

Paper No. ____
Filed: August 16, 2016

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC; INTERACTIVE BROKERS LLC;
TRADESTATION GROUP, INC.; TRADESTATION SECURITIES, INC.;
TRADESTATION TECHNOLOGIES, INC.; and
IBFX, INC.

Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case CBM2015-00179
U.S. Patent 7,533,056

PATENT OWNER'S UPDATED EXHIBIT LIST

Pursuant to 37 C.F.R. § 42.63(e), Patent Owner Trading Technologies

International, Inc. hereby submits a current listing of Trading Technologies Exhibits to counsel for Petitioners IBG, LLC, Interactive Brokers LLC, TradeStation Group, Inc., TradeStation Securities, Inc., TradeStation Technologies, Inc., and IBFX, Inc. Exhibits 2394 and 2404 - 2406 are reserved for later use. Exhibits 2395 - 2403 and 2407 were filed on PTAB E2E and served via email to counsel of record for the Petitioner.

Filed Exhibits	Description
Exhibit 2001	Transcript of Teleconference with the Board, dated November 23, 2015
Exhibit 2002	<i>Versata Development Group, Inc. v. SAP America, Inc. and SAP AG</i> , Case No. 2014-1194 (CAFC), Docket No. 61, Brief for the Intervenor - Director of the United States Patent and Trademark Office (May 1, 2014)
Exhibit 2003	Meyers, Brad A. "A Brief History of Human-Computer Interaction Technology." <i>Interactions</i> 5.2 (1998): 44-54
Exhibit 2004	National Aeronautics and Space Administration Web Page Print out, Technical Areas
Exhibit 2005	National Aeronautics and Space Administration Web Page Print out, Human Computer Interaction Group
Exhibit 2006	University of Washington Web Page Print out, Human-Computer Interaction Degree Option
Exhibit 2007	Rochester Institute of Technology Web Page Print out, Masters in Human Computer Interaction
Exhibit 2008	Rensselaer Polytechnic Institute Web Page Print out, M.S. in Human-Computer Interaction
Exhibit 2009	Tufts University Web Page Print out, Human-Computer Interaction Certificate Program
Exhibit 2010	Georgia Institute of Technology Web Page Print out, Human-Computer Interaction Master's Program
Exhibit 2011	DePaul University Web Page Print out, Master of Science Human-Computer Interaction

Exhibit 2012	Carnegie Mellon University Web Page Print out, Masters of Human-Computer Interaction
Exhibit 2013	Senate Congressional Record, S936-S953 (Feb. 28, 2011)
Exhibit 2014	<i>Crossing the Finish Line on Patent Reform: What Can and Should Be Done</i> , Hearing Before the Subcommittee on Intellectual Property, Competition, and the Internet of the Committee on the Judiciary House of Representatives (Feb. 11, 2011)
Exhibit 2015	Markup of H.R. 1249, The America Invents Act, House of Representatives Committee on the Judiciary (Apr. 14, 2011)
Exhibit 2016	<i>Study and Report on the Implementation of the Leahy-Smith America Invents Act</i> , USPTO Report to Congress (Sept. 2015)
Exhibit 2017	<i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C-715 (N.D.Ill.), Dkt. 613, Supplemental Response of Certain Defendants to TT's Emergency Motion (July 20, 2015)
Exhibit 2018	<i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C-715 (N.D.Ill.), Transcript of Proceedings - Motion (September 10, 2015)
Exhibit 2019	<i>TD Ameritrade Holding Corporation et al. v. Trading Technologies International, Inc.</i> , Case No. CBM2014-000131, Declaration of Kendyl A. Román (May 17, 2014)
Exhibit 2020	<i>TD Ameritrade Holding Corporation et al. v. Trading Technologies International, Inc.</i> , Case No. CBM2014-000131, Declaration of Harold Abilock (March 6, 2015)
Exhibit 2021	<i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C-715 (N.D.Ill.), Dkt. 176, Defendants' Case Management Statement for May 5, 2011 Status Hearing (May 30, 2011)
Exhibit 2022	<i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C-715 (N.D.Ill.), Dkt. 528, Position Statement of the TD Ameritrade Defendants on the Federal Circuit's Decision in <i>OEC</i> and How this Case Should Proceed (Feb. 20, 2014)
Exhibit 2023	<i>Trading Technologies International, Inc. v. BCG Partners, Inc.</i> , Case No. 1:10-CV-00715 (N.D. Ill.), Docket No. 562, Reply Memorandum in Support of the IBG Defendants' Motion to Stay Proceedings Pursuant to Section 18(b) of the America Invents Act (Jun. 18, 2014)
Exhibit 2024	<i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C-715 (N.D.Ill.), Dkt. 558, TradeStation Defendants' Joinder In and Motion for Stay (June 11, 2014)

