

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC,
INTERACTIVE BROKERS LLC,
TRADESTATION GROUP, INC.,
TRADESTATION SECURITIES, INC.,
TRADESTATION TECHNOLOGIES, INC.,
IBFX, INC.,
Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.
Patent Owner

Case CBM2015-00182
Patent No. 6,772,132

PETITIONERS' UPDATED EXHIBIT LIST

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Patent Trial and Appeal Board
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

PETITIONERS' UPDATED EXHIBIT LIST

Exh. No.	Description
1001	U.S. Patent No. 6,772,132 to Kemp, II <i>et al.</i> (“’132 patent”)
1002	File History of Application Ser. No. 09/590,692, which became the ’132 patent, as filed and obtained from PAIR (“’132 Patent File History”)
1003	Petition to Make Special Under 37 C.F.R. § 1.102(d) for Ser. No. 09/590,692, filed August 21, 2000 (“Petition to Make Special”)
1004	Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, filed September 22, 2010 (“Reexam Request”)
1005	Order Denying Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, mailed December 14, 2010 (“Order Denying Reexam Req.”)
1006	Expert Declaration of David Rho (“Rho Decl.”)
1007	Expert Declaration of Kendyl A. Román (“Román Decl.”)
1008	Redacted Second Corrected Expert Report of Christopher Thomas, <i>Trading Technologies International, Inc. v. CQG, Inc.</i> , Case No. 1:05-CV-04811 (N.D. Ill. Dec. 12, 2013) (“Thomas Report”)
1009	Transcript of the Deposition of Christopher Thomas, April 28, 2015 (“Thomas Tr.”)
1010	U.S. Patent No. 5,077,665 to Silverman <i>et al.</i> (“Silverman”)
1011	U.S. Patent No. 5,297,031 to Gutterman <i>et al.</i> (“Gutterman”)
1012	WO 90/11571 to Belden <i>et al.</i> (“Belden”)
1013	CA Publication No. CA 2,305,736 to May (“May”)
1014	U.S. Patent No. 5,263,134 to Paal <i>et al.</i> (“Paal”)
1015	U.S. Patent No. 5,960,411 to Hartman <i>et al.</i> (“Hartman”)
1016	“Futures/Option Purchasing System Trading Terminal Operation Guidelines,” Tokyo Stock Exchange (“TSE JP”)
1017	Certified Translation of “System for Buying and Selling Futures and Options Transaction Terminal Operational Guidelines” (“TSE”)
1018	Certificate of Translation for “System for Buying and Selling Fu-

Exh. No.	Description
	tures and Options Transaction Terminal Operational Guidelines” (“TSE Certificate”)
1019	Deposition Transcript of Atsushi Kawashima, <i>Trading Technologies International, Inc., v. eSPEED, Inc.</i> , Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 (“Depo. Transcript”)
1020	David M. Weiss, “After the Trade is Made,” 1993 (“Weiss”)
1021	Robert Deel, “The Strategic Electronic Day Trader,” 2000 (“Deel”)
1022	Alan Cooper, “About Face: The Essentials of User Interface Design,” First Edition, 1995. (“Cooper”)
1023	Ben Shneiderman, “Designing the User Interface: Strategies for Effective Human-Computer Interaction,” Third Edition, 1998 (“Shneiderman”)
1024	Microsoft Computer Dictionary, Fifth Edition, 2002, pages 150 (“Microsoft Computer Dictionary”)
1025	Curriculum Vitae of Kendyl A. Román (“Román CV”)
1026	List of Materials Considered by Kendyl A. Román (“Román List of Materials”)
1027	Curriculum Vitae of David Rho (“Rho CV”)
1028	List of Materials Considered by David Rho (“Rho List of Materials”)
1029	INTENTIONALLY LEFT BLANK
1030	U.S. Patent No. 6,408,282 to Buist (“Buist”)
1031	<i>TD Ameritrade Holding Corp. v. Trading Techs. Int’l, Inc.</i> , CBM2014-00135, Paper 17 (“POPR”)
1032	<i>TD Ameritrade Holding Corp. v. Trading Techs. Int’l, Inc.</i> , CBM2014-00135, Paper 19 (“Ins. Dec.”)
1033	<i>TD Ameritrade Holding Corp. v. Trading Techs. Int’l, Inc.</i> , CBM2014-00135, Paper 29 (“Reh’g Dec.”)
1034	<i>TD Ameritrade Holding Corp. v. Trading Techs. Int’l, Inc.</i> , CBM2014-00135, Paper 32 (“POR”)

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1035	<i>Trading Techs. Int'l. v. CQG</i> , No. 05-cv-4811, Slip. Op. (N.D. Ill. Feb. 24, 2015) (“TT v. CQG Slip. Op.”)
1036	Inside Macintosh, Promotional Edition, Apple Computer, Inc., 1985 (“Inside Macintosh”)
1037	Valerie Illingworth, and I. C. Pyle, Dictionary of Computing, 4th Ed, Oxford University Press, 1996 (“Oxford Dictionary”)
1038	Declaration of Adam Kessel in Support of Petitioner’s Motion for <i>Pro Hac Vice</i> Admission

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CERTIFICATION OF SERVICE

The undersigned hereby certifies that copies of the foregoing **PETITIONERS' UPDATED EXHIBIT LIST** and **EXHIBIT 1038** were served on April 15, 2016, via e-mail in their entireties on the following counsel of record for Patent Owner:

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