

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TRADESTATION GROUP INC.,
TRADESTATION SECURITIES, INC., IBG LLC, and INTERACTIVE
BROKERS LLC.,
Petitioners,

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.,
Patent Owner.

Case CBM2015-00161¹
Patent No. 6,766,304

PETITIONER'S UPDATED EXHIBIT LIST

EXHIBIT LIST

TS 1001	U.S. Patent No. 6,766,304
TS 1002	File History, U.S. Patent No. 6,766,304
TS 1003	TD Ameritrade Holding Corp. v. Trading Tech. Int'l, Inc., Case CBM2014-00136, Decision Denying Institution (Paper No. 19), at pp. 7–12 (P.T.A.B. Dec. 2, 2014)
TS 1004	Memorandum Opinion and Order, Dkt. #735, Trading Tech. Int'l, Inc. v. CQGT, LLC, et al., 05-cv-4811, U.S. District Court for the Northern District of Illinois, Eastern Division
TS 1005	TD Ameritrade Holding Corp. v. Trading Tech. Int'l, Inc., Case CBM2014-00136, Petition (Paper No. 4) (P.T.A.B. May 20, 2014)
TS 1006	TD Ameritrade, Patent Owner's Preliminary Response (Paper No. 18), at pp. 6-7 (P.T.A.B. Sept. 3, 2014)
TS 1007	TD Ameritrade Holding Corp. v. Trading Tech. Int'l, Inc., CBM2014-00131, Decision to Institute (Paper No. 19), at p. 15 (P.T.A.B. Dec. 2, 2014)
TS 1008	TD Ameritrade Holding Corp. v. Trading Tech. Int'l, Inc., CBM2014-00133, Decision to Institute (Paper No. 19), at p. 14 (P.T.A.B. Dec. 2, 2014)
TS 1009	TD Ameritrade Holding Corp. v. Trading Tech. Int'l, Inc., CBM2014-00137, Decision to Institute (Paper No. 19), at p. 14 (P.T.A.B. Dec. 2, 2014)
TS 1010	TD Ameritrade Holding Corp. v. Trading Tech. Int'l, Inc., CBM2014-00135, Decision to Institute (Paper No. 19), at p. 14 (P.T.A.B. Dec. 2, 2014)
TS 1011	Kemp II, et al. US 6,772,132
TS 1012	Statement of Reasons for Allowance in the '304 patent
TS 1013	Declaration of Dr. John Phillips Mellor ("Mellor decl.")
TS 1014	Excerpts of Appendices for Mellor Declaration
TS 1015	Lodewijk Petram, "The World's First Stock Exchange"
TS 1016	"Futures/ Option Purchasing System Trading Terminal Operation Guide", Tokyo Stock Exchange Operation System Division
TS 1017	Translation of "Futures/ Option Purchasing System Trading Terminal Operation Guide", Tokyo Stock Exchange Operation System Division
TS 1018	Certificate of Translation of "Futures/ Option Purchasing System Trading Terminal Operation Guide", Tokyo Stock

	Exchange Operation System Division
TS 1019	Guterman, et al. US Patent No. 5,297,031
TS 1020	Ellen Terrell, "History of the American and NASDAQ Stock Exchanges", September, 2006 (Updated October, 2012)
TS 1021	Declaration in support of Unopposed Motion for Pro Hac Vice Admission of Adam Kessel
TS 1022	Merriam-Webster Collegiate Dictionary (11th ed.), definition of "data processing"
TS 1023	Webster's New World College Dictionary (4th ed.), definition of "data processing"
TS 1024	Excerpts from trial transcript, Trading Techs. Int'l, Inc. v. eSpeed, Inc. (N.D. Ill. Sept. 10, 2007)
TS 1025	Excerpts from deposition transcript of Dan R. Olsen, Jr., IBG LLC v. Trading Techs. Int'l, Inc. (P.T.A.B. July 28, 2016)
TS 1027	Petitioners' Demonstratives
TS 1028	Updated Petitioners' Demonstratives

Served but not filed:

TS-1026 Declaration of Karrie Wheatley (served 9/30/2016)

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Date: October 18, 2016

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CERTIFICATE OF SERVICE

Pursuant to 37 CFR §§ 42.6(e)(4) and 42.205(b), the undersigned certifies that on October 18, 2016, a complete and entire copy of this Petitioners' Updated Exhibit List and Exhibit 1028 Updated Petitioners' Demonstratives were provided via email to Patent Owner by serving the correspondence address of record as follows:

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