Exhibit 2025	<i>Trading Tech Int'l v BGC Partners, Inc</i> , Case No. 10-C-715 (N.D.Ill.), Dkt. 546, Memorandum in Support of the TD Ameritrade Defendants' Motion to Stay Proceedings Pursuant to Section 18(b) of the America Invents Act (May 22, 2014)
Exhibit 2026	<i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C-715 (N.D.Ill.), Dkt. 609, Response of Certain Defendants to Trading Technologies' "Emergency" Motion (July 15, 2015)
Exhibit 2027	<i>Trading Tech Int'l v TradeStation Securities, Inc. and TradeStation Group, Inc.</i> , Case No. 10-cv-884 (N.D.Ill.), TradeStation Securities, Inc.'s and TradeStation Group, Inc.'s Initial Invalidity Contentions Pursuant to Local Patent Rule 2.3 (October 12, 2010)
Exhibit 2028	U.S. Patent No. 6,993,572 B2
Exhibit 2029	<i>Trading Technologies International, Inc. v. eSpeed, Inc., eSpeed, International, Ltd., Ecco LLC and Ecco Ware Ltd.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Volume 11-A Trial Transcript of Proceedings (September 26, 2007)
Exhibit 2030	<i>Trading Technologies International, Inc. v. eSpeed, Inc., eSpeed, International, Ltd., and Ecco Ware Ltd.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Jury Verdict Form (October 10, 2007)
Exhibit 2031	<i>Trading Technologies International, Inc. v. eSpeed, Inc., eSpeed, International, Ltd., and Ecco Ware Ltd.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Jury Instruction Form (October 10, 2007)
Exhibit 2032	<i>Trading Techs. Int'l. Inc., v. eSpeed, Inc. et al.</i> , Case No. 04-cv-5312, Dkt. 1140, Notification of Docket Entry (January 3, 2008)
Exhibit 2033	79 Fed. Reg. 74618- 633, USPTO 2014 Interim Guidance on Patent Subject Matter Eligibility (December 16, 2014)
Exhibit 2034	<i>July 2015 Update Appendix 1: Examples</i> , USPTO Examination Guidelines
Exhibit 2035	<i>The American Heritage College Dictionary Fourth Edition</i> , 100 (2002): Definition of "Axis"
Exhibit 2036	Served
Exhibit 2037	Office Action in Ex Parte Reexamination 90/013,578 (Jan. 28, 2016)
Exhibit 2038 – 2102	Reserved
Exhibit 2103	Served
Exhibit 2104 -	Reserved

Exhibit 2106	
Exhibit 2107	Transcript of Teleconference with the Board, dated May 11, 2016
Exhibit 2108 – Exhibit 2115	Reserved
Exhibit 2116	Thomas Ex. C - U.S. Patent No. 6,772,132
Exhibit 2117 – Exhibit 2120	Reserved
Exhibit 2121	U.S. Patent Classification System – Classification Definitions – Class 705
Exhibit 2122	Reserved
Exhibit 2123	Reserved
Exhibit 2124	<i>Class 345, Computer Graphics Processing and Selective Visual Display Systems</i> , (January 2011): 1-8
Exhibit 2125	<i>July 2015 Update Appendix 1: Examples</i> , USPTO Examination Guidelines
Exhibit 2126 - Exhibit 2139	Reserved
Exhibit 2140	Transcript of Teleconference with the Board, dated June 13, 2016
Exhibit 2141	Transcript of Teleconference with the Board, dated June 14, 2016
Exhibit 2142	<i>Trading Techs. Int’l v. BGC Partners, Inc.</i> , Case No. 10-C-715 (N.D. Ill.), Transcript of Proceedings (May 12, 2016)
Exhibit 2143	TS0028765
Exhibit 2144	TS0107054
Exhibit 2145	TS0024612
Exhibit 2146	TS1261405
Exhibit 2147	TS1268720
Exhibit 2148	TS1533977
Exhibit 2149	TS1525667
Exhibit 2150	Video Deposition Transcript of John Bartleman, dated June 8, 2016 in <i>Trading Technologies International, Inc. v. BGC Parnters, Inc.</i> , Case No. 1:10-cv-715 (Consolidated) (N.D. Ill.).
Exhibit 2151	Draft Video Deposition Transcript of John Bartleman, dated June 9, 2016 in <i>Trading Technologies International, Inc. v. BGC Parnters, Inc.</i> , Case No. 1:10-cv-715 (Consolidated) (N.D. Ill.).
Exhibit 2152	Listing Additional Discovery Sought: Produced Documents and Transcripts

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