

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TRADESTATION GROUP, INC. and
TRADESTATION SECURITIES, INC.,
Petitioner,

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.,
Patent Owner.

Case CBM2015-00172
Patent No. 7,783,556 B1

**PETITIONER'S OBJECTIONS TO ADMISSIBILITY OF EVIDENCE
SERVED ON NOVEMBER 21, 2015**

Pursuant to 37 C.F.R. § 42.64(b), Petitioner objects as follows to the

admissibility of the evidence served by Patent Owner on November 21, 2015:

Evidence	Objections
<i>Trading Tech Int'l v CQG, Inc. and CQGT, LLC</i> , Case No. 05-cv-4811 (N.D. Ill.), Dkt. 1073, Memorandum Opinion and Order denying Motion for Summary Judgment (35 U.S.C. § 101) (Feb. 24, 2015) (Exhibit 2001)	FRE 402 (Relevance): To the extent that the district court opinion is relied upon as a basis for determining whether the instituted claims are directed to patent eligible subject matter, the opinion is not relevant to proceedings in front of the PTAB as the decision is not binding on the PTAB, the Petitioner was not a party to the <i>CQG</i> litigation, and the PTAB applies a different standard than the district court when construing the claims.
<i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C- 715 (N.D. Ill.), Dkt. 609, Response of Certain Defendants to Trading Technologies' "Emergency" Motion (July 15, 2015) (Exhibit 2004)	FRE 402 (Relevance): To the extent that Patent Owner relies on this document to argue that Petitioner is abusing the CBM Review process, the document is irrelevant as it has no bearing on whether the patent under review is valid.
<i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C- 715 (N.D.Ill.), Dkt. 613, Supplemental Response of Certain Defendants to TT's Emergency Motion (July 20, 2015) (Exhibit 2005)	FRE 402 (Relevance): To the extent that Patent Owner relies on this document to argue that Petitioner is abusing the CBM Review process, the document is irrelevant as it has no bearing on whether the patent under review is valid.
<i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C- 715 (N.D.Ill.), Dkt. 176, Defendants' Case	FRE 402 (Relevance): To the extent that Patent Owner relies on this document to argue that Petitioner is abusing the CBM Review process, the document is irrelevant as it has no bearing on whether the patent under review is valid.

Management Statement for May 5, 2011 Status Hearing (May 30, 2011) (Exhibit 2006)	
Decision in <i>OEC</i> and How this Case Should Proceed (Feb. 20, 2014) (Exhibit 2007)	FRE 402 (Relevance): To the extent that Patent Owner relies on this document to argue that Petitioner is abusing the CBM Review process, the document is irrelevant as it has no bearing on whether the patent under review is valid.
Transcript of Teleconference Call with the Board, dated September 10, 2015 (Exhibit 2008)	FRE 402 (Relevance): To the extent that Patent Owner relies on this document to argue that Petitioner is abusing the CBM Review process, the document is irrelevant as it has no bearing on whether the patent under review is valid.
<i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C- 715 (N.D. Ill.), Dkt. 546, Memorandum in Support of the TD Ameritrade Defendants' Motion to Stay Proceedings Pursuant to Section 18(b) of the America Invents Act (May 22, 2014) (Exhibit 2009)	FRE 402 (Relevance): To the extent that Patent Owner relies on this document to argue that Petitioner is abusing the CBM Review process, the document is irrelevant as it has no bearing on whether the patent under review is valid.
<i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C- 715 (N.D. Ill.), Dkt. 558, TradeStation Defendants' Joinder In and Motion for Stay (June 11, 2014) (Exhibit 2010)	FRE 402 (Relevance): To the extent that Patent Owner relies on this document to argue that Petitioner is abusing the CBM Review process, the document is irrelevant as it has no bearing on whether the patent under review is valid.
<i>Trading Technologies International, Inc. v. BCG Partners, Inc.</i> , Case No. 1:10-CV-	FRE 402 (Relevance): To the extent that Patent Owner relies on this document to argue that Petitioner is abusing the CBM Review process, the document is

00715 (N.D. Ill.), Docket No. 562, Reply Memorandum in Support of the IBG Defendants' Motion to Stay Proceedings Pursuant to Section 18(b) of the America Invents Act (Jun. 18, 2014) (Exhibit 2016)	irrelevant as it has no bearing on whether the patent under review is valid.
Transcript of Teleconference Call with the Board, dated November 23, 2015 (Exhibit 2027)	FRE 402 (Relevance): To the extent that Patent Owner relies on this document to argue that Petitioner is abusing the CBM Review process, the document is irrelevant as it has no bearing on whether the patent under review is valid.

Dated: February 29, 2016

Respectfully submitted,

By: /John C. Phillips/
John C. Phillips, Reg. No. 35,322
Fish & Richardson, P.C.
Attorney for Petitioners

