1 2	UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF ILLINOIS EASTERN DIVISION				
3					
4	TRADING TECHNOLOGIES INTERNATIONAL,) INC.,				
5 6	Plaintiff,)				
7	v.) No. 04 C 5312				
8	eSPEED, INC., eSPEED INTERNATIONAL,) LTD., ECCO LLC, and ECCOWARE, LTD.,) Chicago, Illinois				
9) September 17, 2007 Defendants.) 10:00 o'clock a.m.				
10					
11	VOLUME 4-A TRIAL TRANSCRIPT OF PROCEEDINGS				
12	BEFORE THE HONORABLE JAMES B. MORAN, and a JURY				
13 14	APPEARANCES:				
15	Trading Technologies TRADING TECHNOLOGIES International, Inc., by: INTERNATIONAL, INC., MR. STEVEN F. BORSAND				
16	222 South Riverside Drive Chicago, Illinois 60606				
17	312-476-1000 steve.borsand@				
18	tradingtechnologies.com and				
19	McDONNELL, BOEHNEN, HULBERT & BERGHOFF, LTD.				
20	MR. PAUL H. BERGHOFF MR. S. RICHARD CARDEN				
21	MR. CHRISTOPHER M. CAVAN MR. MICHAEL D. GANNON MG. JENNIJEED M. KUDGZ				
22	MS. JENNIFER M. KURCZ MR. MATTHEW J. SAMPSON MR. LEIF R. SIGMOND				
24	300 South Wacker Drive Chicago, Illinois 60606				
25	312-913-0001 berghoff@mbhb.com kurcz@mbhb.com				



1	eSpeed, Inc., eSpeed International, Inc.,	
2	Ecco LLC, Eccoware, LTD., by:	MR. RAYMOND C. PERKINS MR. IMRON T. ALY
3	-	MR. KEVIN BANASIK MS. ELIZABETH HARTFORD ERICKSON
4		MR. ANDREW M. JOHNSTONE MS. TRACEY J. ALLEN
5		MR. JAMES M. HILMERT 35 West Wacker Drive
6		Chicago, Illinois 60601 312-558-5600
7		glombardi@winston.com rperkins@winston.com
8		LAW OFFICES OF
9		GARY A. ROSEN, P.C. MR. GARY A. ROSEN
10		1831 Chestnut Street, Suite 802 Philadelphia, Pennsylvania 1910
11		215-972-0600
12	Rosenthal Collins Grou	- -
13	LLC, by:	MR. GEOFFREY A. BAKER 201 Main Street
14		Lafayette, IN 47901 765-429-4004
15 16		gabaker@dowellbaker.com
17		
18		
19		
20		
21		
22		
23	Court Reporter: N	IS. CAROLYN COX, CSR, RPR, CRR
24	C	Official Court Reporter
25	C	Chicago, Illinois 60604



		64
1		
2		
3	HARRIS BRUMFIELD, DIRECT EXAMINATION	660
4	BY MR. BERGHOFF:	
5	HARRIS BRUMFIELD, PLAINTIFF'S WITNESS, SWORN,	727
6	CROSS EXAMINATION	, 2 ,
7	BY MR. LOMBARDI:	
8	DI MR. HOMBARDI.	
9	REDIRECT EXAMINATION	824
10	BY MR. BERGHOFF	0
11	PATRICK TROY	835
12	DIRECT EXAMINATION	835
13	BY MR. CARDEN:	
14	BRIEN GREY	859
15	DIRECT EXAMINATION	859
16	BY MR. SAMPSON:	
17		
18		
19		
20		
21		
22		
23		
24		
25		



```
Technologies is going to call for its next witness Mr.
10:20:44
         1
            Harris Brumfield.
10:20:47
         2
10:21:16
         3
                (Witness sworn.)
10:21:16
         4
                        HARRIS BRUMFIELD, DIRECT EXAMINATION
10:21:16
            BY MR. BERGHOFF:
10:21:16
         6
                    Mr. Brumfield, could you please tell the jury
10:21:19
         7
                Ο.
            your full name.
10:21:21
         8
                    Harris Clayton Brumfield.
10:21:22
         9
                Α.
10:21:25
       10
                Q.
                    And what is your current position?
                    CEO of Trading Technologies.
10:21:27
        11
                Α.
       12
                Q.
                    And that's Trading Technologies, that's the
10:21:30
10:21:33
       13
            plaintiff in this lawsuit?
10:21:35
       14
                Α.
                    Yes.
                    Where do you live?
10:21:35
       15
                Q.
       16
                    In Chicago.
10:21:37
                Α.
10:21:40
       17
                Ο.
                    And with whom do you live?
                    I have a wife and three daughters.
       18
                Α.
10:21:42
                    And did you graduate from college, Mr. Brumfield?
       19
                Q.
10:21:47
       20
                    Yes, I did.
10:21:54
                Α.
        21
                    And where did you go?
10:21:55
                Q.
                    Mississippi State University.
10:21:57
        22
                Α.
       23
                Q.
                     I assume that's in Mississippi?
10:21:59
       24
                Α.
                    Yes.
10:22:04
10:22:04
        25
                Ο.
                    And what did you study at Mississippi State?
```



- 10:22:07
- 10:22:09 2
- 10:22:10 3
- 10:22:13
- 10:22:21
- 10:22:22
- 10:22:28 7
- 10:22:30 8
- 10:22:37
- 10:22:40 10
- 10:22:46 11
- 10:22:47 12
- 10:22:50 13
- 10:22:52 14
- 10:22:55 15
- 10:22:57 16
- 10:22:58 17
- 10:23:01 18
- 10:23:06 19
- 10:23:07 20
- 10:23:12 21
- 10:23:16 22
- 10:23:21 23
- 10:23:23 24
- 10:23:25 25

- A. Banking and finance.
- Q. And when did you graduate?
- A. In 1986.
- Q. And you're here in Chicago now. When did you first come to Chicago?
 - A. Late 1986, late 1986.
 - Q. And what brought you to Chicago?
- A. A couple of different friends mentioned the exchanges here, and one of them actually worked there, and one of them worked at Arthur Andersen and his wife worked for the exchange, and I knew them from school and they were older, and they thought my personality, it might be something that would interest me when I graduated. So I came and actually stayed on one of their couches for a month or so.
 - O. And when was this?
 - A. This was November 1st, 1986.
- Q. And did you get involved in any of the exchanges at that point in Chicago?
- A. Yes. My one friends that worked at the exchange, worked at the Chicago Mercantile Exchange. I ended up getting a job running for PaineWebber at the Chicago Board of Trade in the green room.
- Q. And how long did you keep that position as a runner?



DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

