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Filed:	May	12,	2016

UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD
TRADESTATION GROUP, INC., TRADESTATION SECURITIES, INC., IBG LLC, and INTERACTIVE BROKERS LLC.,
Petitioner
v.
TRADING TECHNOLOGIES INTERNATIONAL, INC.  Patent Owner
Case CBM2015-00172 <sup>1</sup> U.S. Patent 7,783,556

# PATENT OWNER'S UPDATED EXHIBIT LIST

<sup>&</sup>lt;sup>1</sup> Case CBM2016-00040 has been joined with this proceeding.



Pursuant to 37 C.F.R. § 42.63(e), Patent Owner Trading Technologies International, Inc. hereby submits a current listing of Trading Technologies Exhibits to counsel for Petitioners TradeStation Group, Inc. and TradeStation Securities, Inc. Exhibits 2029 through 2106 are reserved for later use. Exhibit 2107 was filed on the PRPS and served via email to counsel of record for the Petitioner.

Filed Exhibits	Description
Exhibit 2001	Trading Tech Int'l v CQG, Inc. and CQGT, LLC, Case No. 05-cv-4811 (N.D.Ill.), Dkt. 1073, Memorandum Opinion and Order denying Motion for Summary Judgment (35 U.S.C. § 101) (Feb. 24, 2015)
Exhibit 2002	79 Fed. Reg. 74618- 633, USPTO 2014 Interim Guidance on Patent Subject Matter Eligibility (December 16, 2014)
Exhibit 2003	July 2015 Update Appendix 1: Examples, USPTO Examination Guidelines
Exhibit 2004	Trading Tech Int'l v BGC Partners, Inc., Case No. 10-C-715 (N.D.Ill.), Dkt. 609, Response of Certain Defendants to Trading Technologies' "Emergency" Motion (July 15, 2015)
Exhibit 2005	Trading Tech Int'l v BGC Partners, Incm, Case No. 10-C-715 (N.D.Ill.), Dkt. 613, Supplemental Response of Certain Defendants to TT's Emergency Motion (July 20, 2015)
Exhibit 2006	Trading Tech Int'l v BGC Partners, Inc., Case No. 10-C-715 (N.D.III.), Dkt. 176, Defendants' Case Management Statement for May 5, 2011 Status Hearing (May 30, 2011)
Exhibit 2007	Trading Tech Int'l v BGC Partners, Inc., Case No. 10-C-715 (N.D.III.), Dkt. 528, Position Statement of the TD Ameritrade Defendants on the Federal Circuit's Decision in OEC and How this Case Should Proceed (Feb. 20, 2014)
Exhibit 2008	Transcript of Teleconference Call with the Board, dated September 10, 2015
Exhibit 2009	Trading Tech Int'l v BGC Partners, Inc, Case No. 10-C-715 (N.D.Ill.), Dkt. 546, Memorandum in Support of the TD



Ameritrade Defendants' Motion to Stay Proceedings Pursuant to Section 18(b) of the America Invents Act (May 22, 2014)  Exhibit 2010 Trading Tech Int' 1v BGC Partners, Inc., Case No. 10-C-715 (N.D.III.), Dkt. 558, TradeStation Defendants' Joinder In and Motion for Stay (June 11, 2014)  Exhibit 2011 Senate Congressional Record, S936-S953 (Feb. 28, 2011)  Exhibit 2012 Crossing the Finish Line on Patent Reform: What Can and Should Be Done, Hearing Before the Subcommittee on Intellectual Property, Competition, and the Internet of the Committee on the Judiciary House of Representatives (Feb. 11, 2011)  Exhibit 2013 Study and Report on the Implementation of the Leahy-Smith America Invents Act, USPTO Report to Congress (Sept. 2015)  Exhibit 2014 Markup of H.R. 1249, The America Invents Act, House of Representatives Committee on the Judiciary (Apr. 14, 2011)  Exhibit 2015 Versata Development Group, Inc. v. SAP America, Inc. and SAP AG, Case No. 2014-1194 (CAFC), Docket No. 61, Brief for the Intervenor - Director of the United States Patent and Trademark Office (May 1, 2014)  Exhibit 2016 Trading Technologies International, Inc. v. BCG Partners, Inc., Case No. 1:10-CV-00715 (N.D. III.), Docket No. 562, Reply Memorandum in Support of the IBG Defendants' Motion to Stay Proceedings Pursuant to Section 18(b) of the America Invents Act (Jun. 18, 2014)  Exhibit 2017 National Aeronautics and Space Administration Web Page Print out, Technical Areas  Exhibit 2018 National Aeronautics and Space Administration Web Page Print out, Technical Areas  Exhibit 2020 Rochester Institute of Technology Web Page Print out, Masters in Human Computer Interaction  Exhibit 2021 Rensselaer Polytechnic Institute Web Page Print out, M.S. in Human-Computer Interaction  Exhibit 2022 Georgia Institute of Technology Web Page Print out, Human-Computer Interaction		
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Exhibit 2022 Tufts University Web Page Print out, Human-Computer Interaction Certificate Program		•
Interaction Certificate Program	Exhibit 2022	-
	Exhibit 2023	<u> </u>



	Computer Interaction Master's Program
Exhibit 2024	DePaul University Web Page Print out, Master of Science
EXIIIOIT 2024	Human-Computer Interaction
Exhibit 2025	Carnegie Mellon University Web Page Print out, Masters of
	Human-Computer Interaction
Exhibit 2026	Meyers, Brad A. "A Brief History of Human-Computer
	Interaction Technology." Interactions 5.2 (1998): 44-54.
Exhibit 2027	Transcript of Teleconference with the Board, dated
	November 23, 2015
Exhibit 2028	Transcript of Teleconference with the Board, dated
	March 7, 2016
Exhibit 2029	Reserved
Exhibit 2030	Reserved
Exhibit 2031	Reserved
Exhibit 2032	Reserved
Exhibit 2033	Reserved
Exhibit 2034	Reserved
Exhibit 2035	Reserved
Exhibit 2036	Reserved
Exhibit 2037	Reserved
Exhibit 2038	Reserved
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Exhibit 2079	Reserved
Exhibit 2080	Reserved
Exhibit 2081	Reserved
Exhibit 2082	Reserved
Exhibit 2083	Reserved
Exhibit 2084	Reserved
Exhibit 2085	Reserved
Exhibit 2086	Reserved
Exhibit 2087	Reserved
Exhibit 2088	Reserved
Exhibit 2089	Reserved
Exhibit 2090	Reserved
Exhibit 2091	Reserved
Exhibit 2092	Reserved



# DOCKET

# Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

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With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

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Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

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Sync your system to PACER to automate legal marketing.

