

Paper No. \_\_\_\_  
Filed: January 30, 2017

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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TRADESTATION GROUP, INC.,  
TRADESTATION SECURITIES, INC., IBG LLC, and  
INTERACTIVE BROKERS LLC,

Petitioner

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

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Case CBM2015-00172<sup>1</sup>  
U.S. Patent 7,783,556

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**PATENT OWNER'S UPDATED EXHIBIT LIST**

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<sup>1</sup> Case CBM2016-00040 has been joined with this proceeding.

Pursuant to 37 C.F.R. § 42.63(e), Patent Owner Trading Technologies International, Inc. hereby submits a current listing of Trading Technologies Exhibits to counsel for Petitioners TradeStation Group, Inc., TradeStation Securities, Inc., IBG LLC and Interactive Brokers LLC. Exhibit 2411 is reserved. Exhibits 2412 - 2416 were filed on PTAB E2E and served via email to counsel of record for the Petitioner.

<b>Filed Exhibits</b>	<b>Description</b>
Exhibit 2001	<i>Trading Tech Int'l v CQG, Inc. and CQGT, LLC</i> , Case No. 05-cv-4811 (N.D.Ill.), Dkt. 1073, Memorandum Opinion and Order denying Motion for Summary Judgment (35 U.S.C. § 101) (Feb. 24, 2015)
Exhibit 2002	79 Fed. Reg. 74618- 633, USPTO 2014 Interim Guidance on Patent Subject Matter Eligibility (December 16, 2014)
Exhibit 2003	<i>July 2015 Update Appendix 1: Examples</i> , USPTO Examination Guidelines
Exhibit 2004	<i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C- 715 (N.D.Ill.), Dkt. 609, Response of Certain Defendants to Trading Technologies' "Emergency" Motion (July 15, 2015)
Exhibit 2005	<i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C- 715 (N.D.Ill.), Dkt. 613, Supplemental Response of Certain Defendants to TT's Emergency Motion (July 20, 2015)
Exhibit 2006	<i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C- 715 (N.D.Ill.), Dkt. 176, Defendants' Case Management Statement for May 5, 2011 Status Hearing (May 30, 2011)
Exhibit 2007	<i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C- 715 (N.D.Ill.), Dkt. 528, Position Statement of the TD Ameritrade Defendants on the Federal Circuit's Decision in <i>OEC</i> and How this Case Should Proceed (Feb. 20, 2014)
Exhibit 2008	Transcript of Teleconference Call with the Board, dated September 10, 2015
Exhibit 2009	<i>Trading Tech Int'l v BGC Partners, Inc.</i> , Case No. 10-C- 715 (N.D.Ill.), Dkt. 546, Memorandum in Support of the TD

	Ameritrade Defendants' Motion to Stay Proceedings Pursuant to Section 18(b) of the America Invents Act (May 22, 2014)
Exhibit 2010	<i>Trading Tech Int'l v BGC Partners, Inc</i> , Case No. 10-C- 715 (N.D.Ill.), Dkt. 558, TradeStation Defendants' Joinder In and Motion for Stay (June 11, 2014)
Exhibit 2011	Senate Congressional Record, S936-S953 (Feb. 28, 2011)
Exhibit 2012	<i>Crossing the Finish Line on Patent Reform: What Can and Should Be Done</i> , Hearing Before the Subcommittee on Intellectual Property, Competition, and the Internet of the Committee on the Judiciary House of Representatives (Feb. 11, 2011)
Exhibit 2013	<i>Study and Report on the Implementation of the Leahy-Smith America Invents Act</i> , USPTO Report to Congress (Sept. 2015)
Exhibit 2014	Markup of H.R. 1249, The America Invents Act, House of Representatives Committee on the Judiciary (Apr. 14, 2011)
Exhibit 2015	<i>Versata Development Group, Inc. v. SAP America, Inc. and SAP AG</i> , Case No. 2014-1194 (CAFC), Docket No. 61, Brief for the Intervenor - Director of the United States Patent and Trademark Office (May 1, 2014)
Exhibit 2016	<i>Trading Technologies International, Inc. v. BCG Partners, Inc.</i> , Case No. 1:10-CV-00715 (N.D. Ill.), Docket No. 562, Reply Memorandum in Support of the IBG Defendants' Motion to Stay Proceedings Pursuant to Section 18(b) of the America Invents Act (Jun. 18, 2014)
Exhibit 2017	National Aeronautics and Space Administration Web Page Print out, Technical Areas
Exhibit 2018	National Aeronautics and Space Administration Web Page Print out, Human Computer Interaction Group
Exhibit 2019	University of Washington Web Page Print out, Human-Computer Interaction Degree Option
Exhibit 2020	Rochester Institute of Technology Web Page Print out, Masters in Human Computer Interaction
Exhibit 2021	Rensselaer Polytechnic Institute Web Page Print out, M.S. in Human-Computer Interaction
Exhibit 2022	Tufts University Web Page Print out, Human-Computer Interaction Certificate Program
Exhibit 2023	Georgia Institute of Technology Web Page Print out, Human-

