES,
INC.;

TRADESTATION TECHNOLOGIES, INC.; and
IBFX. INC.

Petitioners,

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case CBM2015-00179
U. S. Patent 7,533,056

Washington, D.C.

May 3, 2016

CROSS-EXAMINATION

KENDYL A. ROMAN

Henderson Legal Services, Inc.

1 Cross-Examination of KENDYL A. ROMAN, a
2 witness herein, called for examination by counsel
3 for Patent Owner in the above-entitled matter,
4 pursuant to notice, the witness being duly sworn by
5 SUSAN L. CIMINELLI, CRR, RPR, a Notary Public in and
6 for the District of Columbia, taken at the offices
7 of Sterne Kessler Goldstein Fox, 1100 New York
8 Avenue, N.W., Washington, D.C. at commencing at 9:14
9 a.m.

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1 APPEARANCES:

2 On behalf of the Patent Owner:

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ALSO PRESENT:

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1 C O N T E N T S

2 KENDYL A. ROMAN EXAMINATION

3 By Mr. Sigmond 5

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5 Afternoon Session - Page 137

6

7 E X H I B I T S

8 EXHIBIT NO. PAGE NO.

9 Exhibit 1032 Declaration of Kendyl A. Roman

10 U.S. Patent No. 7,533,056 11

11 Exhibit 1033 (IBG) Roman CV 28

12 Exhibit 1010 (IBG) U.S. Patent 5,136,501 34

13 Exhibit 1001 (IBG) U.S. Patent 7,533,056 22

14 Exhibit 1008 (IBG) Togher reference 22

15 Exhibit 1034 (IBG) List of Materials Considered

16 for '056 patent 13

17 Exhibit 1004 (IBG) Tokyo Stock Exchange

18 Futures/Option Purchasing System

19 Trading Terminal Operation Guide 36

20 Exhibit 1014 Tab D

21 Exhibit 2103 Roman Patent 6,803,931 B1 96

22 *Exhibits retained.

08:30:13 1 P R O C E E D I N G S

09:14:18 2 Whereupon,

09:14:18 3 KENDYL A. ROMAN,

09:14:18 4 was called as a witness by counsel for Patent Owner,

09:14:18 5 and having been duly sworn, was examined and

09:14:18 6 testified as follows:

09:14:20 7 CROSS EXAMINATION

09:14:20 8 BY MR. SIGMOND:

09:14:55 9 Q. Good morning, Mr. Roman. My name is Leif

09:15:01 10 Sigmond. I represent Trading Technologies and I'm

09:15:05 11 going to be taking your deposition this morning.

09:15:07 12 Can you please state your full name and address for

09:15:10 13 the record?

09:15:10 14 A. Kendyl Alan Roman, and there is an accent

09:15:14 15 on the A. Business address or home address?

09:15:17 16 Q. Business address would be great.

09:15:19 17 A. 3277 Kifer, K-I-F-E-R, Road, Santa Clara,

09:15:24 18 California.

09:15:24 19 Q. You understand you were just sworn in

09:15:27 20 under oath this morning.

09:15:28 21 A. Yes.

09:15:28 22 Q. And is there any reason that you --

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