UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC; INTERACTIVE BROKERS LLC; TRADESTATION GROUP, INC.; TRADESTATION SECURITIES, INC.; TRADESTATION TECHNOLOGIES, INC.; AND IBFX, INC. Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC. Patent Owner

Case CBM2015-00179 Patent No. 7,533,056

DECLARATION OF ROBERT E. SOKOHL

Mail Stop "PATENT BOARD" Patent Trial and Appeal Board U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450



I, Robert E. Sokohl, resident of Glenwood, Maryland, hereby declare as follows:

- 1. On information and belief, the Exhibit 1003 (the Japanese-language version of "Futures/Option Purchasing System Trading Terminal Operation Guide) that was submitted with the Petition (Paper 9) for covered business method review of U.S. Patent No. 7,533,056 (Ex. 1001, "'056 patent") is a true and correct copy of the original document. Exhibit 1003 is included in and was obtained from the file history of U.S. Patent No. 8,185,467, which is in the same patent family as the '056 patent. Additionally, on information and belief, Exhibit 1003 was Defendant's Exhibit 179 in *Trading Technologies International, Inc. v. eSpeed*, Case No. 04-cv-5312. (*Compare* Exhibit 1003 (first page marked "DX 179"; bates numbers TSE 647-981) with Exhibit 1007, 9:19-10:9.)
- 2. On information and belief, the Exhibit 1036 (Petitioner's Reply, Paper 48, CBM2014-00131) that was submitted with the Petition for covered business method review of the '056 patent is a true and correct copy of the original document. Exhibit 1036 is a publically-available document and is accessible via the USPTO's Patent Review Processing System (PRPS).
- 3. I hereby declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct, and that all statements made of



my own knowledge are true and that all statements made on information and believe are believed to be true. I understand that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. § 1001).

Executed on March 23, 2016

Robert E. Sokohl

