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**Subject:** Tradestation v. Trading Technologies, CBM2015-00161  
**Date:** Tuesday, September 01, 2015 5:58:39 PM

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Counsel,

Pursuant to Rule 42.51(b)(1)(iii), at the time Tradestation filed its petition, it was obligated to serve on Trading Technologies (“TT”) evidence related to Tradestation’s assertion that it is not estopped from filing a petition in this matter. Under this obligation, Tradestation should have served on TT all communications between Tradestation and CQG related to the filing a petition against TT’s patent and any court documents that discuss coordination by Tradestation or CQG in filing a petition against any of TT’s patents. Accordingly, please confirm that Tradestation will serve, no later than September 7, 2015, on TT:

- A. All communications and agreements between Tradestation and CQG relating to the filing or preparation of any post-grant proceedings (filed or anticipated) of any TT patent, or other documents referencing such communications and agreements between Tradestation and CQG; and
- B. All court documents, including briefs and hearing transcripts, discussing CQG or Tradestation and any post-grant proceeding (filed or anticipated) of any TT patent.

To the extent Tradestation refuses to serve such documents on TT, TT will request a call with the Board to seek authorization file a motion for additional discovery.

With best regards,  
Kevin

**Kevin Rodkey**  
Associate

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