

Paper No. _____
Filed: December 15, 2015

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC; INTERACTIVE BROKERS LLC;
TRADESTATION GROUP, INC.; TRADESTATION SECURITIES, INC.;
TRADESTATION TECHNOLOGIES, INC.;
IBFX, INC.; CQG, INC.; and CQGT, LLC

Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case CBM2015-00179
U.S. Patent 7,533,056

PATENT OWNER'S UPDATED EXHIBIT LIST

Pursuant to 37 C.F.R. § 42.63(e), Patent Owner Trading Technologies

International, Inc. hereby submits a current listing of Trading Technologies

Exhibits to counsel for Petitioners IBG, LLC, Interactive Brokers LLC,

TradeStation Group, Inc., TradeStation Securities, Inc., TradeStation

Technologies, Inc., IBFX, Inc., CQG, INC., and CQGT, LLC.

| Filed Exhibits | Description |
|-----------------------|---|
| Exhibit 2001 | Transcript of Teleconference with the Board, dated November 23, 2015 |
| Exhibit 2002 | <i>Versata Development Group, Inc. v. SAP America, Inc. and SAP AG</i> , Case No. 2014-1194 (CAFC), Docket No. 61, Brief for the Intervenor - Director of the United States Patent and Trademark Office (May 1, 2014) |
| Exhibit 2003 | Meyers, Brad A. "A Brief History of Human-Computer Interaction Technology." <i>Interactions</i> 5.2 (1998): 44-54 |
| Exhibit 2004 | National Aeronautics and Space Administration Web Page Print out, Technical Areas |
| Exhibit 2005 | National Aeronautics and Space Administration Web Page Print out, Human Computer Interaction Group |
| Exhibit 2006 | University of Washington Web Page Print out, Human-Computer Interaction Degree Option |
| Exhibit 2007 | Rochester Institute of Technology Web Page Print out, Masters in Human Computer Interaction |
| Exhibit 2008 | Rensselaer Polytechnic Institute Web Page Print out, M.S. in Human-Computer Interaction |
| Exhibit 2009 | Tufts University Web Page Print out, Human-Computer Interaction Certificate Program |
| Exhibit 2010 | Georgia Institute of Technology Web Page Print out, Human-Computer Interaction Master's Program |
| Exhibit 2011 | DePaul University Web Page Print out, Master of Science Human-Computer Interaction |
| Exhibit 2012 | Carnegie Mellon University Web Page Print out, Masters of Human-Computer Interaction |
| Exhibit 2013 | Senate Congressional Record, S936-S953 (Feb. 28, 2011) |

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| Exhibit 2014 | <i>Crossing the Finish Line on Patent Reform: What Can and Should Be Done</i> , Hearing Before the Subcommittee on Intellectual Property, Competition, and the Internet of the Committee on the Judiciary House of Representatives (Feb. 11, 2011) |
| Exhibit 2015 | Markup of H.R. 1249, The America Invents Act, House of Representatives Committee on the Judiciary (Apr. 14, 2011) |
| Exhibit 2016 | <i>Study and Report on the Implementation of the Leahy-Smith America Invents Act</i> , USPTO Report to Congress (Sept. 2015) |
| Exhibit 2017 | <i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C-715 (N.D.Ill.), Dkt. 613, Supplemental Response of Certain Defendants to TT's Emergency Motion (July 20, 2015) |
| Exhibit 2018 | <i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C-715 (N.D.Ill.), Transcript of Proceedings - Motion (September 10, 2015) |
| Exhibit 2019 | <i>TD Ameritrade Holding Corporation et al. v. Trading Technologies International, Inc.</i> , Case No. CBM2014-000131, Declaration of Kendyl A. Román (May 17, 2014) |
| Exhibit 2020 | <i>TD Ameritrade Holding Corporation et al. v. Trading Technologies International, Inc.</i> , Case No. CBM2014-000131, Declaration of Harold Abilock (March 6, 2015) |
| Exhibit 2021 | <i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C-715 (N.D.Ill.), Dkt. 176, Defendants' Case Management Statement for May 5, 2011 Status Hearing (May 30, 2011) |
| Exhibit 2022 | <i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C-715 (N.D.Ill.), Dkt. 528, Position Statement of the TD Ameritrade Defendants on the Federal Circuit's Decision in <i>OEC</i> and How this Case Should Proceed (Feb. 20, 2014) |
| Exhibit 2023 | <i>Trading Technologies International, Inc. v. BCG Partners, Inc.</i> , Case No. 1:10-CV-00715 (N.D. Ill.), Docket No. 562, Reply Memorandum in Support of the IBG Defendants' Motion to Stay Proceedings Pursuant to Section 18(b) of the America Invents Act (Jun. 18, 2014) |
| Exhibit 2024 | <i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C-715 (N.D.Ill.), Dkt. 558, TradeStation Defendants' Joinder In and Motion for Stay (June 11, 2014) |

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| Exhibit 2025 | <i>Trading Tech Int'l v BGC Partners, Inc</i> , Case No. 10-C-715 (N.D.Ill.), Dkt. 546, Memorandum in Support of the TD Ameritrade Defendants' Motion to Stay Proceedings Pursuant to Section 18(b) of the America Invents Act (May 22, 2014) |
| Exhibit 2026 | <i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C-715 (N.D.Ill.), Dkt. 609, Response of Certain Defendants to Trading Technologies' "Emergency" Motion (July 15, 2015) |
| Exhibit 2027 | <i>Trading Tech Int'l v TradeStation Securities, Inc. and TradeStation Group, Inc.</i> , Case No. 10-cv-884 (N.D.Ill.), TradeStation Securities, Inc.'s and TradeStation Group, Inc.'s Initial Invalidity Contentions Pursuant to Local Patent Rule 2.3 (October 12, 2010) |
| Exhibit 2028 | U.S. Patent No. 6,993,572 B2 |
| Exhibit 2029 | <i>Trading Technologies International, Inc. v. eSpeed, Inc., eSpeed, International, Ltd., Ecco LLC and Ecco Ware Ltd.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Volume 11-A Trial Transcript of Proceedings (September 26, 2007) |
| Exhibit 2030 | <i>Trading Technologies International, Inc. v. eSpeed, Inc., eSpeed, International, Ltd., and Ecco Ware Ltd.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Jury Verdict Form (October 10, 2007) |
| Exhibit 2031 | <i>Trading Technologies International, Inc. v. eSpeed, Inc., eSpeed, International, Ltd., and Ecco Ware Ltd.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Jury Instruction Form (October 10, 2007) |
| Exhibit 2032 | <i>Trading Techs. Int'l. Inc., v. eSpeed, Inc. et al.</i> , Case No. 04-cv-5312, Dkt. 1140, Notification of Docket Entry (January 3, 2008) |
| Exhibit 2033 | 79 Fed. Reg. 74618- 633, USPTO 2014 Interim Guidance on Patent Subject Matter Eligibility (December 16, 2014) |
| Exhibit 2034 | <i>July 2015 Update Appendix 1: Examples</i> , USPTO Examination Guidelines |
| Exhibit 2035 | <i>The American Heritage College Dictionary Fourth Edition</i> , 100 (2002): Definition of "Axis" |

Respectfully submitted,

Dated: December 15, 2015

By: /Rachel L. Emsley/
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Case CBM2015-00179

U.S. Patent 7,533,056

*Counsel for Patent Owner
Trading Technologies International, Inc.*

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