In the Matter of:

IBG LLC., ET AL. vs. TRADING TECHNOLOGIES INTERNATIONAL, INC.

ATUSHI KAWASHIMA June 17, 2016



Washington, DC - Phone: 800.292.4789 - Fax: 202.861.3425

TRADING TECH EXHIBIT 2163 TRADESTATION, IBG, ET AL. v. TRADING TECH

Find authenticated court documents without watermarks at docketalarm.com.

DOCKET

ARM

Δ

```
Page 1
```

UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD IBG LLC; INTERACTIVE BROKERS) LLC; TRADESTATION GROUP,) INC.; TRADESTATION) SECURITIES, INC.;) CBM2015-00179 TRADESTATION TECHNOLOGIES,) U.S. Patent 7,533,056 INC., and IBFX, INC.; CBM2015-00181) Petitioners,) U.S. Patent 7,676,411 CBM2015-00182 vs.) U.S. Patent 6,772,132 TRADING TECHNOLOGIES INTERNATIONAL, INC.; Patent Owner. VIDEOTAPED DEPOSITION OF ATUSHI KAWASHIMA Los Angeles, California Friday, Friday 17, 2016 Job No.: WDC-089152 Reported by: Lynda L. Fenn, CSR, RPR CSR No. 12566

A L A R M Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

DOCKE.

	1	UNITED STATES PATENT AND TRADEMARK OFFICE
	2	BEFORE THE PATENT TRIAL AND APPEAL BOARD
	3	
	4	
	5	IBG LLC; INTERACTIVE BROKERS)
	6	LLC; TRADESTATION GROUP,) INC.; TRADESTATION)
	7	SECURITIES, INC.;) CBM2015-00179 TRADESTATION TECHNOLOGIES,) U.S. Patent 7,533,056
	8	INC., and IBFX, INC.;)) CBM2015-00181
	9	Petitioners,) U.S. Patent 7,676,411
		vs.) CBM2015-00182
	10) U.S. Patent 6,772,132 TRADING TECHNOLOGIES)
	11	INTERNATIONAL, INC.;))
	12	Patent Owner.)
	13	,
	14	
	15	
	16	VIDEOTAPED DEPOSITION of ATUSHI KAWASHIMA,
	17	taken on behalf of Petitioner, at 633 West
	18	Fifth Street, Suite 1550, Los Angeles,
	19	California, at 9:06 a.m. and ending at 1:19
	20	p.m., Friday, Friday 17, 2016, reported by
	21	Lynda L. Fenn, CSR No. 12566, Certified
	22	Shorthand Reporter within and for the State of
	23	California, pursuant to notice.
	24	
	25	
- L		

DOCKET A L A R M Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

```
Page 3
```

```
1
      APPEARANCES:
 2
      For the Petitioners:
 3
           STERNE KESSLER GOLDSTEIN & FOX
           BY: LORI A. GORDON, ESO.
           1100 New York Avenue, NW
 4
           Washington, DC 20005
           (202) 371-2600
 5
           lgordon@skgf.com
 б
           WILSON SONSINI GOODRICH & ROSATI
 7
           BY: NATALIE J. MORGAN, ESO.
           12235 El Camino Real, Suite 200
           San Diego, California 92130
 8
           (858) 350-2303
 9
           nmorgan@wsgr.com
10
      For the Patent Owner:
11
        For Trading Technologies International, Inc.:
           MCDONNELL BOEHNEN HULBERT & BERGHOFF, LLP
12
                LEIF R. SIGMOND, JR., ESQ.
           BY:
13
           300 South Wacker Drive
           Chicago, Illinois 60606-6709
           (312) 913-0001
14
           sigmond@mbhb.com
15
           FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, LLP
16
                KEVIN D. RODKEY, ESQ.
           BY:
           3500 Suntrust Plaza
17
           303 Peachtree Street, NE
           Atlanta, Georgia 30308-3263
18
           (404) 653-6484
           kevin.rodkey@finnegan.com
19
           SHIELDS LAW OFFICES
20
           BY:
                JEFFREY W. SHIELDS, ESQ.
           1920 Main Street, Suite 1080
21
           Irvine, California 92614
           (949) 724-7900
22
           jeff@shieldslawoffices.com
23
24
25
```

A L A R M Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

DOCKE

1	APPEARANCES (Continued):
2	Also Present:
3	Yu Kobayashi Japan Exchange Group, Inc.
4	Manager
5	Legal, General Administration Legal Affairs of Tokyo Stock Exchange, Inc.
6	Legal Affairs of Osaka Exchange, Inc.
7	Fritz Sperberg Recording Technician
8	Christopher Field
9	Japanese Interpreter
10	Yuko Kashiwagi Japanese Check Interpreter
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22 23	
24	
25	
-	

DOCKET A L A R M Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

DOCKET A L A R M



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.