

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC; INTERACTIVE BROKERS LLC; TRADESTATION
TECHNOLOGIES, INC.; TRADESTATION GROUP, INC.;
TRADESTATION SECURITIES, INC; and IBFX, INC.,

Petitioner,

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.,

Patent Owner.

Case CBM2015-00181

U.S. Patent 7,676,411

- and -

Case CBM2015-00182

U.S. Patent 6,772,132

Henderson Legal Services, Inc.

202-220-4158

www.hendersonlegalservices.com

1 DAVID RHO,
2 the witness, was called for examination by counsel
3 for the Patent Owner, pursuant to notice,
4 commencing at 9:05 a.m., at the law offices of
5 Sterne, Kessler, Goldstein & Fox, P.L.L.C.,
6 1100 New York Avenue, Northwest, Suite 600 East,
7 Washington, D.C., before Dawn A. Jaques, CSR, CLR,
8 and Notary Public in and for the District of
9 Columbia.

10
11
12
13
14
15
16
17
18
19
20
21
22

1 APPEARANCES:

2 On behalf of the Petitioner:

3 ROBERT SOKOHL, ESQ.

4 RICHARD M. BEMBEN, ESQ.

5 Sterne, Kessler, Goldstein & Fox, P.L.L.C.

6 1100 New York Avenue, N.W.

7 Suite 600

8 Washington, D.C. 20005

9 PHONE: (202) 772-8677 (Mr. Sokohl)

10 (202) 371-8549 (Mr. Bemben)

11 FAX: (202) 371-2540

12 EMAIL: rsokohl@skgf.com

13 rbemben@skgf.com

14

15 On behalf of the Patent Owner:

16 MICHAEL D. GANNON, ESQ.

17 McDonnell Boehnen Hulbert & Berghoff LLP

18 300 South Wacker Drive

19 Chicago, Illinois 60606-6709

20 PHONE: (312) 913-2139

21 FAX: (312) 913-0002

22 EMAIL: gannon@mbhb.com

1 APPEARANCES (Continued):

2 ALSO ON BEHALF OF THE PATENT OWNER:

3 KEVIN D. RODKEY, ESQ.

4 JOSHUA L. GOLDBERG, ESQ. (DC office)

5 Finnegan, Henderson, Farabow,

6 Garrett & Dunner, LLP

7 3500 Suntrust Plaza

8 303 Peachtree Street, NE

9 Atlanta, Georgia 30308-3263

10 PHONE: (404) 653-6484 (Mr. Rodkey)

11 (202) 408-6092 (Mr. Goldberg)

12 FAX: (404) 653-6444

13 EMAIL: kevin.rodkey@finnegan.com

14 joshua.goldberg@finnegan.com

15

16

17

18

19

20

21

22

Henderson Legal Services, Inc.

1 I-N-D-E-X

2 WITNESS: PAGE:

3 DAVID RHO

4 Examination by Mr. Gannon 7

5

6 *** NO NEW EXHIBITS MARKED ***

7

8 PREVIOUSLY MARKED EXHIBITS REFERRED TO

9 EXHIBIT NUMBER PAGE:

10 IBG 1001 U.S. Patent 6,772,132 193

11 IBG 1001 U.S. Patent 7,676,411 216

12 IBG 1006 Declaration of David Rho in
13 Support of Petition for Covered
14 Business Method Review of
15 U.S. Patent 6,772,132 57

16 IBG 1009 International Publication
17 No. WO 90/11571 124

18 IBG 1017 Futures/Option Purchasing System
19 Trading Terminal Operation Guide
20 Reference_065 146

21 IBG 1023 Declaration of David Rho in
22 Support of Petition for Covered
Business Method Review of
U.S. Patent 7,676,411 59

TDA 1023 Declaration of David Rho in
Support of Petition for Covered
Business Method Review of
U.S. Patent 7,676,411 59

Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.