

**LIST OF MATERIALS CONSIDERED BY DAVID RHO FOR
DECLARATION IN SUPPORT OF PETITION FOR
COVERED BUSINESS METHOD REVIEW OF U.S. PATENT 7,676,411**

1. U.S. Patent No. 7,676,411 to Kemp, II *et al.* (“411 patent”)
2. File History of Application Ser. No. 11/585,907, which became the ’411 patent, as filed and obtained from PAIR (“411 Patent File History”)
3. U.S. Patent No. 5,077,665 to Silverman *et al.* (“Silverman”)
4. U.S. Patent No. 5,297,031 to Gutterman *et al.* (“Gutterman”)
5. U.S. Patent No. 5,375,055 to Togher *et al.* (“Togher”)
6. “Futures/Option Purchasing System Trading Terminal Operation Guide,” Tokyo Stock Exchange (“TSE JP”)
7. Certified Translation of “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE”)
8. Certificate of Translation for “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE Certificate”)
9. WO 90/11571 to Belden *et al.* (“Belden”)
10. Deposition Transcript of Atsushi Kawashima, *Trading Technologies International, Inc., v. eSPEED, Inc.*, Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 (“Kawashima Depo. Tr.”)
11. Petition to Make Special Under 37 C.F.R. § 1.102(d) for Ser. No. 09/590,692, filed August 21, 2000 (“Petition to Make Special”)
12. Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, filed September 22, 2010 (“Reexam Request”)
13. Order Denying Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, mailed December 14, 2010 (“Order Denying Reexam”)
14. Alan Cooper, “About Face: The Essentials of User Interface Design,” First

Edition, 1995. (“Cooper”)

15. Ben Shneiderman, “Designing the User Interface: Strategies for Effective Human-Computer Interaction,” Third Edition, 1998 (“Shneiderman”)
16. Microsoft Computer Dictionary, Fifth Edition, 2002, page 150 (“Microsoft Computer Dictionary”)
17. Robert Deel, “The Strategic Electronic Day Trader,” 2000 (“Deel”)
18. U.S. Patent No. 5,263,134 to Paal *et al.* (“Paal”)
19. U.S. Patent No. 6,408,282 to Buist (“Buist”)
20. *TD Ameritrade Holding Corp. v. Trading Techs. Int’l, Inc.*, CBM2014-00133, Paper 18 (“POPR”)
21. *TD Ameritrade Holding Corp. v. Trading Techs. Int’l, Inc.*, CBM2014-00133, Paper 19 (“Ins. Dec.”)