	Computer Interaction Master’s Program
Exhibit 2024	DePaul University Web Page Print out, Master of Science Human-Computer Interaction
Exhibit 2025	Carnegie Mellon University Web Page Print out, Masters of Human-Computer Interaction
Exhibit 2026	Meyers, Brad A. “A Brief History of Human-Computer Interaction Technology.” <i>Interactions</i> 5.2 (1998): 44-54.
Exhibit 2027	Transcript of Teleconference with the Board, dated November 23, 2015
Exhibit 2028	Transcript of Teleconference with the Board, dated March 7, 2016
Exhibit 2029 – Exhibit 2106	Reserved
Exhibit 2107	Transcript of Teleconference with the Board, dated May 11, 2016
Exhibit 2108 – Exhibit 2120	Reserved
Exhibit 2121	Classification Definitions Class 705 Data Processing: Financial Business Practice, Management, or Cost/Price Determination
Exhibit 2122-2123	Reserved
Exhibit 2124	Class 345 Computer Graphics Processing and Selective Visual Display Systems
Exhibit 2125	July 2015 Update Appendix 1: Examples
Exhibit 2126 – 2129	Reserved
Exhibit 2130-2132	Reserved
Exhibit 2133	McGraw Hill “Dictionary of Scientific and Technical Terms,” Fifth Edition (1994): Definition of “Recording”
Exhibit 2134 - 2139	Reserved
Exhibit 2140	Transcript of Teleconference with the Board, dated June 13, 2016
Exhibit 2141	Reserved
Exhibit 2142	<i>Trading Techs. Int’l v. BGC Partners, Inc.</i> , Case No. 10-C-715 (N.D. Ill.), Transcript of Proceedings (May 12, 2016)

Exhibit 2143	TS0028765
Exhibit 2144	TS0107054
Exhibit 2145	TS0024612
Exhibit 2146	TS1261405
Exhibit 2147	TS1268720
Exhibit 2148	TS1533977
Exhibit 2149	TS1525667
Exhibit 2150	Video Deposition Transcript of John Bartleman, dated June 8, 2016 in <i>Trading Technologies International, Inc. v. BGC Parnters, Inc.</i> , Case No. 1:10-cv-715 (Consolidated) (N.D. Ill.).
Exhibit 2151	Draft Video Deposition Transcript of John Bartleman, dated June 9, 2016 in <i>Trading Technologies International, Inc. v. BGC Parnters, Inc.</i> , Case No. 1:10-cv-715 (Consolidated) (N.D. Ill.).
Exhibit 2152	Listing Additional Discovery Sought: Produced Documents and Transcripts
Exhibit 2153	Listing of Additional Discovery Sought: Documents Withheld in District Court
Exhibit 2154	Video Deposition Transcript of Milan Galik, dated June 13, 2016 in <i>Trading Technologies International, Inc. v. BGC Parnters, Inc.</i> , Case No. 1:10-cv-715 (Consolidated) (N.D. Ill.).
Exhibit 2155	Relevance of Additional Discovery Sought
Exhibit 2156	IBG_00026004
Exhibit 2157	IBG_00040515
Exhibit 2158	IBG_00014726
Exhibit 2159 – Exhibit 2167	Reserved
Exhibit 2168	Declaration of Eric Gould-Bear
Exhibit 2169 - Exhibit 2173	Reserved
Exhibit 2174	Declaration of Dan Olsen
Exhibit 2175- Exhibit 2334	Reserved
Exhibit 2335	Transcript of Teleconference with the Board, dated July 15, 2016
Exhibit 2336	Served
Exhibit 2337 - Exhibit 2342	Reserved
Exhibit 2343	<i>Trading Tech Int’l v BGC Partners, Inc, et al.</i> , Case No. 10-cv-715 (N.D.Ill.), Dkt. 789, Minute Entry

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