

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC; INTERACTIVE BROKERS LLC;
TRADESTATION GROUP, INC.; TRADESTATION SECURITIES, INC.;
TRADESTATION TECHNOLOGIES, INC.; AND IBFX, INC.
Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.
Patent Owner

Case CBM2015-00181
Patent No. 7,676,411 B2

DECLARATION OF ROBERT E. SOKOHL

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U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

I, Robert E. Sokohl, resident of Glenwood, Maryland, hereby declare as follows:

1. On information and belief, the Exhibit 1006 (the Japanese-language version of “Futures/Option Purchasing System – Trading Terminal Operation Guide) that was submitted with the Petition (Paper 7) for covered business method review of U.S. Patent No. 7,676,411 B2 (Ex. 1001) is a true and correct copy of the original document. Exhibit 1006 is included in and was obtained from the file history of U.S. Patent No. 8,185,467, which, on information and belief, is currently assigned to Patent Owner. Additionally, on information and belief, Exhibit 1006 was Defendant’s Exhibit 179 in *Trading Technologies International, Inc. v. eSpeed*, Case No. 04-cv-5312. (*Compare* Exhibit 1006 (first page marked “DX 179”; bates numbers TSE 647-981) *with* Exhibit 1010, 9:19-10:9.)

2. I hereby declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct, and that all statements made of my own knowledge are true and that all statements made on information and believe are believed to be true. I understand that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. § 1001).

Executed on March 30, 2016



Robert E. Sokohl