

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC; INTERACTIVE BROKERS LLC;
TRADESTATION GROUP, INC.; TRADESTATION SECURITIES,
INC.;

TRADESTATION TECHNOLOGIES, INC.; and
IBFX. INC.

Petitioners,

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case CBM2015-00179
U. S. Patent 7,533,056

Washington, D.C.
May 3, 2016

CROSS-EXAMINATION

KENDYL A. ROMAN

Henderson Legal Services, Inc.

1 Cross-Examination of KENDYL A. ROMAN, a
2 witness herein, called for examination by counsel
3 for Patent Owner in the above-entitled matter,
4 pursuant to notice, the witness being duly sworn by
5 SUSAN L. CIMINELLI, CRR, RPR, a Notary Public in and
6 for the District of Columbia, taken at the offices
7 of Sterne Kessler Goldstein Fox, 1100 New York
8 Avenue, N.W., Washington, D.C. at commencing at 9:14
9 a.m.

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

1 APPEARANCES:

2 On behalf of the Patent Owner:

3 LEIF R. SIGMOND, JR., ESQUIRE
4 MICHAEL GANNON, ESQUIRE
5 McDonnell Boehnen Hulbert & Berghoff, LLP
6 300 South Wacker Drive
7 Chicago, Illinois 6066-6709
8 (312) 913-0001
9 sigmond@mbhb.com
10 gannon@mbhb.com

11 -and-

12 RACHEL L. EMSLEY, ESQUIRE
13 Finnegan, Henderson, Farabow, Garrett &
14 Dunner, LLP
15 Two Seaport Lane
16 Boston, Massachusetts 02210-2001
17 (617) 312-0852
18 rachel.emsley@finnegan.com

19 On behalf of the Petitioners:

20 RICHARD M. BEMBEN, ESQUIRE

21 ROBERT SOKOHL, ESQUIRE
22 Sterne Kessler Goldstein Fox
1100 New York Avenue, N.W.
Washington, D.C. 20005
(202) 371-2600
rbemben@skgf.com
rsokohl@skgf.com

ALSO PRESENT:

Steve Borsand

1 C O N T E N T S

2 KENDYL A. ROMAN EXAMINATION

3 By Mr. Sigmond 5

4

5 Afternoon Session - Page 137

6

7 E X H I B I T S

8 EXHIBIT NO. PAGE NO.

9	Exhibit 1032	Declaration of Kendyl A. Roman	
10		U.S. Patent No. 7,533,056	11
11	Exhibit 1033	(IBG) Roman CV	28
12	Exhibit 1010	(IBG) U.S. Patent 5,136,501	34
13	Exhibit 1001	(IBG) U.S. Patent 7,533,056	22
14	Exhibit 1008	(IBG) Togher reference	22
15	Exhibit 1034	(IBG) List of Materials Considered	
16		for '056 patent	13
17	Exhibit 1004	(IBG) Tokyo Stock Exchange	
18		Futures/Option Purchasing System	
19		Trading Terminal Operation Guide	36
20	Exhibit 1014	Tab D	
21	Exhibit 2103	Roman Patent 6,803,931 B1	96
22	*Exhibits retained.		

08:30:13 1 P R O C E E D I N G S

09:14:18 2 Whereupon,

09:14:18 3 KENDYL A. ROMAN,

09:14:18 4 was called as a witness by counsel for Patent Owner,

09:14:18 5 and having been duly sworn, was examined and

09:14:18 6 testified as follows:

09:14:20 7 CROSS EXAMINATION

09:14:20 8 BY MR. SIGMOND:

09:14:55 9 Q. Good morning, Mr. Roman. My name is Leif

09:15:01 10 Sigmond. I represent Trading Technologies and I'm

09:15:05 11 going to be taking your deposition this morning.

09:15:07 12 Can you please state your full name and address for

09:15:10 13 the record?

09:15:10 14 A. Kendyl Alan Roman, and there is an accent

09:15:14 15 on the A. Business address or home address?

09:15:17 16 Q. Business address would be great.

09:15:19 17 A. 3277 Kifer, K-I-F-E-R, Road, Santa Clara,

09:15:24 18 California.

09:15:24 19 Q. You understand you were just sworn in

09:15:27 20 under oath this morning.

09:15:28 21 A. Yes.

09:15:28 22 Q. And is there any reason that you --

Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.